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Acknowledgement
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## CS3300 - Language Translators <br> Introduction

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These slides borrow liberal portions of text verbatim from Antony L. Hosking @ Purdue and Jens Palsberg @ UCLA.

## Syntax analysis by using a CFG

## Context-free syntax is specified with a context-free grammar.

## Formally, a CFG $G$ is a 4-tuple ( $V_{t}, V_{n}, S, P$ ), where:

$V_{t}$ is the set of terminal symbols in the grammar. For our purposes, $V_{t}$ is the set of tokens returned by the scanner.
$V_{n}$, the nonterminals, is a set of syntactic variables that denote sets of (sub)strings occurring in the language. These are used to impose a structure on the grammar.
$S$ is a distinguished nonterminal $\left(S \in V_{n}\right)$ denoting the entire set of strings in $L(G)$.
This is sometimes called a goal symbol.
$P$ is a finite set of productions specifying how terminals and non-terminals can be combined to form strings in the language.
Each production must have a single non-terminal on its left hand side.

## Notation and terminology

## Syntax analysis

- $a, b, c, \ldots \in V_{t}$
- $A, B, C, \ldots \in V_{n}$
- $U, V, W, \ldots \in V$
- $\alpha, \beta, \gamma, \ldots \in V^{*}$
- $u, v, w, \ldots \in V_{t} *$

If $A \rightarrow \gamma$ then $\alpha A \beta \Rightarrow \alpha \gamma \beta$ is a single-step derivation using $A \rightarrow \gamma$
Similarly, $\rightarrow^{*}$ and $\Rightarrow^{+}$denote derivations of $\geq 0$ and $\geq 1$ steps
If $S \rightarrow^{*} \beta$ then $\beta$ is said to be a sentential form of $G$
$L(G)=\left\{w \in V_{t^{*}} \mid S \Rightarrow^{+} w\right\}, w \in L(G)$ is called a sentence of $G$
Note, $L(G)=\left\{\beta \in V * \mid S \rightarrow^{*} \beta\right\} \cap V_{t}{ }^{*}$

## Derivations

We can view the productions of a CFG as rewriting rules. Using our example CFG:

$$
\begin{aligned}
\langle\text { goal }\rangle & \Rightarrow\langle\text { expr }\rangle \\
& \Rightarrow\langle\text { expr }\rangle\langle\text { opp }\rangle\langle\text { expr }\rangle \\
& \Rightarrow\langle\text { expr }\rangle\langle\mathrm{op}\rangle\langle\text { expr }\rangle\langle\text { op }\rangle\langle\text { expr }\rangle \\
& \Rightarrow\langle\text { id, } \mathrm{x}\rangle\langle\text { op }\rangle\langle\text { expr }\rangle\langle\text { op }\rangle\langle\text { expr }\rangle \\
& \Rightarrow\langle\text { id, } \mathrm{x}\rangle+\langle\text { expr }\rangle\langle\text { op }\rangle\langle\text { expr }\rangle \\
& \Rightarrow\langle\text { id, } \mathrm{x}\rangle+\langle\text { num, } 2\rangle\langle\text { op }\rangle\langle\text { expr }\rangle \\
& \Rightarrow\langle\text { id, } \mathrm{x}\rangle+\langle\text { num, } 2\rangle *\langle\text { expr }\rangle \\
& \Rightarrow\langle\mathrm{id}, \mathrm{x}\rangle+\langle\text { num, }, 2\rangle *\langle\mathrm{id}, \mathrm{y}\rangle
\end{aligned}
$$

We have derived the sentence $\mathrm{x}+2 * \mathrm{y}$.
We denote this $\langle$ goal $\rangle \rightarrow^{*}$ id + num $*$ id.
pause
Such a sequence of rewrites is a derivation or a parse.
The process of discovering a derivation is called parsing.

Grammars are often written in Backus-Naur form (BNF). Example:

$$
\begin{array}{ccl}
\langle\text { goal }\rangle & ::= & \langle\text { expr }\rangle \\
\langle\text { expr }\rangle & ::= & \langle\text { expr }\rangle\langle\text { op }\rangle\langle\text { expr }\rangle \\
& \mid & \text { num } \\
& \mid & \text { id } \\
\langle\mathrm{op}\rangle & ::= & + \\
& \mid & -
\end{array}
$$

This describes simple expressions over numbers and identifiers.
In a BNF for a grammar, we represent
(1) non-terminals with angle brackets or capital letters
(2) terminals with typewriter font or underline
(3) productions as in the example

## Derivations

At each step, we chose a non-terminal to replace.
This choice can lead to different derivations.
Two are of particular interest:
leftmost derivation
the leftmost non-terminal is replaced at each step
rightmost derivation
the rightmost non-terminal is replaced at each step

The previous example was a leftmost derivation.

## Rightmost derivation

## Precedence

For the string $\mathrm{x}+2 * \mathrm{y}$ :

$$
\begin{aligned}
& \langle\text { goal }\rangle \Rightarrow\langle\text { expr }\rangle \\
& \Rightarrow \quad\langle\text { expr }\rangle\langle\mathrm{op}\rangle\langle\text { expr }\rangle \\
& \Rightarrow\langle\text { expr }\rangle\langle\mathrm{op}\rangle\langle\mathrm{id}, \mathrm{y}\rangle \\
& \Rightarrow \quad\langle\mathrm{expr}\rangle *\langle\mathrm{id}, \mathrm{y}\rangle \\
& \Rightarrow \quad\langle\mathrm{expr}\rangle\langle\mathrm{op}\rangle\langle\mathrm{expr}\rangle *\langle\mathrm{id}, \mathrm{y}\rangle \\
& \Rightarrow\langle\mathrm{expr}\rangle\langle\mathrm{op}\rangle\langle\text { num, } 2\rangle *\langle\mathrm{id}, \mathrm{y}\rangle \\
& \Rightarrow\langle\text { expr }\rangle+\langle\text { num, } 2\rangle *\langle\text { id, } \mathrm{y}\rangle \\
& \Rightarrow\langle\mathrm{id}, \mathrm{x}\rangle+\langle\mathrm{num}, 2\rangle *\langle\mathrm{id}, \mathrm{y}\rangle
\end{aligned}
$$

Again, $\langle$ goal $\rangle \neq{ }^{*}$ id + num * id.

$$
\begin{aligned}
& \text { Treewalk evaluation computes }(x+2) * y \\
& \text { - the "wrong" answer! } \\
& \text { Should be } \mathrm{x}+(2 * \mathrm{y})
\end{aligned}
$$

## Precedence

Now, for the string $\mathrm{x}+2$ * y:

$$
\begin{aligned}
\langle\text { goal }\rangle & \Rightarrow\langle\text { expr }\rangle \\
& \Rightarrow\langle\text { expr }\rangle+\langle\text { term }\rangle \\
& \Rightarrow\langle\text { expr }\rangle+\langle\text { term }\rangle *\langle\text { factor }\rangle \\
& \Rightarrow\langle\text { expr }\rangle+\langle\text { term }\rangle *\langle\text { id,y }\rangle \\
& \Rightarrow\langle\text { expr }\rangle+\langle\text { factor }\rangle *\langle\text { id, }, \mathrm{y}\rangle \\
& \Rightarrow\langle\text { expr }\rangle+\langle\text { num, } 2\rangle *\langle\text { id,y }\rangle \\
& \Rightarrow\langle\text { term }\rangle+\langle\text { num, } 2\rangle *\langle\text { id, }, \mathrm{y}\rangle \\
& \Rightarrow\langle\text { factor }\rangle+\langle\text { num }, 2\rangle *\langle\text { id, }\rangle \\
& \Rightarrow\langle\text { id, }\rangle\rangle+\langle\text { num }, 2\rangle *\langle\text { id }, \mathrm{y}\rangle
\end{aligned}
$$

Again, $\langle$ goal $\rangle \Rightarrow^{*}$ id + num * id, but this time, we build the desired tree.
his grammar enforces a precedence on the derivation:

- terms must be derived from expressions
- forces the "correct" tree
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## Ambiguity



Treewalk evaluation computes $\mathrm{x}+(2 * \mathrm{y})$

May be able to eliminate ambiguities by rearranging the grammar:

| $\langle$ stmt $\rangle$ | $::=$ | $\langle$ matched $\rangle$ |
| :--- | :--- | :--- |
|  | $\mid$ | $\langle$ unmatched $\rangle$ |
| $\langle$ matched $\rangle$ | $::=$ | if $\langle$ expr $\rangle$ then $\langle$ matched $\rangle$ else $\langle$ matched $\rangle$ |
|  | $\mid$ | other stmts |
| $\langle$ unmatched $\rangle$ | $:=$ | if $\langle$ expr $\rangle$ then $\langle$ stmt $\rangle$ |
|  | $\mid$ | if $\langle$ expr $\rangle$ then $\langle$ matched $\rangle$ else $\langle$ unmatched $\rangle$ |

This generates the same language as the ambiguous grammar, but applies the common sense rule:
match each else with the closest unmatched then

This is most likely the language designer's intent.

## Parsing: the big picture



Our goal is a flexible parser generator system

## Scanning vs. parsing

Where do we draw the line?

Regular expressions are used to classify:

- identifiers, numbers, keywords
- REs are more concise and simpler for tokens than a grammar
- more efficient scanners can be built from REs (DFAs) than grammars
Context-free grammars are used to count:
- brackets: (), begin...end, if...then...else
- imparting structure: expressions

Syntactic analysis is complicated enough: grammar for C has around 200 productions. Factoring out lexical analysis as a separate phase makes compiler more manageable.

## Closing remarks

## What did we do this week?

- Overview of the compilation process.
- Quick look at Lexical analysis.
- Introduction to Parsing.


## Reading:

- Ch 1 and 3 from the Dragon book.
- Recap from previous year : regular expressions and context free grammars.


## Announcement:

- Next class: Wednesday 11AM.
- Lab assignment - out! Due 17th Aug 2012.

