CS6848 - Principles of Programming Languages
Principles of Programming Languages

V. Krishna Nandivada

IIT Madras

Recap

- Type systems
  - Simply typed lambda calculus
  - Recursive types
  - polymorphic types
  - Semantics
  - Type inference
  - Type soundness
  - Typed Assembly Language

Continuation Passing Style

Goal: translate a scheme program into efficient code. Four steps.

1. From a Scheme program to a Scheme program in tail form.
   - transform the program such that functions never return.
   - done by introducing continuations.
   - Program in tail form needs no stack (except for passing arguments).
2. From a Scheme program in tail-form to a Scheme program in first-order form.
   - transform the program such that all functions are defined at the top level.
   - Represent the continuations as first-order data structures.
3. From a Scheme program in first-order form to a Scheme program in imperative form.
   - transform the program such that functions take no arguments.
   - pass the arguments in a fixed number of global variables.
   - need no stack at all as - no arguments are passed.
4. From a Scheme program in imperative form to C, machine code, etc.
   - A Scheme program in imperative form is close to machine code.
   - key task is to replace each function call with a jump.

recursive procedures

```
(define fact
  (lambda (n)
     (if (zero? n) 1 (* n (fact (- n 1))))))

(fact 4) = (* 4 (fact 3))
         = (* 4 (* 3 (fact 2)))
         = (* 4 (* 3 (* 2 (fact 1))))
         = (* 4 (* 3 (* 2 (* 1 (fact 0)))))
         = (* 4 (* 3 (* 2 (* 1 1))))
         = (* 4 (* 3 (* 2 1)))
         = (* 4 (* 3 2))
         = (* 4 6)
         = 24
```

- each call of `fact` is made with a promise that the value returned will be multiplied by the value of `n` at the time of the call; and
- thus `fact` is invoked in larger and larger control contexts as the calculation proceeds.
- the contexts are passed in the stack.

loops

java
```
int fact(int n) {
  int a=1;
  while (n!=0) {
    a=n*a;
    n=n-1;
  }
  return a;
}
```

scheme
```
(define fact-iter
    (lambda (n)
        (fact-iter-acc n 1)))

(define fact-iter-acc
  (lambda (n a)
   (if (zero? n)
     a
     (fact-iter-acc (- n 1)
                    (* n a)))))
```

trace - loop

```
(fact-iter 4)
= (fact-iter-acc 4 1)
= (fact-iter-acc 3 4)
= (fact-iter-acc 2 12)
= (fact-iter-acc 1 24)
= (fact-iter-acc 0 24)
= 24
```

- `fact-iter-acc` is always invoked in the same context (in this case, no context at all).
- when `fact-iter-acc calls` itself, it does so at the "tail end" of a call to fact- iter-acc. that is, no promise is made to do anything with the returned value other than return it as the result of the call to `fact-iter-acc`.

example of tail form

```
(define even-length?
  (lambda (l)
    (if (null? l) #t (odd-length? (cdr l)))))

(define odd-length?
  (lambda (l)
    (if (null? l) #f (even-length? (cdr l)))))

even-length?: if (null? l) then return #t
                           else begin l := (cdr l);
                                   goto odd-length?
                           end

odd-length?:  if (null? l) then return #f
                           else begin l := (cdr l);
                                   goto even-length?
                           end
```

grammar for scheme in cps

```
simpleExp ::= identifier
    | constant
    | ( primitiveOperation simpleExp_1 ... simpleExp_n )
    | (set! identifier simpleExp )
    | (lambda ( identifier_1 ... identifier_n ) tailFormExp )

tailFormExp ::= simpleExp
    | ( simpleExp simpleExp_1 ... simpleExp_n ) ; application
    | (if simpleExp tailFormExp tailFormExp )   ; conditional
    | (begin simpleExp_1 ... simpleExp_n tailFormExp ) ; block
```

Two position in the program: simple and tail-form

- tail form positions are those where the subexpression, when evaluated, gives the value of the whole expression (no promises or wrapping).
- all other positions must be simple.

grammar for scheme in cps (cont.)

```
tailFormExp ::= (cond ( simpleExp tailFormexp ) ...)
    | (letrec ( simpleDeclList ) tailFormExp )
    | (let ( simpleDeclList ) tailFormExp )
simpleDeclList ::= simpleDecl_1 ...simpleDecl_n
simpleDecl ::=( identifier simpleExp )
```

simpleexp corresponds to th "simple and tail form" in the text book.

examples

tail form or not

```
(car x)       ; also simple
(car (cdr x)) ; also simple
(car (f x))
(f (car x))
(lambda (v) (k (+ v 1)))
(lambda (v) (+ (k v) 1))
(if (zero? x) (f (+ x 1)) (g (- x 1)))
(if (zero? x) (+ (f x) 1) (g (- x 1)))
(if (p x) (f (+ x 1)) (g (- x 1)))
(if (p (car x)) (f (+ x 1)) (g (- x 1)))
(lambda (x)
  (if (zero? x) 0 (+ (f (- x 1)) 1)))
(lambda (x)
    (if (zero? x) 0 (f (- x 1) (lambda (v) (k (+ v 1))))))
(lambda (n a)  ; tail form
      (if (zero? n) a (fact-iter-acc (- n 1) (* n a))))
```

Sample tail form conversion

```
(define fact
  (lambda (n)
      (if (zero? n) 1 (* n (fact (- n 1))))))

(define fact-cps
  (lambda (n k)
    (if (zero? n)
        (k 1)
        (fact-cps (- n 1) (lambda (v) (k (* n v)))))))

(fact-cps n k) computes (k (fact n)) for any k!
```

Note: k is a one argument lambda expression that represent the context.

fact-cps correctness

By induction on n that, (k (fact n)) = (fact-cps n k)
For n = 0, (k (fact 0)) = (k 1) = (fact-cps 0 k). If n > 0

```
  (k (fact n))
= (k (* n (fact (- n 1))))        ; definition of fact

= ((lmabda (v) (k (*n v)))        ; beta conversion
   (fact (-n 1)))
= (fact-cps (-n 1)                ; induction hypothesis
          (lambda (v) (k (* n v))))
= (fact-cps n k)
```

fact-cps trace

```
  (fact-cps 4 k)
= (fact-cps 3 (lambda (v) (k (* 4 v))))
...
= ((lambda (v) (k (* 4 (* 3 (* 2 (* 1 v)))))) 1)
= (k (* 4 (* 3 (* 2 (* 1 1)))))
= (k 24)
```

hint: set k = lambda (x) x to get the original value

Transformation rules: Scheme to Tail form

```
(define foo
  (lambda (x y) -----))
==>
(define foo-cps
  (lambda (x y k) (k --------)))

(k (foo a (- n 1)))
==>
(foo-cps a (- n 1) k)

(k (----- (foo a (- n 1)) -----))
==>
(foo-cps a (- n 1) (lambda (v) (k (----- v ----))))

(k (if (simpExp) e1 e2))
==>
(if (simpExp) (k e1) (k e2))
```

Example transformation

```
(define remove-all
  (lambda (a lsym)
    (cond
      ((null? lsym) ())
      ((eq? a (car lsym))
       (remove-all a (cdr lsym)))
      (else (cons (car lsym) (remove-all a (cdr lsym)))))))

==>
(define remove-all-cps
  (lambda (a lsym k)
    (k (cond
         ((null? lsym) ())
         ((eq? a (car lsym))
          (remove-all a (cdr lsym)))
         (else (cons (car lsym) (remove-all a (cdr lsym)) k)))))
```

Example transformation (cont.)

```
(define remove-all-cps
  (lambda (a lsym k)
    (k (cond
         ((null? lsym) ())
         ((eq? a (car lsym))
          (remove-all a (cdr lsym)))
         (else (cons (car lsym) (remove-all a (cdr lsym))))))))
==>
(define remove-all-cps
  (lambda (a lsym k)
    (cond
      ((null? lsym) (k ()))
      ((eq? a (car lsym))
       (k (remove-all a (cdr lsym))))
      (else
        (k (cons (car lsym) (remove-all a (cdr lsym))))))))
```

Example transformation (cont.)

```
(define remove-all-cps
  (lambda (a lsym k)
    (cond
      ((null? lsym) (k ()))
      ((eq? a (car lsym))
       (k (remove-all a (cdr lsym))))
      (else
        (k (cons (car lsym) (remove-all a (cdr lsym))))))))
==>
(define remove-all-cps
  (lambda (a lsym k)
    (cond ((null? lsym) (k ()))
          ((eq? a (car lsym))
           (remove-all-cps a (cdr lsym) k))
          (else
            (remove-all-cps a (cdr lsym)
              (lambda (v) (k (cons (car lsym) v))))))))
```

Example transformation 2

```
(define subst
  (lambda (old new s)
    (if (pair? s)
        (cons (subst old new (car s))
              (subst old new (cdr s)))
        (if (eq? s old) new s))))
==>
(define subst-cps
 (lambda (old new s k)
  (if (pair? s)
    (k (cons (subst old new (car s))  ; choice 1
             (subst old new (cdr s)))); choice 2.
    (if (eq? s old) (k new) (k s)))))
```

Example transformation 2, by choice 2 (cdr)

```
(define subst-cps
  (lambda (old new s k)
    (if (pair? s)
      (subst-cps old new (cdr s)
        (lambda (cdr-val)
          (k (cons (subst old new (car s)) cdr-val))))
      (if (eq? s old) (k new) (k s)))))
==>
(define subst-cps
  (lambda (old new s k)
    (if (pair? s)
        (subst-cps old new (cdr s)
          (lambda (cdr-val)
            (subst-cps old new (car s)
              (lambda (car-val)
                (k (cons car-val cdr-val))))))
        (if (eq? s old) (k new) (k s)))))
```

Example transformation 2, by choice 1 (car)

```
(define subst-cps
  (lambda (old new s k)
    (if (pair? s)
        (subst-cps old new (car s)
          (lambda (car-val)
            (subst-cps old new (cdr s)
              (lambda (cdr-val)
                (k (cons car-val cdr-val))))))
        (if (eq? s old) (k new) (k s)))))
```

Useless assignment

Convert to tail form

```
(define subst-with-letrec
  (lambda (old new s)
    (letrec
      ((loop
         ;; (loop s) = (subst old new s)
         (lambda (s)
           (if (pair? s)
               (cons (loop (car s))
                     (loop (cdr s)))
               (if (eq? s old) new s)))))
      (loop s))))
```

CPS `if` and `let`

```
(k (if (foo x) ...  ...)) = (foo-cps x
                             (lambda (v)
                               (k (if v ... ...))))
                          = (foo-cps x
                             (lambda (v)
                               (if v (k ...) (k ...))))
(k (let ((y (foo x))) ...)) = (foo-cps x
                               (lambda (v)
                                 (k (let ((y v)) ...))))
                            = (foo-cps x
                               (lambda (v)
                                 (let ((y v)) (k ...))))
                            = (foo-cps x
                               (lambda (y) (k ...)))
```

Recap

- Idea of CPS
- Step by step approach to convert scheme to cps.
- Algorithm to convert Scheme programs to Tail form.

What you should be able to answer (necessary not sufficient)

- Given a scheme program convert it to tail form.

Getting the original function from cps version

```
(define remove-all-cps
  (lambda (a lsym k)
    (cond ((null? lsym) (k ()))
          ((eq? a (car lsym))
           (remove-all-cps a (cdr lsym) k))
          (else
            (remove-all-cps a (cdr lsym)
              (lambda (v) (k (cons (car lsym) v))))))))

(define remove-all
  (lambda (a lsym)
    (remove-all-cps a lsym (lambda (v) v))))
```

Getting rid of the higher order functions

```
(define remove-all-cps
  (lambda (a lsym k)
    (cond ((null? lsym) (k ()))
          ((eq? a (car lsym))
           (remove-all-cps a (cdr lsym) k))
          (else
            (remove-all-cps a (cdr lsym)
              (lambda (v) (k (cons (car lsym) v))))))))

(define remove-all
  (lambda (a lsym)
    (remove-all-cps a lsym (lambda (v) v))))
```

Goal: Remove the higher order functions and instead replace them with procedure calls.

Getting rid of the higher order functions

```
(define remove-all-cps
 (lambda (a lsym k)
  (cond ((null? lsym)
    (apply-continuation k '()))
   ((eq? a (car lsym))
    (remove-all-cps a (cdr lsym) k))
   (else
    (remove-all-cps a (cdr lsym)
     (make-rem1 lsym k))))))
(define remove-all
 (lambda (a lsym)
  (remove-all-cps a lsym
   (make-identity))))
```

```
(define make-identity
  (lambda ()
    (lambda (v) v)))
(define make-rem1
 (lambda (lsym k)
  (lambda (v)
   (apply-continuation k
    (cons (car lsym) v)))))
(define apply-continuation
  (lambda (k v) (k v)))
```

Goal: Remove the higher order functions and instead replace them with procedure calls.

Representation for continuations

- For each continuation and the application of the continuation create a new procedure.
- Grammar for continuations for `remove-all-cps`:
  Cont ::= (lambda (v) v)
       ::= (lambda (v)(Cont (cons (car lsym) v)))

- Thus we need to specify three functions[1]:

  ① `(make-identity)=⌈ lambda (v) v ⌉`
  ② `(make-rem1 lsym ⌈k⌉)=`
  `⌈lambda (v)(k (cons (car lsym) v))⌉`
  ③ `(apply-continuation ⌈k⌉ v)=(k v)`

---
[1] ⌈k⌉ denotes the representation of k

Representing continuations as records

- Represent each of the continuations as a list (influenced by the underlying AST).

  ```
  (make-identity) = '(identity-record)
  (make-rem1 v k) = '(rem1-record v k)
  ```

  - the value of (make-rem1 v k) is a list whose first element is the symbol rem1, whose second element is the value of v, and whose third element is the value of k.

  ```
  (define-record identity-record ())
  (define-record rem1-record (lsym k))
  ```

- The apply function becomes more involved.

  ```
  (define apply-continuation
    (lambda (k v)
      (record-case k
        (identity-record () v)      ; this was (make-identity)
        (rem1-record (lsym k)       ; this was (make-rem1 lsym k)
          (apply-continuation k (cons (car lsym) v)))
        (else (error "bad continuation")))))
  ```

Slim representation

- Only one possible tag `rem1`. Drop it!

```
(make-identity) = '()
(make-rem1 v k) = (v . k)

(define make-identity (lambda () '() ))


(define make-rem1 (lambda (lsym k) (cons lsym k) ))


(define apply-continuation
 (lambda (k v)
  (if (null? k)
    v        ; this was record case (identity-record)
    (let ((lsym (car k)) ; case rem1-record
          (k1 (cdr k) )
     (apply-continuation k1 (cons (car lsym) v)))))
```

Example 2

```
(define subst-cps
  (lambda (old new s k)
    (if (pair? s)
      (subst-cps old new (car s)
        (lambda (v1)
          (subst-cps old new (cdr s)
            (lambda (v2) (k (cons v1 v2)))))))
      (if (eq? s old) (k new) (k s)))))
(define subst
  (lambda (old new s)
    (subst-cps old new s (lambda (x) x))))
```

subst With first-order functions (representation independent)

```
(define subst-cps
  (lambda (old new s k)
    (if (pair? s)
      (subst-cps old new (car s)
        (make-subst1 old new s k))
      (if (eq? s old)
        (apply-continuation k new)
        (apply-continuation k s)))))
(define subst
  (lambda (old new s)
    (subst-cps old new s (make-identity))))
```

subst With first-order functions (contd.) - procedural representation

```
(define make-identity
 (lambda ()
  (lambda (x) x)))
(define make-subst1
 (lambda (old new s k)
  (lambda (v1)
   (subst-cps old new (cdr s) (make-subst2 v1 k)))))
(define make-subst2
 (lambda (v1 k)
  (lambda (v2) (apply-continuation k (cons v1 v2)))))
(define apply-continuation
 (lambda (k v)
   (k v)))
```

subst With first-order functions (contd.) - AST representation

```
(define-record identity-record ())
(define-record subst1-record (old new s k))
(define-record subst2-record (v1 k))

(define apply-continuation
  (lambda (k x)
    (record-case k
      (identity-record () x)
      (subst1-record (old new s k)
        (let ((v1 x))
          (subst-cps old new (cdr s)
            (make-subst2 v1 k))))
      (subst2-record (v1 k)
        (let ((v2 x))
          (apply-continuation k (cons v1 v2))))
      (else (error "bad continuation")))))
```

Example 3

```
Original:
(define fact
  (lambda (n)
    (if (zero? n) 1
      (* n (fact (- n 1)))))))

CPS version:
(define fact-cps
  (lambda (n k)
    (if (zero? n)
      (k 1)
      (fact-cps (- n 1)
        (lambda (v) (k (* n v)))))))
(define fact
  (lambda (n)
    (fact-cps (lambda (v) v))))
```

First order continuations

```
(define fact-cps
  (lambda (n k)
    (if (zero? n)
      (apply-cont k 1)
      (fact-cps (- n 1) (make-fact1 n k)))))

(define fact
  (lambda (n)
    (fact-cps n (make-identity))))

(define make-fact1(lambda (n k)(lambda (v) (k (* n v)))))

(define make-identity ...)
```

fact-cps is same as fact-iter!

- Observe: Every `fact` continuation is of the form `(lambda (v) (* p v))`, for some integer `p`.
- `(lambda (v) v) = (lambda (v) (* 1 v))`
- `(make-fact1 n k)` = ?
- Represent `(lambda (v) (* p v))` by `p`.
- Definition for `make-identity`, `make-fact1` and `apply-cont`.
- Substitute the new definitions (aka inline!). Voila!

From first-order form to imperative

- We have tail form, first order (no first-class functions) program.
- Each lambda variable (formal and actual parameters) can go via globals.
- `(define foo (lambda (x y) ...body ...))` ...(foo e1 e2)
- Imperative form:
  ```
  foo: ... body ...
  ...
  x = e1; y = e2; // Be careful about overwriting the globals.
  goto foo;
  ```

Example transformation

Original $\Longrightarrow$

```
(define-record identity ())
(define-record rem1 (lsym k))

(define remove-all
 (lambda (a lsym)
  (remove-all-cps a lsym
    (make-identity))))

(define remove-all-cps
 (lambda (a lsym k)
  (cond ((null? lsym)
    (apply-continuation k ()))
  ((eq? a (car lsym))
    (remove-all-cps a (cdr lsym) k))
  (else
    (remove-all-cps a (cdr lsym)
        (make-rem1 lsym k))))))
```

```
(define remove-all
 (lambda (a lsym)
  (let ((a a) (lsym lsym)
        (k (make-identity))
        (v *unbound*))
   (letrec
    ((remove-all-cps
      (lambda ()
       (cond ((null? lsym)
         (set! v ())
         (apply-continuation))
       ((eq? a (car lsym))
         (set! lsym (cdr lsym))
         (remove-all-cps))
       (else
         (set! k (make-rem1 lsym k))
         (set! lsym (cdr lsym))
         (remove-all-cps)))))
    ... (apply-continuation
    (remove-all-cps)))))
```

`apply-continuation` for remove-all-cps

Original $\Longrightarrow$

```
(define apply-continuation
 (lambda (k v)
  (record-case k
   (identity () v)
   (rem1 (lsym k1)
    (apply-continuation k1
      (cons (car lsym) v)))))))
```

```
(define remove-all
 ...
 (apply-continuation
  (lambda ()
   (record-case k
    (identity () v)
    (rem1 (lsym k1)
     (set! k k1)
     (set! v (cons (car lsym) v))
     (apply-continuation)))))))
```

Useless assignment

Transform the `subst-cps` code from first-order to imperative form.

Recap

- Algorithm to convert programs in tail form to first-order form.
- Algorithm to convert programs in first-order form to imperative form.

What you should be able to answer (necessary not sufficient)

- Given a scheme program convert it to imperative form.