# Depth Lower Bounds and Non-monotonicity (for Circuits with Sparse Orientation) 

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## Overview

## Known Lower Bounds

Orientation

## Depth Lower Bounds vs Weight

## Barriers and Structure

## Proof Sketch

Open Problems

## Quick Recap

- $f:\{0,1\}^{n} \rightarrow\{0,1\}$.
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- Depth $\Omega(\log n)$ for any function that depends on all input variables.
- $\Omega(s(n))$ size $\Longrightarrow \Omega(\log s(n))$ depth.
- Known circuit lower bounds for general circuits are depressingly weak ( $3.011 n$ on size and $(3-\epsilon) \log n$ on depth).


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- (2014 Göös-Pitassi) Function in Monotone NP requiring $\Omega(n / \log n)$ monotone depth.


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- (2015 Rossman) A function using s-t connectivity cannot be in $\mathbf{N C}^{1}$ using only $\left(\frac{1}{2}-\epsilon\right) \log n$ negations.


## Against Non-monotone Circuits : Depth

- (1998 Amano-Maruoka) Clique requires depth $\Omega\left((\log n)^{\sqrt{\log n}}\right)$ even when $\frac{1}{6} \log \log n$ negations are allowed. (follows from size lower bounds).
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This work :

- Restriction (high-level idea) : Circuits where every internal gate computes a function which is not "far" from monotone.
- Main Result (high-level view) : A trade-off between "far"-ness and circuit depth lower bound.


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## Orientation of Boolean Functions

- Let $C$ be a DeMorgan circuit computing $f$ with minimum number of negations.
- Orientation of $f$ : Characteristic vector $\beta \in\{0,1\}^{n}$ of the set of negated variables.


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Orientation of a function $f:\{0,1\}^{n} \rightarrow\{0,1\}$ is a $\beta \in\{0,1\}^{n}$ such that there is a monotone function $h:\{0,1\}^{2 n} \rightarrow\{0,1\}$ with $\forall x, f(x)=h(x, x \oplus \beta)$.

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Property : For a function $f$ the minimal orientation is unique.

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Weight $n$ orientation is sufficient to compute any function.

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\text { Size }: s \\
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- Target: $O(n \log n)$ densely oriented gates.
- Can we handle higher weight $\beta^{\prime}$ 's if we restrict the number of non-trivially oriented gates?
- No. There exists a (non-explicit) monotone function $f$ which cannot be computed by $\omega(\sqrt{n})$ depth monotone circuits, but it is a computed by a $O\left(\log ^{2} n\right)$ depth circuit having only two gates with non-zero orientation.


## UNIFORM ORIENTATION

If all gates have same orientation $\beta \in\{0,1\}^{n}$, this is equivalent to allowing $w$ leaf negations.

## Uniform orientation

If all gates have same orientation $\beta \in\{0,1\}^{n}$, this is equivalent to allowing $w$ leaf negations.
For Clique, consider $\beta$ as a $\sqrt{n} \times \sqrt{n}$ matrix.
A "symmetric square" is a rectangle indexed by the same set of vertices.

## Structure Based Lower Bound: Case of Clique

If $C$ computes Clique :
$\left\{\begin{array}{l}\beta \text {-matrix has a } 0 \text {-symm-sq. } \\ \text { of order } O\left(\log ^{1+\epsilon} n\right)\end{array}\right\} \Longrightarrow\{$ Depth must be $\omega(\log n)\}$
In contrast : Let $U$ be symmetric square of order $O(\log n)$. If $C$ computes Clique:

$$
\{\text { Depth } d\} \Longrightarrow\left\{\begin{array}{l}
\text { Depth } d+c(\log n) \\
U \text { is all } 0 \text { s in the } \beta \text {-matrix. }
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## Proof Sketch : KW Games

Alice is given $x \in f^{-1}(1)$ and Bob is given $y \in f^{-1}(0)$.
KW $(f)$ : Goal : Find $i \in[n]$ such that $x_{i} \neq y_{i}$. $\mathbf{K W}(f)=\operatorname{Depth}(f)$.

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$\mathbf{K W}(f)=\operatorname{Depth}(f)$.
If $f$ is montone :
$\mathbf{K W}^{+}(f):$ Goal : Find $i \in[n]$ such that $x_{i}=1$ and $y_{i}=0$
$\mathbf{K W}^{+}(f)=$ Monotone $\operatorname{Depth}(f)$

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$\mathbf{K W}^{+}(f)=\operatorname{Monotone} \operatorname{Depth}(f)$
Known Lower Bounds :

- $\mathbf{K W}^{+}($Clique $)=\Omega(\sqrt{n})$.
- $\mathbf{K W} \mathbf{W}^{+}(s-t$ connectivity $)=\Omega\left(\log ^{2} n\right)$.
- $\mathbf{K} \mathbf{W}^{+}($Perf. Match $)=\Omega(\sqrt{n})$.


## Tradeoff : Depth Lower Bound vs Weight

## Tradeoff : Weight vs Depth Lower Bound

Let $C$ be a weight $w$-restricted circuit computing a monotone function $f:\{0,1\}^{n} \rightarrow\{0,1\}$, then

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\operatorname{Depth}(C)=\Omega\left(\frac{\mathbf{K} \mathbf{W}^{+}(f)}{4 w+1}\right)
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## Proof Sketch

From Circuits to KW games: Let $C$ be a monotone circuit computing $f$.
Alice is given $x \in f^{-1}(1)$ and Bob is given $y \in f^{-1}(0)$ for a monotone function $f$. Goal : Find $i \in[n]$ such that $x_{i}=1$ and $y_{i}=0$.

- Protocol : Top-down. Current gate $g$ with inputs $g_{1}$ and $g_{2}$.
- Invariant at a gate $g: g(x)=1$ and $g(y)=0$.
- if $g$ is $\vee$ gate, Alice sends 0 if $g_{1}(x)=1$ else 1 .
- if $g$ is $\wedge$ gate, Bob sends 0 if $g_{1}(x)=0$ else 1 .


## Proof Sketch

At a gate $g$ whose orientation is $\beta \in\{0,1\}^{n}$
Subcube-Monotonicity Invariant : Restricted to the sub-cube outside the current $\beta$, the function $g$ is monotone. Be within such a subcube.

Procotol : By using $2 w$ bits of communication :

- Either conclude that there is an index $i$ (where $\beta_{i}=1$ ) such that $x_{i}=1$ and $y_{i}=0$, OR
- Change $x$ and $y$ to new pair $x^{\prime}$ and $y^{\prime}$ such that on bits indexed by $\beta$ they agree, and $g\left(x^{\prime}\right)=1$ and $g\left(y^{\prime}\right)=0$.
How do we do the second step? Construct $y^{\prime}$ by setting $y_{\beta}=x_{\beta}$. Since we know that $x_{\beta} \leq y_{\beta}$, "decreasing" $y$ to $y^{\prime}$ will not make the function value of $g$ as 1 .


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Handling negation gates: Observe that negation gates can depend on at most $2 w$ inputs.

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## Future Work and Open problems

- Can we push the boundary beyond $O\left(\frac{\sqrt{n}}{\log ^{1+\epsilon} n}\right)$ ?
- Can we reduce "weight of orientation" in general (when we know the function computed is a monotone function)?
- Is there a structure vs weight trade-off?
- Can this new measure help in learning restricted non-monotone circuits?

Thanks !!

