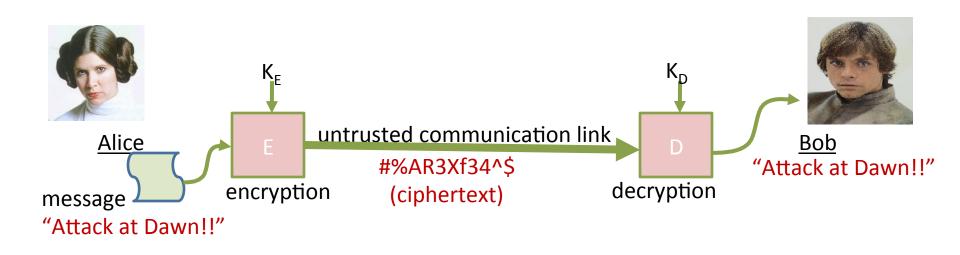
Block Ciphers

Chester Rebeiro IIT Madras

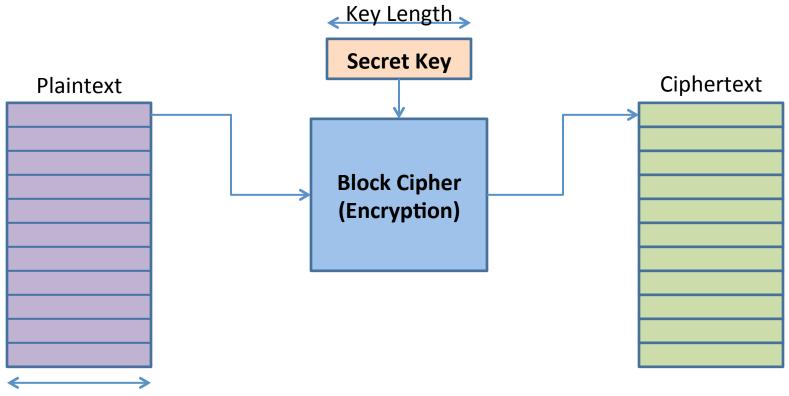
STINSON : chapters 3

Block Cipher



Encryption key is the same as the decryption key ($K_E = K_D$)

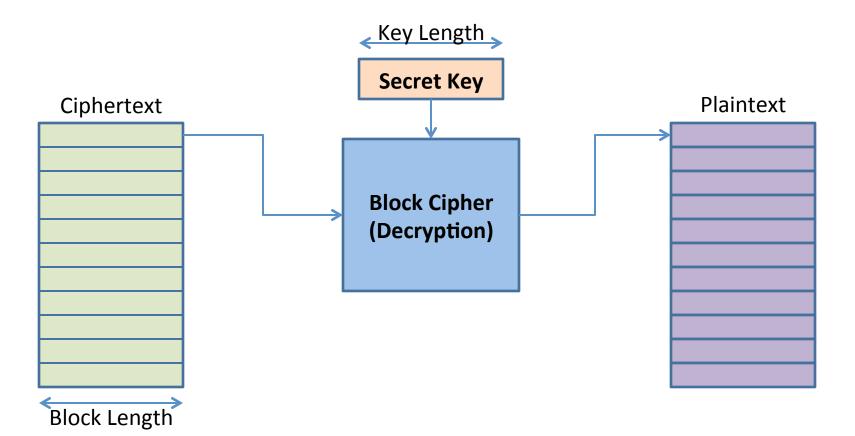
Block Cipher : Encryption



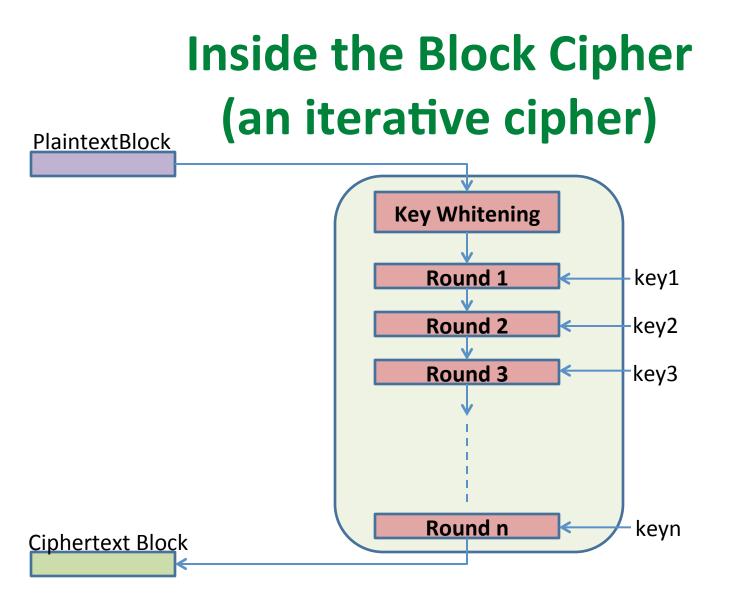
Block Length

- A block cipher encryption algorithm encrypts n bits of plaintext at a time
- May need to pad the plaintext if necessary
- $y = e_k(x)$

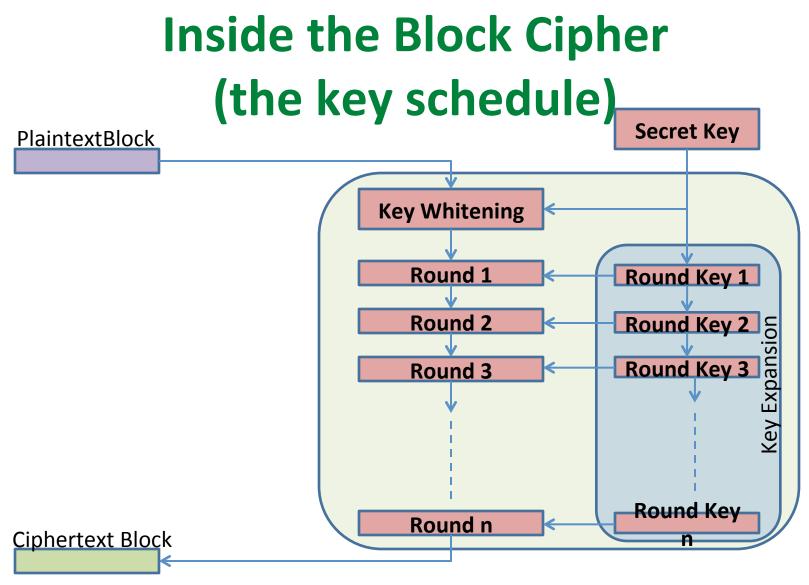
Block Cipher : Decryption



- A block cipher decryption algorithm recovers the plaintext from the ciphertext.
- $x = d_k(y)$



- Each round has the same endomorphic cryptosystem, which takes a key and produces an intermediate ouput
- Size of the key is huge... much larger than the block size.



• A single secret key of fixed size used to generate 'round keys' for each round

Inside the Round Function

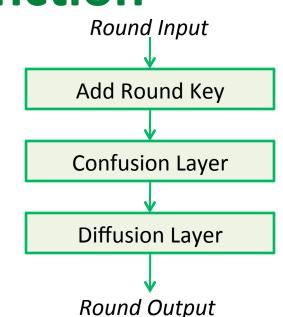
• Add Round key :

۲

Mixing operation between the round input and the round key. typically, an ex-or operation

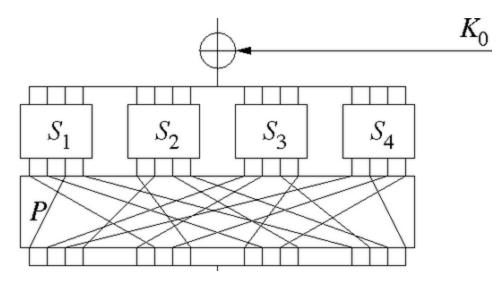
 Confusion layer : Makes the relationship between round input and output complex.

Diffusion layer : dissipate the round input. Avalanche effect : A single bit change in the round input should cause huge changes in the output. Makes it difficult for the attacker to pick out some bits over the others (think Hill cipher)

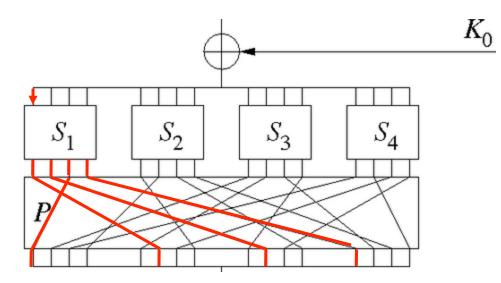


Achieving Confusion and Diffusion (Substitution-Permutation Networks)

- Confusion achieved by small substitution functions
- Diffusion achieved by diffusion functions
 - Permutations
 - Linear Transformations



Diffusion with Permutations



- Spreads the output of one s-box to other s-boxes
- Thus causing a diffusion.
 - A single bit change in one input (before S1 for instance) affects four inputs of the next round
- Bit wise permutations efficient in hardware but not in software implementations

Permutation Layer Types 0123 23

• straight (24x24)

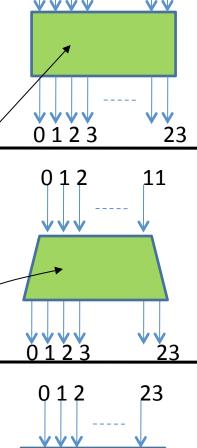
0th bit of input goes to 1st bit of output 1st bit of input goes to 15th bit of output

- expansion (12x24)

01	03	02	01	06	17	03	07	09	04	09	11	
02	05	12	04	06	07	12	10	11	08	10	08	

compression (24x12)

01 15 02 13 06 17 03 19 09 04 21 11



Permutation Layer (more variants)

- Common permutation operations which are used in block ciphers
 - circular shift
 - Circular shift input N bits to right (or left)
 - swap
 - Special case of circular shift with shift = N/2

Diffusion with Linear Transformation

• Linear combination of the inputs (can be done byte wise; more software friendly, as no bit manipulations needed)

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} * \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{bmatrix}$$

Example.

The AES mix column operation

- How to choose the linear transformation in the Permutation layer?
 - Need to have good diffusion properties
 - Should have Maximum Branch Number

$$BranchNumber = MIN_{(a \neq 0)}(W(a) + W(F(a)))$$

Branch Number

 $BranchNumber = MIN_{(a \neq 0)}(W(a) + W(F(a))$

- **Byte Vector :** Number of non-zero input bytes
- W(a) : Byte vector of input (i.e. non-zero bytes in a)
- W(F(a)) : Byte vector of output (i.e. non-zero bytes in the output)

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} * \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{bmatrix}$$
Example.
The AES mix column operation

- **example:** AES mix column matrix has a branch number of 5
 - 1 non-zero byte in input causes all 4 bytes of output to change
 - 2 non-zero byte in input causes at-least 3 bytes of output to change (and so on...)

Substitution Layer (Sbox)

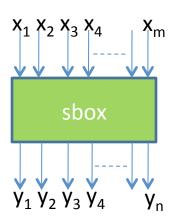
- A lot of the block cipher's security rests with this.
- Replaces its input with another

$rac{z}{\pi_S(z)}$	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
$\pi_S(z)$	E	4	D	1	2	F	B	8	3	A	6	C	5	9	0	7

 As with the permutation layer, can be straight sbox (mxm) expansion sbox (mxn, m<n) compression sbox (mxn, m>n)

Sboxes

 In an s-box each output bit can be represented as a function of its input bits



$$y_{1} = f_{1}(x_{1}, x_{2}, x_{3}, \dots, x_{m})$$

$$y_{2} = f_{2}(x_{1}, x_{2}, x_{3}, \dots, x_{m})$$

$$y_{3} = f_{3}(x_{1}, x_{2}, x_{3}, \dots, x_{m})$$

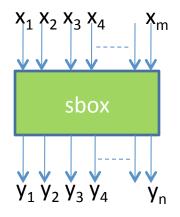
$$\vdots \qquad \vdots \qquad \vdots \qquad \vdots \qquad \vdots$$

$$y_{n} = f_{n}(x_{1}, x_{2}, x_{3}, \dots, x_{m})$$

The functions have to be non-linear. Linear functions are easily reversed.

S-boxes are Non-linear transformations

 $y_1 = a_1 x_1 \oplus a_2 x_2 \oplus a_3 x_3 \oplus \cdots \oplus a_m x_m$ $y_2 = a_1 x_1 \oplus a_2 x_2 \oplus a_3 x_3 \oplus \cdots \oplus a_m x_m$ $\cdots = \cdots$



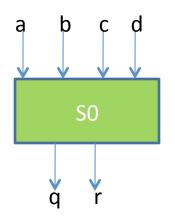
 $y_n = a_1 x_1 \oplus a_2 x_2 \oplus a_3 x_3 \oplus \cdots \oplus a_m x_m$

where $a_1, a_2, \dots a_m \in \{0, 1\}$

- Non-linear s-boxes do not have equations like the above.
- Instead they non-linear equations as follows

 $y_1 = a_1 x_1 \oplus a_2 x_1 x_2 \oplus a_3 x_1 x_5 x_2 \cdots$

example : Simplified DES SBox



$$y = S0(x)$$
$$q \parallel r = S0[a \parallel d][b \parallel c$$

$$\begin{array}{ccccccc} 0 & 1 & 2 & 3 \\ 0 & 1 & 0 & 3 & 2 \\ 0 & 1 & 0 & 3 & 2 \\ 3 & 2 & 1 & 0 \\ 0 & 2 & 1 & 3 \\ 3 & 1 & 3 & 2 \end{array}$$

Non-linear equations for S0

- q = abcd + ab + ac + b + d
- r = abcd + abd + ab + ac + ad + a + c + 1

http://mercury.webster.edu/aleshunas/COSC%205130/G-SDES.pdf

Why Non-linearity?

We want to make it difficult for reversing an s-box:
 i.e. determine x from y

- Solving linear equations can be done in polynomial time
- Solving non-linear equation is NP hard

• Note the difference with the permutation layer, which is a linear layer. The main purpose of the permutation layer is to provide diffusion and not to confuse!

 $\mathbf{X}_1 \mathbf{X}_2 \mathbf{X}_3 \mathbf{X}_4$

 $y_1 y_2 y_3 y_4$

Xm

ex-or (An Important Operation)

- Used considerably for key addition
 - ► Ex-or (⊕) is a binary operation, which results in 1 when both inputs have a different value. Otherwise 0
 - Application of Ex-or in ciphers
 - During Encryption : $p \oplus k = c$
 - During decryption : $c \oplus k = p$

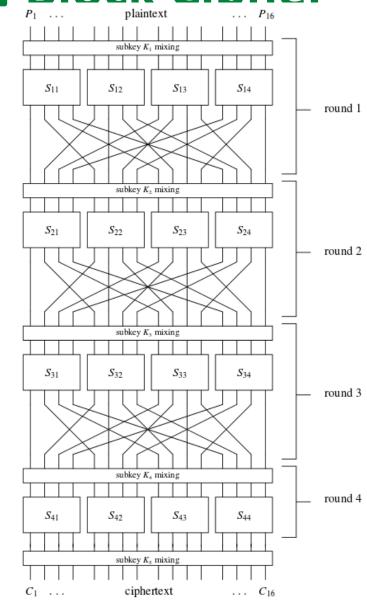
The same operation can be used during encryption as well as decryption

Block Cipher Design Techniques

- Substitution-Permutation Networks (SPN)
 AES, PRESENT, SHARK
- Feistel Ciphers
 - DES, CLEFIA, SERPENT, RC5, ... and many more

A Four Round SPN Block Cipher

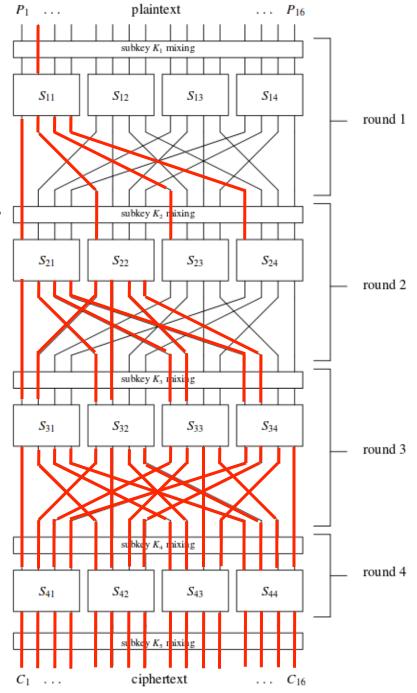
- An SPN block cipher contains repeating rounds of
 - Key addition
 - Add randomization
 - Substitution
 - A non-linear layer
 - Diffusion
 - A linear layer for spreading
- The repeating randomization, nonlinear and linear layers makes it difficult to cryptanalyse
- Used in ciphers such as
 - AES (Advanced Encryption Standard)
 - PRESENT (The Light weight block cipher standard)



SPN: Substitution Permutation Network

Diffusion in the SPN

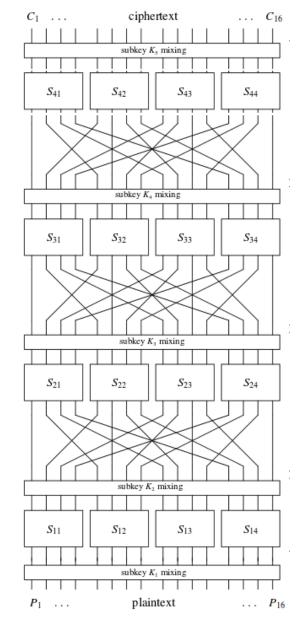
- A single bit of plaintext gets diffused to all bits of the ciphertext.
- If a single bit in the plaintext is flipped
 - Each bit of the ciphertext will flip with probability 1/2
 - In other words, half the bits of the ciphertext will flip.
- If, even a single bit of the key is wrong, half the bits of the ciphertext is flipped



Decryption

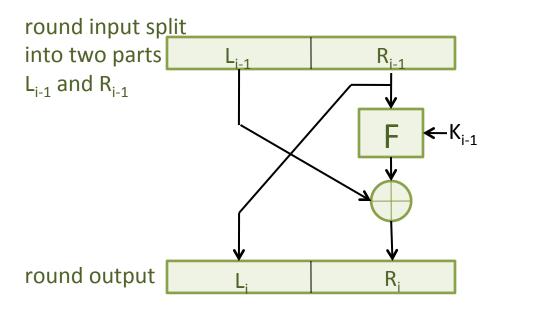
Is the reverse process

- Start with the ciphertext and do all operations in the reverse order
- The round keys are applied in the reverse order
- Permutation layer should be inverse
- Substitution (S-boxes) should be inverse
 - This also means that the inverse of the s-box should exist



Feistel Ciphers

- A popular technique for designing block ciphers
 - Examples: DES, RC5, CLEFIA,
- Does not require invertible substitution and permutation layers



Encryption

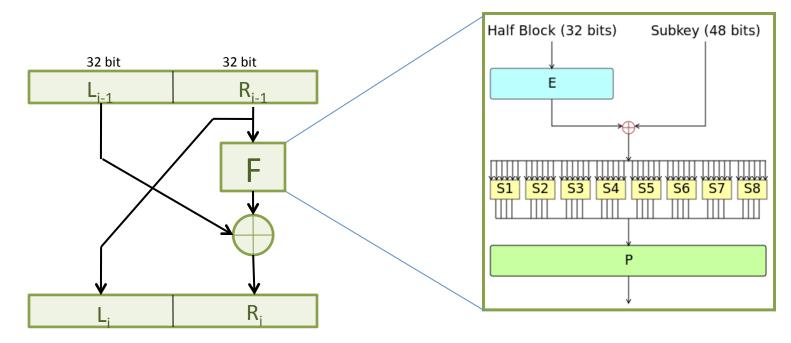
$$L_i = R_i$$
$$R_i = L_i \oplus F(R_{i-1}, K_{i-1})$$

Decryption

$$R_{i-1} = L_i$$
$$L_{i-1} = R_i \oplus F(L_{i-1}, K_{i-1})$$

What does F contain?

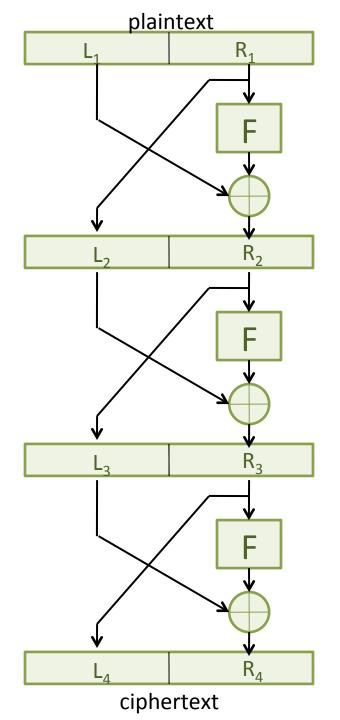
- contains : key mixing, substitution, permutation
- A single round of DES



the sboxes (S1 to S8) are 6x4... they are not invertible

3 round Fiestel cipher

• Iterative



Linear Cryptanalysis

Non-linearity in S-boxes

- In the 1970s, cryptographers took a lot of care in designing s-boxes
 x₁ x₂ x₃ x₄
 - each output bit of the s-box was the output of a complex non-linear function of the input bits. Like this

 $y_1 = a_1x_1 \oplus a_2x_1x_2 \oplus a_3x_1x_5x_2 \cdots$

– also, the value of each output bit was **un-biased** $\dot{y}_1 \dot{y}_2 \dot{y}_3 \dot{y}_4$

i.e. $\Pr[y_i = 0] = \Pr[y_i = 1] = \frac{1}{2}$ for $1 \le i \le n$

This meant that it was difficult to infer anything about x from an output bit

sbox

Linear Approximations

 they overlooked about linear combinations of the s-box output which turned out to be **biased**...such as

> $\Pr[y_1 \oplus x_1 \oplus x_5 \oplus x_7 = 0] \ll \frac{1}{2} \quad \text{or}$ $\Pr[y_1 \oplus x_1 \oplus x_5 \oplus x_7 = 1] \gg \frac{1}{2} \quad \text{high probability of occurrence}$

- This bias was exploited by Mitsuru Matsui in 1993 to attack DES. The attack was known as linear cryptanalysis
 - it is a known plaintext attack
 - required 2⁴³ known plaintext-ciphertext pairs to break DES

Bias

(A measure of deviation from uniform randomness)

- Consider X_1, X_2, \ldots discrete **independent** random variables over $\{0, 1\}$
- Let $\mathbf{Pr}[\mathbf{X}_i = 0] = p_i$, thus $\mathbf{Pr}[\mathbf{X}_i = 1] = 1 p_i$ for i=1,2,3,....
- Due to independence, the joint probability is obtained by simply multiplying. Thus for i ≠ j,

 $\begin{aligned} \mathbf{Pr}[\mathbf{X}_{i} = 0, \mathbf{X}_{j} = 0] &= p_{i}p_{j} \\ \mathbf{Pr}[\mathbf{X}_{i} = 0, \mathbf{X}_{j} = 1] &= p_{i}(1 - p_{j}) \\ \mathbf{Pr}[\mathbf{X}_{i} = 0, \mathbf{X}_{j} = 1] &= p_{i}(1 - p_{j}) \\ \end{aligned}$

• Consider discrete random variables $\mathbf{X_i} \oplus \mathbf{X_j}$ where i $\neq j$

$$\mathbf{Pr}[\mathbf{X}_{i} \oplus \mathbf{X}_{j} = 0] = p_{i}p_{j} + (1 - p_{i})(1 - p_{j})$$
$$\mathbf{Pr}[\mathbf{X}_{i} \oplus \mathbf{X}_{j} = 1] = p_{i}(1 - p_{j}) + (1 - p_{i})p_{j}.$$

Bias

Define **bias** of X_i as •

$$\epsilon_i = p_i - \frac{1}{2}.$$

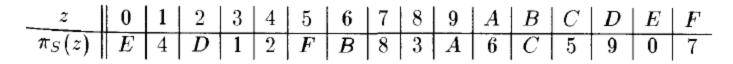
Some properties of the bias • $\frac{1}{2} \leq \epsilon_i \leq \frac{1}{2}$

2
$$\mathbf{Pr}[\mathbf{X}_i = 0] = \frac{1}{2} + \epsilon_i$$
, 3 $\mathbf{Pr}[\mathbf{X}_i = 1] = \frac{1}{2} - \epsilon_i$

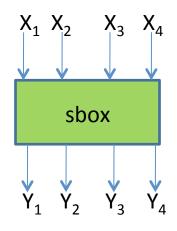
$$\Pr[X_i \oplus X_j = 0] = \Pr[X_i = 0] \Pr[X_j = 0] + \Pr[X_i = 1] \Pr[X_j = 1]$$
$$= \left(\frac{1}{2} + \varepsilon_i\right) \left(\frac{1}{2} + \varepsilon_j\right) + \left(\frac{1}{2} - \varepsilon_i\right) \left(\frac{1}{2} - \varepsilon_j\right) = \left(\frac{1}{2} + 2\varepsilon_i\varepsilon_j\right)$$

- If the bias is 0 then X_i can take values of 0 or 1 with equal • probability The further the bias is from 0 (ie. close to $\pm 1/2$) then X_i takes 0 with higher (or lower) probability
- The bias is therefore a measure of the randomness ۲

Linear Approximations of an s-box How to construct?



X ₁	\mathbf{X}_{2}	X ₃	\mathbf{X}_{4}	Y ₁	\mathbf{Y}_{2}	Ya	\mathbf{Y}_{4}
0	0	0	0	1	1	1	0
0	0	0	1	0	1	0	0
0	0	1	0	1	1	0	1
0	0	1	1	0	0	0	1
0	1	0	0	0	0	1	0
0	1	0	1	1	1	1	1
0	1	1	0	1	0	1	1
0	1	1	1	1	0	0	0
1	0	0	0	0	0	1	1
1	0	0	1	1	0	1	0
1	0	1	0	0	1	1	0
1	0	1	1	1	1	0	0
1	1	0	0	0	1	0	1
1	1	0	1	1	0	0	1
1	1	1	0	0	0	0	0
1	1	1	1	0	1	1	1

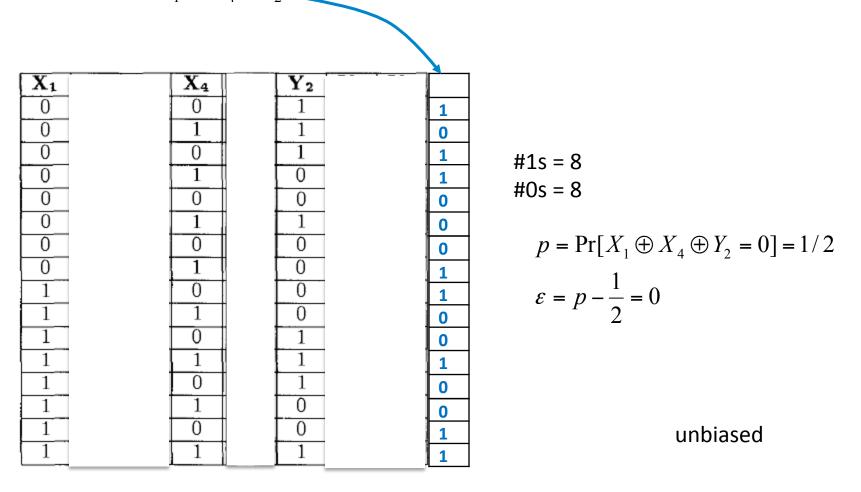


Represent the s-box in binary as in the following table

Linear Approximations of an s-box

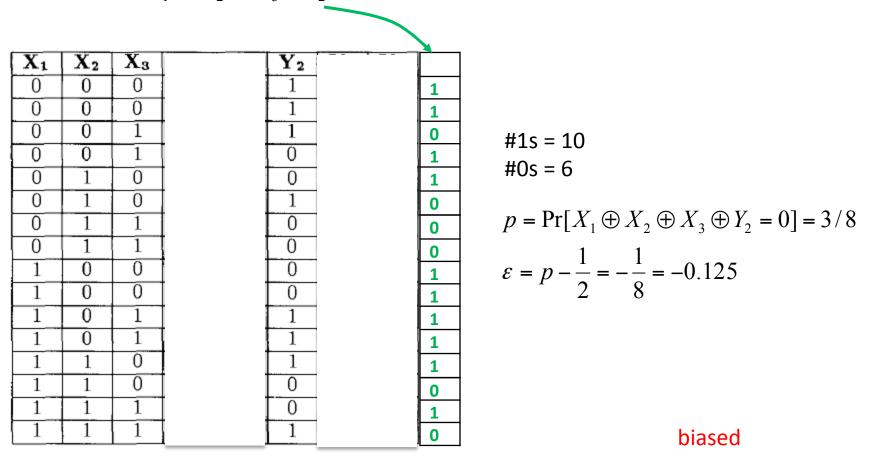
Consider a linear combination of inputs and ouputs

For example $X_1 \oplus X_4 \oplus Y_2$ and fill in the truth table



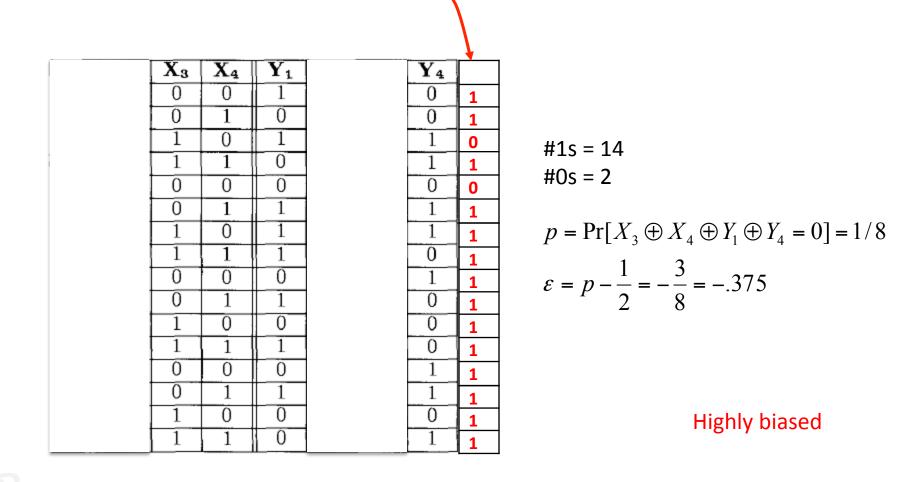
Linear Approximations of an s-box

Consider a linear combination of inputs and ouputs for example $X_1 \oplus X_2 \oplus X_3 \oplus Y_2$ and fill in the truth table



Linear Approximations of an s-box

Consider another example $X_3 \oplus X_4 \oplus Y_1 \oplus Y_4$ and fill in the truth table

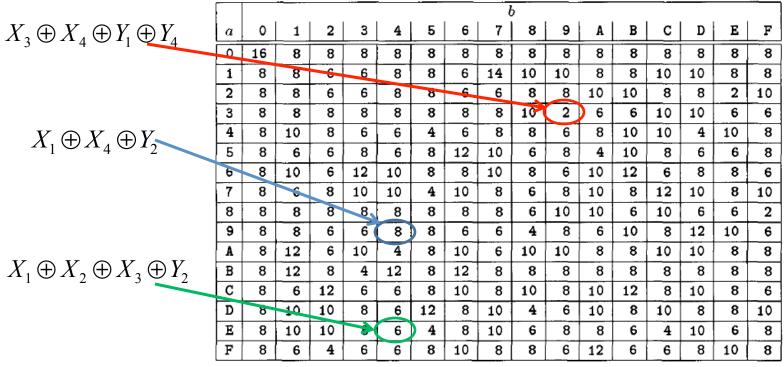


Linear Approximation Tables

$$\left(\bigoplus_{i=1}^4 a_i \mathbf{X_i}\right) \oplus \left(\bigoplus_{i=1}^4 b_i \mathbf{Y_i}\right)$$

$$\varepsilon(a,b) = \frac{NL(a,b) - 8}{16}$$

where $a_i \in \{0, 1\}, b_i \in \{0, 1\}, i = 1, 2, 3, 4$.



Linear Approximation Table

(captures number of 0s in the truth table)

What does the linear approximations mean

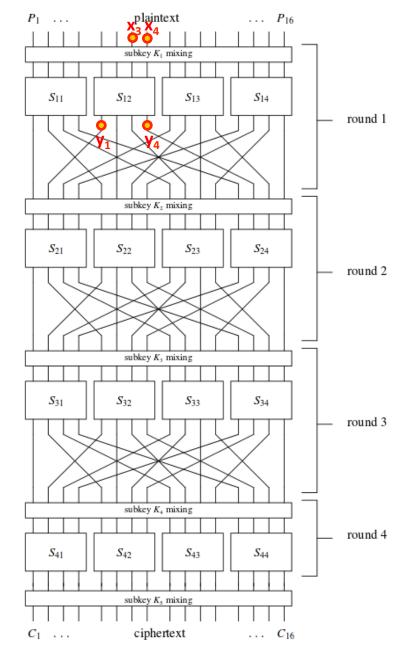
 $X_3 \oplus X_4 \oplus Y_1 \oplus Y_4$

• If we do the following

```
while(large number of times) {
   generate a random plaintext
   z = ex-or(x<sub>3</sub>, x<sub>4</sub>, y<sub>1</sub>, y<sub>4</sub>)
}
```

• The probability that z takes the value 0 is 1/8

How do we use this fact to attack the block cipher?



Piling-up Lemma

Consider two linear combinations of random variables

 $X_{A} = X_{1} \oplus X_{2} \oplus X_{3}$ having bias ε_{A} $X_{B} = X_{4} \oplus X_{5} \oplus X_{6}$ having bias ε_{B} What is the bias of $X_{A} \oplus X_{B}$?

The resultant bias ε_{AB} can be computed by the Pilingup Lemma

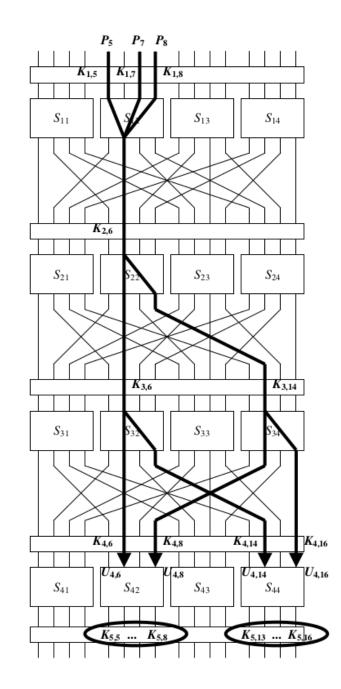
LEMMA (Piling-up lemma) Let $\epsilon_{i_1,i_2,...,i_k}$ denote the bias of the random variable $\mathbf{X}_{i_1} \oplus \cdots \oplus \mathbf{X}_{i_k}$. Then

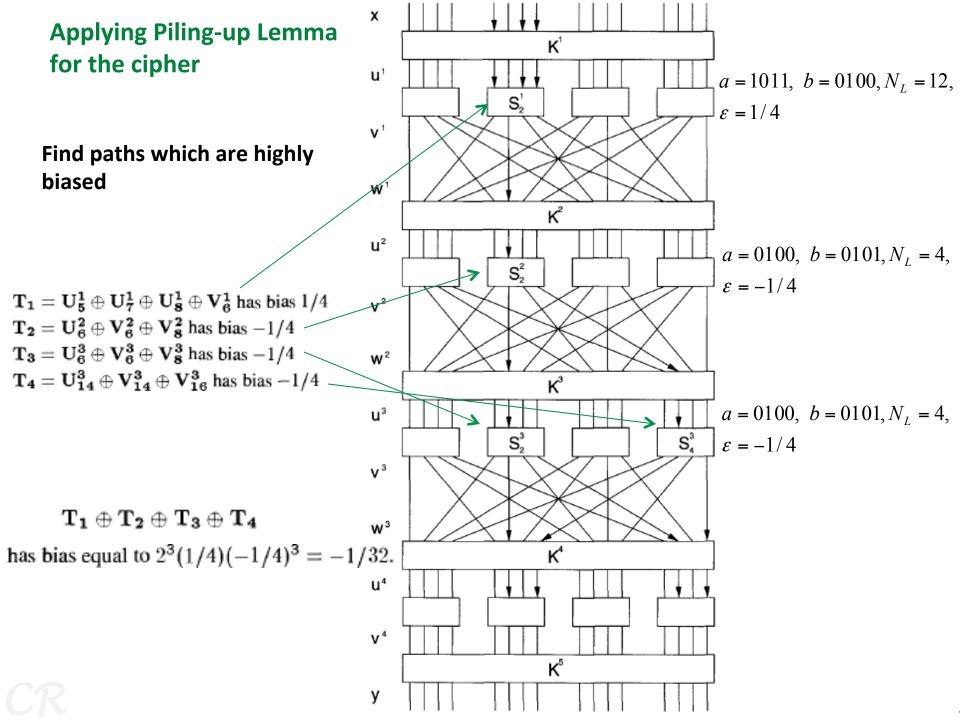
$$\epsilon_{i_1, i_2, \dots, i_k} = 2^{k-1} \prod_{j=1}^k \epsilon_{i_j}.$$

Proof by Mathematical Induction

The General Attack Scheme

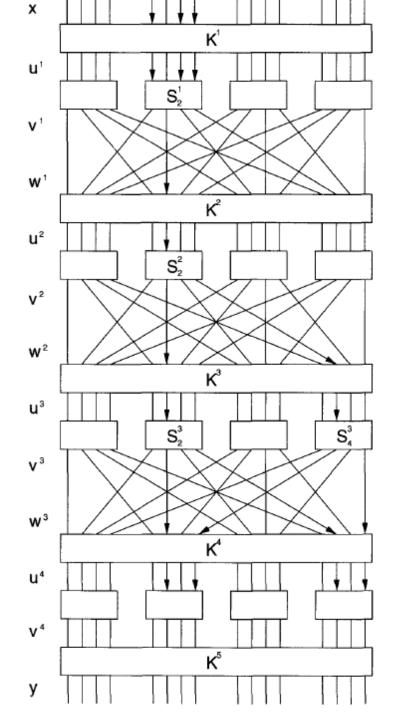
- 1. Use piling up lemma to identify linear trails in the cipher, which have high bias.
 - Compute the bias till the pen-ultimate round
- 2. To determine $\mathbf{k} = (K_{5,5} K_{5,8})$ do the following
 - a. Guess the value of **k (16 possibilities)**
 - b. Compute S⁻¹(k ^ c_i) for each ciphertext (we get a distribution)
 - c. Determine if the bias matches the theoretical estimates.





$$\begin{split} T_1 &= U_5^1 \oplus U_7^1 \oplus U_8^1 \oplus V_6^1 = X_5 \oplus K_5^1 \oplus X_7 \oplus K_7^1 \oplus X_8 \oplus K_8^1 \oplus V_6^1 \\ T_2 &= U_6^2 \oplus V_6^2 \oplus V_8^2 \\ T_3 &= U_6^3 \oplus V_6^3 \oplus V_8^3 \\ T_4 &= U_{14}^3 \oplus V_{14}^3 \oplus V_{16}^3 \\ \end{split}$$

$$\begin{split} \mathbf{T_1} \oplus \mathbf{T_2} \oplus \mathbf{T_3} \oplus \mathbf{T_4} \\ \mathbf{X_5} \oplus \mathbf{X_7} \oplus \mathbf{X_8} \oplus \mathbf{V_6^3} \oplus \mathbf{V_8^3} \oplus \mathbf{V_{14}^3} \oplus \mathbf{V_{16}^3} \\ & \oplus \mathbf{K_5^1} \oplus \mathbf{K_7^1} \oplus \mathbf{K_8^1} \oplus \mathbf{K_6^2} \oplus \mathbf{K_6^3} \oplus \mathbf{K_{14}^3} \\ \end{split}$$
has bias equal to $2^3(1/4)(-1/4)^3 = -1/32$.



41

CR

$$\begin{array}{c} X_5 \oplus X_7 \oplus X_8 \oplus V_6^3 \oplus V_8^3 \oplus V_{14}^3 \oplus V_{16}^3 \\ \\ \oplus K_5^1 \oplus K_7^1 \oplus K_8^1 \oplus K_6^2 \oplus K_6^3 \oplus K_{14}^3 \end{array}$$

From the cipher

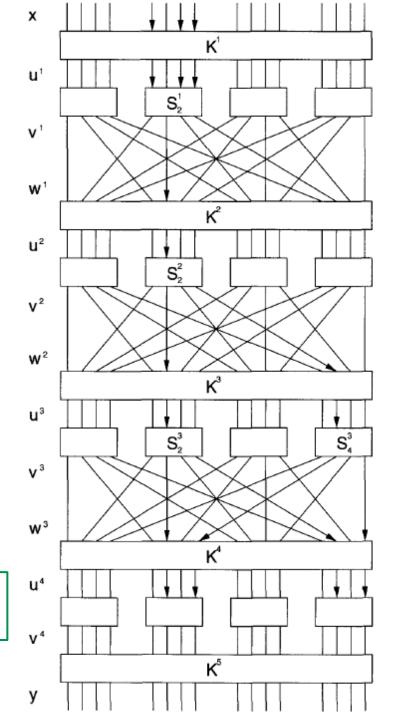
$$V_6^3 = U_6^4 \oplus K_6^4$$
$$V_8^3 = U_{14}^4 \oplus K_{14}^4$$
$$V_{14}^3 = U_8^4 \oplus K_8^4$$
$$V_{16}^3 = U_{16}^4 \oplus K_{16}^4$$

Thus,

$$\begin{split} \mathbf{X_5} \oplus \mathbf{X_7} \oplus \mathbf{X_8} \oplus \mathbf{U_6^4} \oplus \mathbf{U_8^4} \oplus \mathbf{U_{14}^4} \oplus \mathbf{U_{16}^4} \\ \oplus \mathbf{K_5^1} \oplus \mathbf{K_7^1} \oplus \mathbf{K_8^1} \oplus \mathbf{K_6^2} \oplus \mathbf{K_6^3} \oplus \mathbf{K_{14}^3} \oplus \mathbf{K_6^4} \oplus \mathbf{K_8^4} \oplus \mathbf{K_{14}^4} \oplus \mathbf{K_{16}^4} \\ \text{has bias equal to } 2^3(1/4)(-1/4)^3 &= -1/32. \end{split}$$

Now,, the key part is a constant (either 0 or 1) $K_5^1 \oplus K_7^1 \oplus K_8^1 \oplus K_6^2 \oplus K_6^3 \oplus K_{14}^3 \oplus K_6^4 \oplus K_8^4 \oplus K_{14}^4 \oplus K_{16}^4$

Thus, bias of $X_5 \oplus X_7 \oplus X_8 \oplus U_6^4 \oplus U_8^4 \oplus U_{14}^4 \oplus U_{16}^4$ is either +1/32 or -1/32 depending on the key bits



The Linear Cryptanalysis Attack

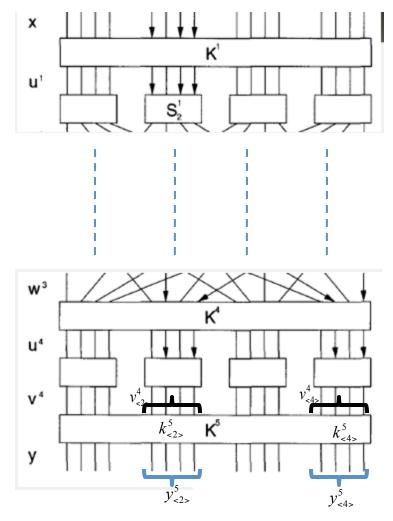
- The attacker needs
 - A large number of plaintext-ciphertext pairs
 - We denote each pair by (x,y) x: plaintext, y: ciphertext
 - For the Toy cipher above (approx 8000)
 - For a cipher like DES 2⁴⁸
 - all plaintexts are encrypted with the same key

• The attack

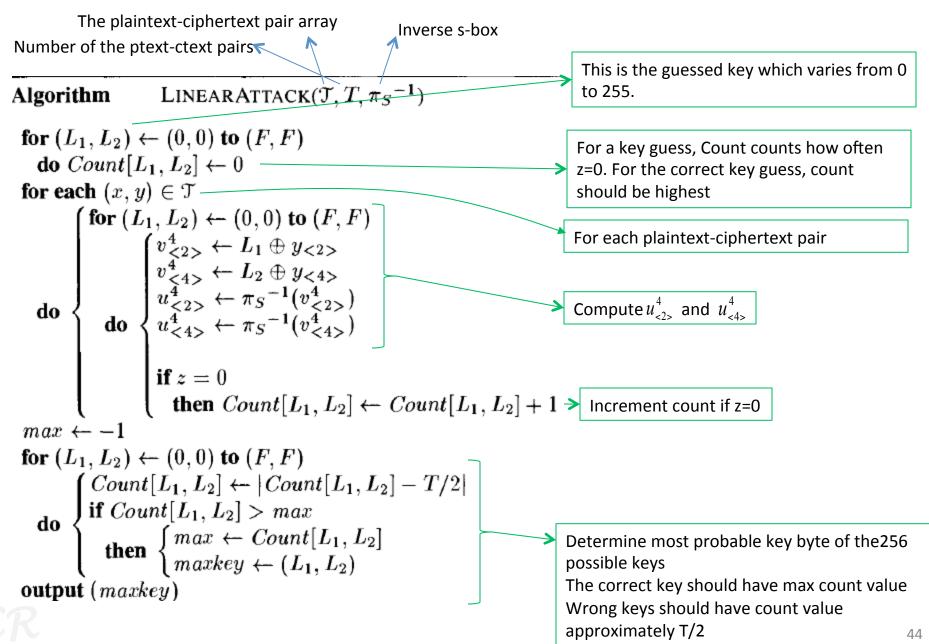
- 1. Guess $k_{<2>}^5$ and $k_{<4>}^5$ (256 possibilities)
- 2. For each $y_{<2>}^5$ and $y_{<4>}^5$ compute $v_{<2>}^4$ and $v_{<4>}^4$
- 3. Then compute inv-sbox($v_{<2>}^4$) and inv-sbox($v_{<4>}^4$) to obtain $u_{<2>}^4$ and $u_{<4>}^4$
- 4. Now compute

$$z \leftarrow x_5 \oplus x_7 \oplus x_8 \oplus u_6^4 \oplus u_8^4 \oplus u_{14}^4 \oplus u_{16}^4$$

If the key guess is correct, the bias of z must be $\pm 1/32$ (i.e. z must be 0 (or 1) with probability $1/2 \pm 1/32$) If the key guess is wrong, the bias of z must be 0 (i.e. z must be 0 (or 1) with probability 1/2)



The Linear Cryptanalysis Attack



Differential Cryptanalysis

Differential Cryptanalysis

- Attributed to Eli Biham and Adi Shamir in CRYPTO'90
 - Althought, the idea was known in the 1970s by IBM (and the NSA)
 - In IBM, this used to be known as T-attack or Tickle attack
- Differential cryptanalysis is a chosen plaintext attack
 - It requires 2⁴⁷ chosen plaintexts to break DES

Differentials

- If we have two Boolean linear equations such as $A = a \oplus b \oplus k_1 \oplus k_2$ $B = c \oplus d \oplus k_1 \oplus k_2$
- Then, the differential is their ex-or $A \oplus B = a \oplus b \oplus c \oplus d$
- Note that the common terms are cancelled out

$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c} 6 & 7 \\ \hline B & 8 \\ \end{array}$	$\begin{array}{c c} 8 & 9 \\ \hline 3 & A \end{array}$	$\begin{vmatrix} A \\ 6 \end{vmatrix}$	$\begin{array}{c c} \textbf{b} & \textbf{c} & \textbf{b} & \textbf{s-box} \\ \hline B & C & D & E & F \\ \hline C & 5 & 9 & 0 & 7 \\ \hline an \text{ s-box} \end{array} \qquad \begin{array}{c} \textbf{x}_1 & \textbf{x}_2 & \textbf{x}_3 & \textbf{x}_4 \\ \hline \textbf{x}_1 & \textbf{x}_2 & \textbf{x}_3 & \textbf{x}_4 \\ \hline \textbf{x}_1 & \textbf{x}_2 & \textbf{x}_3 & \textbf{x}_4 \end{array}$								
 Let y and y* be the corresponding outputs 												
Differential I	nput	: x' =	$x \oplus$									
Differential	Dutput	: y'=	y⊕	y^* $y_1 y_2 y_3 y_4$								
• If x' is $(1011)_2$	DO 1011 D1 1010 10 1001 11 1000 11 1000 11 1000 10 1111 11 1101 11 1100 10 1101 11 1100 10 0011 11 0010 10 0001 11 0000 11 0000 11 0000	y 1110 0100 1101 0001 0010 1111 1001 0011 1000 0101 1001	y* 1100 0110 1010 0011 0101 0101 0101 0100 1110 1000 1001 1000 1011	y' 0010 0111 0010 0101 1111 0010 1101 0010 0111 0010 0010 1101 0010								
III III III	10 0101	0000	1111 0010	1111 0101								

Differentials of an s-box

If x' is (1011)₂:

x	x^*	y	y^*	y'
0000	1011	1110	1100	0010
0001	1010	0100	0110	0010
0010	1001	1101	1010	0111
0011	1000	0001	0011	0010
0100	1111	0010	0111	0101
0101	1110	1111	0000	1111
0110	1101	1011	1001	0010
0111	1100	1000	0101	1101
1000	0011	0011	0001	0010
1001	0010	1010	1101	0111
1010	0001	0110	0100	0010
1011	0000	1100	1110	0010
1100	0111	0101	1000	1101
1101	0110	1001	1011	0010
1110	0101	0000	1111	1111
1111	0100	0111	0010	0101

0001	0010	0011	0100	0101	0110	0111
0	8	0	0	2	0	2
1001	1010	1011	1100	1101	1110	1111
0	0	0	0	2	0	2
	0	0 8	0 8 0	0 8 0 0	0 8 0 0 2	$\begin{array}{c c c c c c c c c c c c c c c c c c c $

Note the non-uniformity..... This non-uniformity Is used in differential cryptanalysis

Differential Distribution Table

of the s-box

S-box output difference

									b'									
	a'	0	1	2	3	4	5	6	7	8	9	A	B	С	D	E	F	
	0	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	1	0	0	0	2	0	0	0	2	0	2	4	0	4	2	0	0	
Ce	2	0	0	0	2	0	6	2	2	0	2	0	0	0	0	2	0	
ũ	3	0	0	2	0	2	0	0	0	0	4	2	0	2	0	0	4	
ere	4	0	0	0	2	0	0	6	0	0	2	0	4	2	0	0	0	
differenc	5	0	4	0	0	0	2	2	0	0	0	4	0	2	0	0	2	1
di	6	0	0	0	4	0	4	0	0	0	0	0	0	2	2	2	2	
	7	0	0	2	2	2	0	2	0	0	2	2	0	0	0	0	4	
nput	8	0	0	0	0	0	0	2	2	0	0	0	4	0	4	2	2	
.⊆	9	0	2	0	0	2	0	0	4	2	0	2	2	2	0	0	0	
×	A	0	2	2	0	0	0	0	0	6	0	0	2	0	0	4	0	
x oq	B	0	0	8	0	0	2	0	2	0	0	0	0	0	2	0	2	
പ്പ	С	0	2	0	0	2	2	2	0	0	0	0	2	0	6	0	0	
	D	0	4	0	0	0	0	0	4	2	0	2	0	2	0	2	0	
	E	0	0	2	4	2	0	0	0	6	0	0	0	0	0	2	0	
	F	0	2	0	0	6	0	0	0	0	4	0	2	0	0	2	0	

$$R_p(a',b') = \frac{N_D(a',b')}{2^m}.$$

Probability that output difference Is b' given that input difference is a'

> This is known as the *Propagation Ratio*

$$N_D(x', y') = |\{(x, x^*) \in \Delta(x') : \pi_S(x) \oplus \pi_S(x^*) = y'\}|.$$

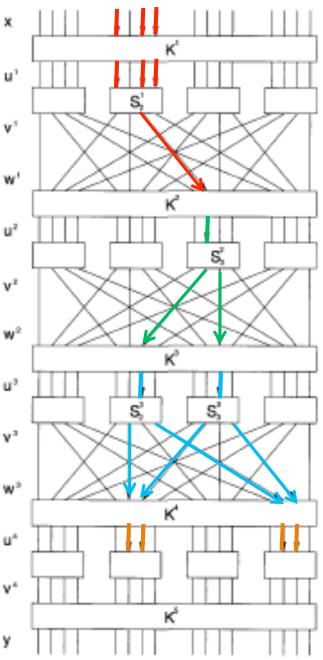
Counts the number of times input difference is x' and output difference of the s-box is y'

Differential trails in a cipher

- First note that the differential output y' does not depend on the secret key
- Choose a set of consecutive s-boxes so that differences propagate with high propagation ratio. This is the differential trail.
 - In S_2^1 , $R_p(1011, 0010) = 1/2$
 - In S_3^2 , $R_p(0100, 0110) = 3/8$
 - In S³₂, R_p(0010, 0101) = 3/8
 - In S_3^3 , $R_p(0010, 0101) = 3/8$
- Assuming independence between the s-boxes in the trail, propagation ratio for the trail is the product of individual propagation ratios.

 $R_p(0000\ 1011\ 0000\ 0000,\ 0000\ 0101\ 0101\ 0000) = \frac{1}{2} \times \left(\frac{3}{8}\right)^3 = \frac{27}{1024}.$

This means that, if the input difference is (0000 1011 0000 0000) then the probability that the output difference is (0000 0101 0101 0000) is 27/1024



51

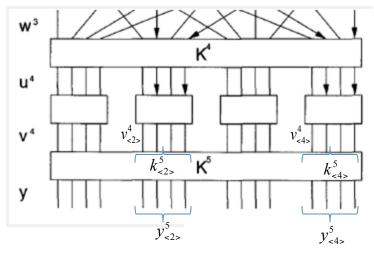
The Differential Cryptanalysis Attack

- The attacker needs
 - A large number of chosen plaintext-ciphertext pairs encrypted with the same key

 $v_{<4>}^{4}$

- The attack
 - 1. Guess $k_{<2>}^5$ and $k_{<4>}^5$ (256 possibilities)
 - 2. Compute $v_{<2>}^4$ and $v_{<4>}^4$ for each plaintext –ciphertext using the guessed key
 - 3. Compute the difference between the inv-sbox($v_{<2>}^4$) and inv-sbox($v_{<4>}^4$)
 - 4. Test if the required differential is obtained.

If the key guess is correct, the correct differential will be obtained with a probability of 27/1024 If the key guess is wrong, the differential will be obtained with a probability which is much lower (1/256)



The Differential Cryptanalysis Algorithm

Algorithm 3.3: DIFFERENTIALATTACK($\mathcal{T}, T, \pi_S^{-1}$)
for $(L_1, L_2) \leftarrow (0, 0)$ to (F, F) do $Count[L_1, L_2] \leftarrow 0$ for each $(x, y, x^*, y^*) \in \mathcal{T}$
$(if(y_{<1>} = (y_{<1>})^*) and (y_{<3>} = (y_{<3>})^*)$
for $(L_1, L_2) \leftarrow (0, 0)$ to (F, F)
$\mathbf{do} \left\{ \begin{array}{c} \mathbf{then} \\ \mathbf{do} \\ \left\{ \begin{array}{c} \mathbf{then} \\ \mathbf{do} \\ \mathbf{do} \\ \mathbf{do} \end{array} \right\} \left\{ \begin{array}{c} v_{<2>}^{4} \leftarrow L_{2} \oplus y_{<4>} \\ v_{<4>}^{4} \leftarrow L_{2} \oplus y_{<4>} \\ u_{<2>}^{4} \leftarrow \pi_{S}^{-1}(v_{<2>}^{4}) \\ u_{<4>}^{4} \leftarrow \pi_{S}^{-1}(v_{<4>}^{4}) \\ (v_{<4>}^{4})^{*} \leftarrow L_{2} \oplus (y_{<4>})^{*} \\ (v_{<4>}^{4})^{*} \leftarrow \pi_{S}^{-1}((v_{<4>}^{4})^{*}) \\ (u_{<2>}^{4})^{*} \leftarrow \pi_{S}^{-1}((v_{<4>}^{4})^{*}) \\ (u_{<4>}^{4})^{*} \leftarrow \pi_{S}^{-1}((v_{<4>}^{4})^{*}) \\ (u_{<4>}^{4})^{*} \leftarrow \pi_{S}^{-1}((v_{<4>}^{4})^{*}) \\ (u_{<4>}^{4})^{'} \leftarrow u_{<4>}^{4} \oplus (u_{<4>}^{4})^{*} \\ (u_{<4>}^{4})^{'} \leftarrow u_{<4>}^{4} \oplus (u_{<4>}^{4})^{*} \\ \mathbf{if} ((u_{<2>}^{4})^{'} = 0110) \\ \mathbf{then} \ Count[L_{1}, L_{2}] \leftarrow Count[L_{1}, L_{2}] + 1 \end{array} \right\} \right\}$
$max \leftarrow -1$
for $(L_1, L_2) \leftarrow (0, 0)$ to (F, F)
$ do \begin{cases} if Count[L_1, L_2] > max \\ then \begin{cases} max \leftarrow Count[L_1, L_2] \\ maxkey \leftarrow (L_1, L_2) \end{cases} \end{cases} $
output (maxkey)

Function inputs are the plaintext-ciphertext Differentials, T is the number of them, and the Inverse of the targeted s-box

The guessed key (L1, L2) : is of 256 values

For each differential, do an initial filtering, and then compute $u_{<2>}^4$ and $u_{<4>}^4$. If these result in the targeted differential 0110, 0110, then increment The count for the corresponding key guess

The values of (L_1, L_2) which has the maximum count Implies, that it is the case where the targeted Differential appears most often. This (L_1, L_2) is the likely key.

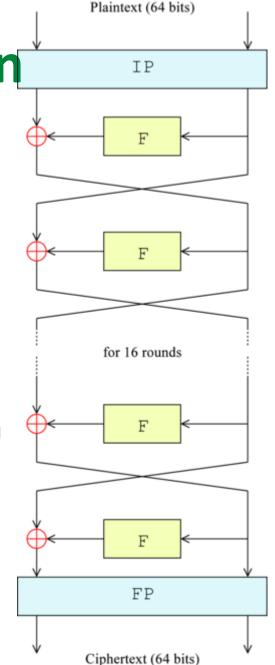
DES (Data Encryption Standard)

History of DES

- Standardized in 1977 by FIPS , as the standard for data encryption
- Based on a Feistel cipher called Lucifer (Lucifer is a Feistel cipher developed by IBM in the early '70s)
- NSA made some minor (supposedly controversial) modifications to the Lucifer algorithm
 - Reduced the key size from 64 bits to 56 bits
 - Modifications to the s-boxes

DES Specification

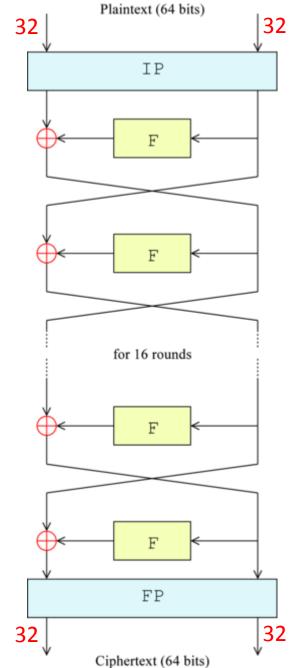
- Block Size : 64 bits
- Key size : 56 bits (+8 parity bits)
- Structure : Fiestel
- Rounds : 16
- Algorithm specifies : encryption / decryption algorithm key expansion algorithm



DES Initial and Final Permutation

- Plaintext subjected to an Initial permutation (IP) initially
- After 16 rounds, there is a final permutation (FP) before the ciphertext is generated

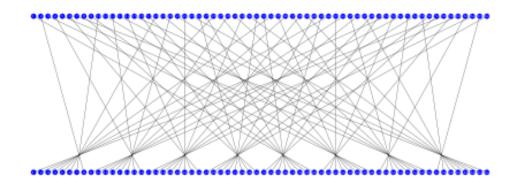
neither operation has any cryptographic significance. Used to facilitate loading of blocks in and out of 1970s eight bit computer



IP and FP

Initial Permutation (IP)

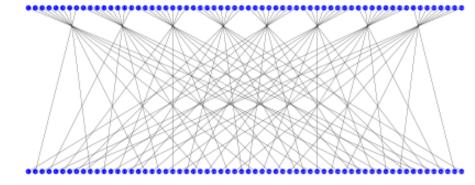
58	50	42	34	26	18	10	2
60	52	44	36	28	20	12	4
62	54	46	38	30	22	14	6
64	56	48	40	32	24	16	8
57	49	41	33	25	17	9	1
59	51	43	35	27	19	11	3
61	53	45	37	29	21	13	5
						15	



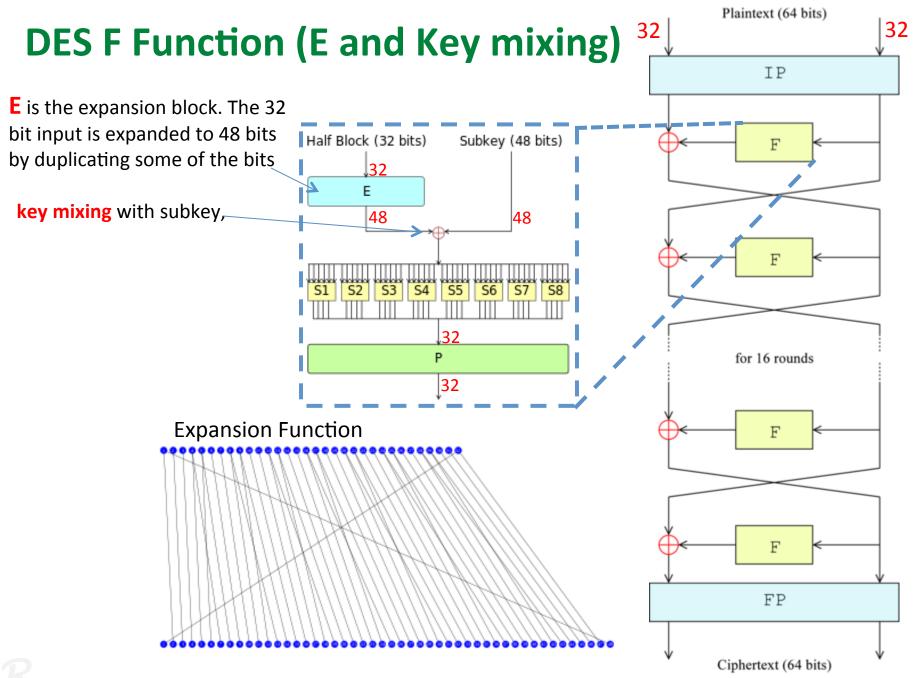
The first bit of the o/p is taken from the 58th input bit

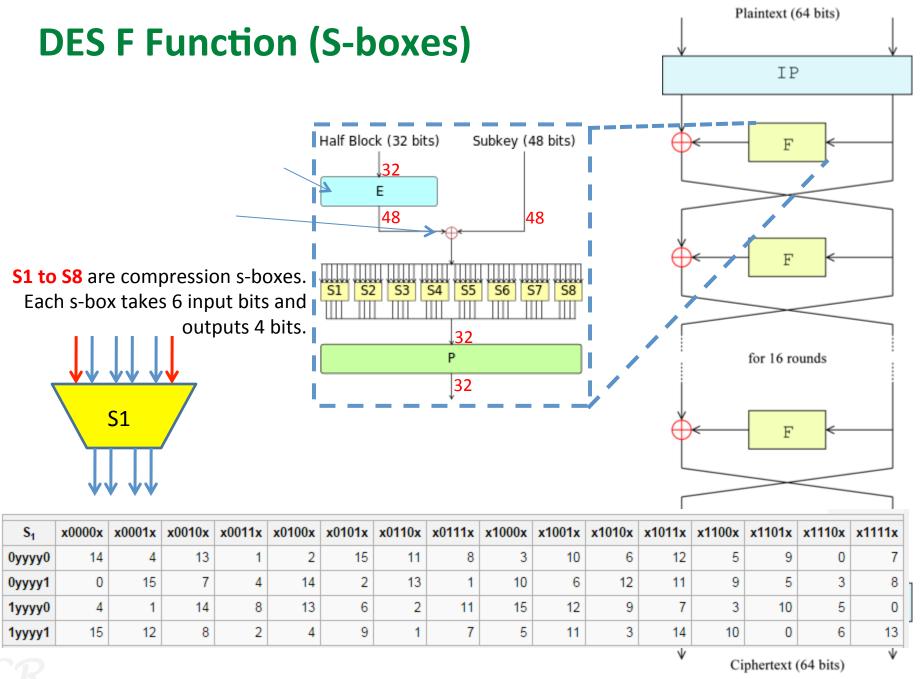
Final Permutation (FP = IP⁻¹)

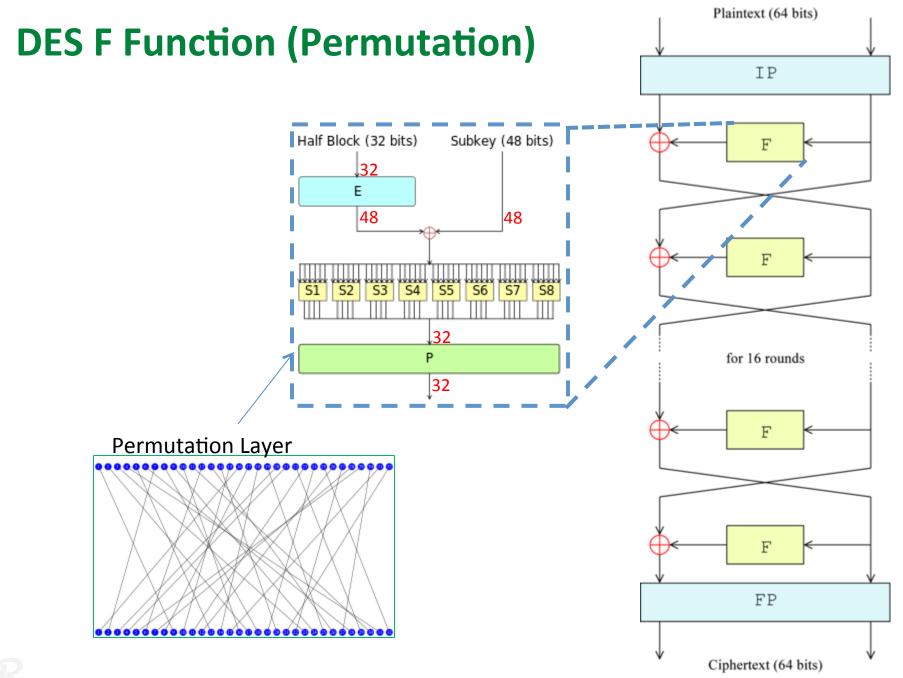
40	8	48	16	56	24	64	32
39	7	47	15	55	23	63	31
38	6	46	14	54	22	62	30
37	5	45	13	53	21	61	29
36	4	44	12	52	20	60	28
35	3	43	11	51	19	59	27
34	2	42	10	50	18	58	26
33	1	41	9	49	17	57	25



This is the inverse of IP



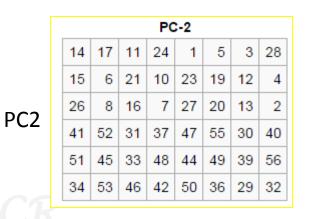




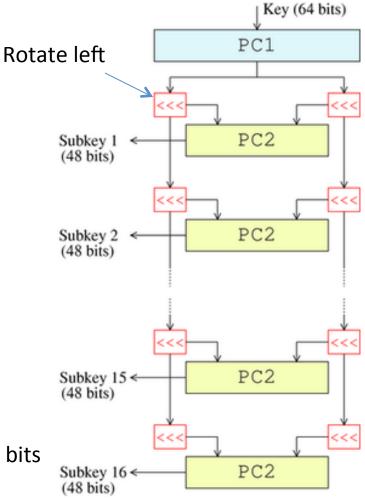
DES Key Expansion

- 64 bits input
 - Of which 8 are discarded (or used for parity)
- No non-linear components

				Left			Right							
	57	49	41	33	25	17	9	63	55	47	39	31	23	15
PC1	1	58	50	42	34	26	18	7	62	54	46	38	30	22
	10	2	59	51	43	35	27	14	6	61	53	45	37	29
	19	11	3	60	52	44	36	21	13	5	28	20	12	4



Select 48 out of the 56 bits



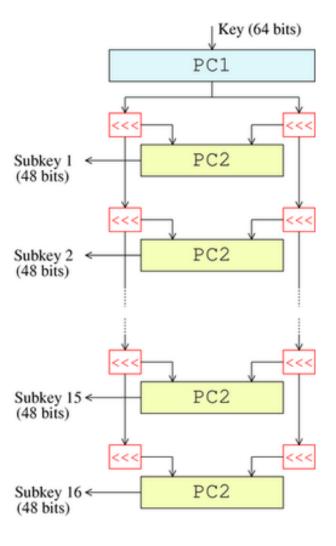
DES Decryption

• Same as encryption algorithm, with subkeys applied in reverse order

DES Weak Keys

- In a DES weak key, all the subkeys are the same
 Thus DES_{WK}(DES_{WK}(x)) = x
 (WK is a weak key)
- DES weak keys are as follows

56 bit DES weak keys
0000000 0000000
FFFFFFF FFFFFFF
0000000 FFFFFFF
FFFFFF 0000000



DES Semi weak keys

- Semi-weak keys have the following properties
 - They appear in pairs: (SK1 and SK1')
 - $DES_{SK1}(DES_{SK1'}(x)) = x$
 - Each semi-weak key has only two sub keys.

	SK1	SK1'
1	9153E54319BD	6EAC1ABCE642
2	6EAC1ABCE642	9153E54319BD
3	6EAC1ABCE642	9153E54319BD
4	6EAC1ABCE642	9153E54319BD
5	6EAC1ABCE642	9153E54319BD
6	6EAC1ABCE642	9153E54319BD
7	6EAC1ABCE642	9153E54319BD
8	6EAC1ABCE642	9153E54319BD
9	9153E54319BD	6EAC1ABCE642
10	9153E54319BD	6EAC1ABCE642
11	9153E54319BD	6EAC1ABCE642
12	9153E54319BD	6EAC1ABCE642
13	9153E54319BD	6EAC1ABCE642
14	9153E54319BD	6EAC1ABCE642
15	9153E54319BD	6EAC1ABCE642
16	6EAC1ABCE642	9153E54319BD

DES Semi weak key pairs

First key in the pair	Second key in the pair
01FE 01FE 01FE 01FE	FE01 FE01 FE01 FE01
1FE0 1FE0 0EF1 0EF1	E01F E01F F10E F10E
01E0 01E0 01F1 01F1	E001 E001 F101 F101
1FFE 1FFE 0EFE 0EFE	FE1F FE1F FE0E FE0E
011F 011F 010E 010E	1F01 1F01 0E01 0E01
E0FE E0FE F1FE F1FE	FEE0 FEE0 FEF1 FEF1

Objections to DES

• Key size matters

- Brute Force Attacks due to the small key size

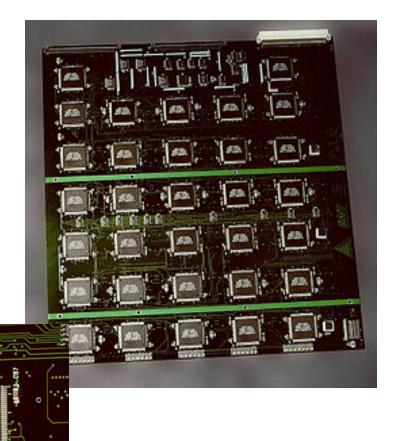
- S-box secrecy
 - During the initial years, the rationale for the DES s-box was kept secret (... to increase security).
- Mathematical attacks :
 - Differential Cryptanalysis
 - Linear Cryptanalysis

DES Cracker

a life 1

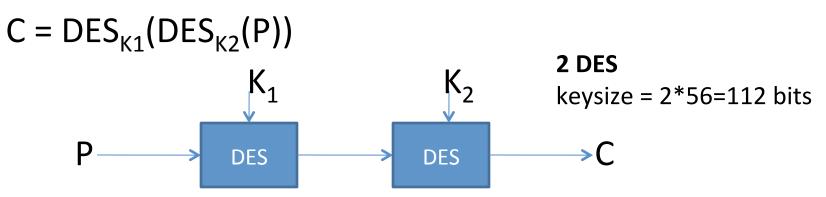
- Specialized ASICs for DES bruteforce
- Could determine the secret key in less than a day

.... Need to increase key length!!

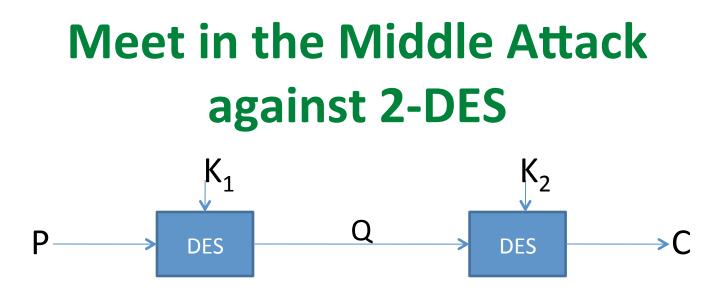


DES Composition

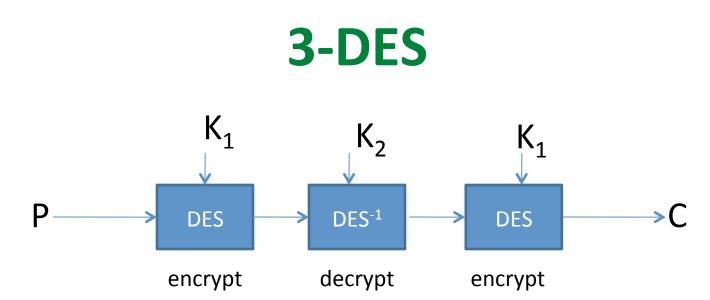
• Key size can be increased by composition



 DES does not form a group under composition.
 i.e. It is not possible to obtain DES_{K1}(DES_{K2}(P)) = DES_{K3}(P) for some key K3



- Attacker collects a pair of (P,C)
 - 1. For P, compute $Q_{K1^*} = DES_{K1^*}(P)$ for every possible value of K1*. Record the corresponding Q_{K1^*}
 - 2. For C, compute $Q_{K2^*} = DES^{-1}_{K2^*}$ (C) for every possible value of K2*. Record the corresponding Q_{K2^*}
 - 3. Find all K1* and K2* such that $Q_{K1*} = Q_{K2*}$
 - 4. If Multiple such K1* and K2* are found, then repeat with another pair of (P,C)
- Complexity of this attack is $2^{56}+2^{56} = 2^{57}$

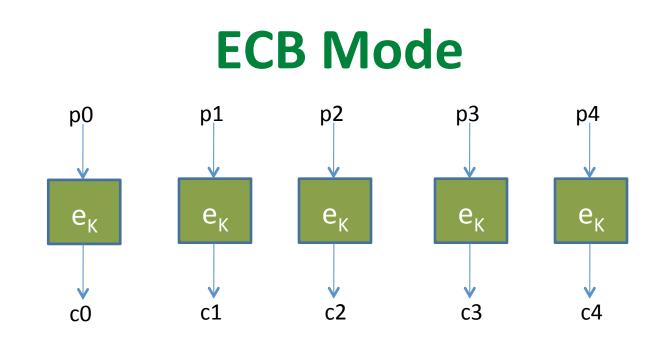


- 112 bit security as in 2-DES
- Encrypt \rightarrow Decrypt \rightarrow Encrypt
- K1 \rightarrow K2 \rightarrow K1 (two 56 bit keys)
- Why EDE and not EEE?
 - Compatibility with the classical DES if $K_1 = K_2$
- Used extensively as a stopgap arrangement until a new cipher standard (AES) was established
- Drawbacks of 3-DES:
 - Sluggish in software
 - Could only encrypt 64 bit blocks at a time

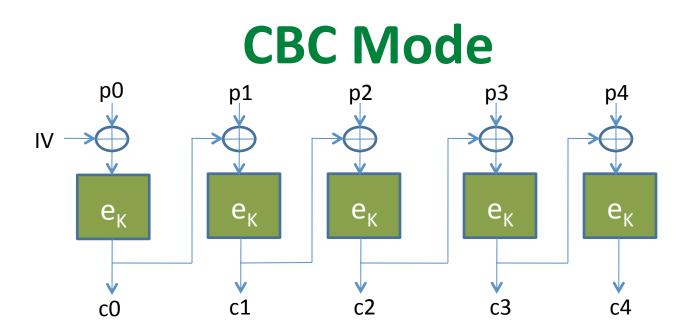
Modes of Operation

What are Modes of Operation?

- Block cipher algorithms only encrypt a single block of message
- A mode of operation describes how to repeatedly apply a cipher's single-block operation to securely transform amounts of data larger than a block
- Modes of Operation
 - Electronic code book mode (ECB Mode)
 - Cipher feedback mode (CFB Mode)
 - Cipher block chaining mode (CBC mode)
 - Output feedback mode (OFB mode)
 - Counter mode

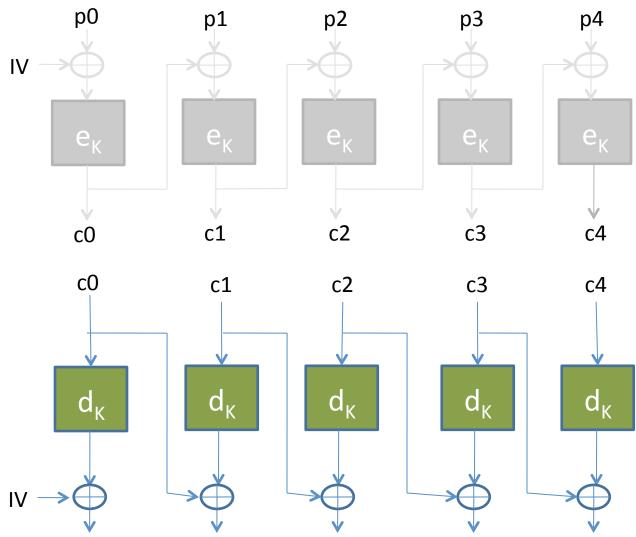


- Every block in the message is encrypted independently with the same key
- Drawback 1 : If $p_i = p_j$ (i \neq j) then $c_i = c_j$
 - Encryption should protect against known plaintext attacks (since the attacker could guess parts of the message..... Like stereotype beginnings)
- Drawback 2 : An interceptor may alter the order of the blocks during transmission
- Not recommended for encryption of more than one block



- Cipher Block Chaining
- Advantage 1 : Encryption dependent on a the ciphertext of a previous block, therefore
 - $c_i \neq c_j$ (i \neq j) even if $p_i = p_j$
- Advantage 2: Intruder cannot alter the order of the blocks during transmission
- If an error is present in one received block (say c_i)
 - $\quad \text{Then } c_i \text{ and } c_{i+1} \text{ will not be decrypted correctly} \\$
 - All remaining blocks will be correctly decrypted

CBC Mode Decryption



р2

p1

р3

p4

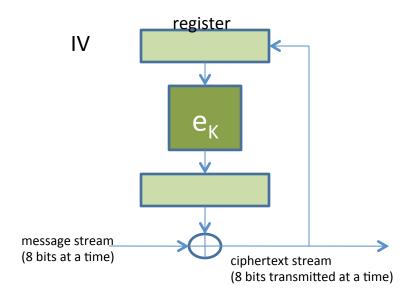
p0

CFB (Cipher feedback Mode)

Can transform a block cipher into a stream cipher.

i.e. Each block encrypted with a different key

Uses a shift register that is initialized with an IV



Encryption Scheme

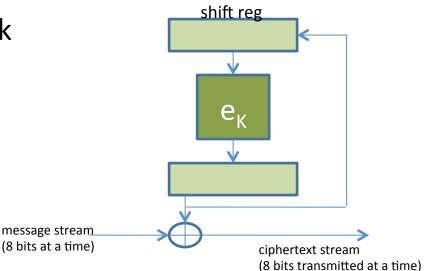
CFB - Error Propagation

Uses a shift register that is initialized with an IV Previous ciphertext block fed into shift register

Decryption Scheme

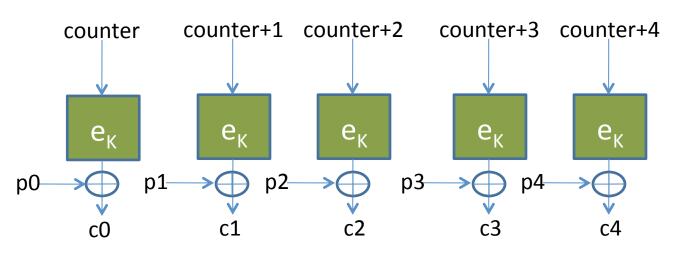
Output Feedback Mode (OFB)

- Very similar to CFB but feedback taken from output of e_k
- An error in one byte of the ciphertexts affects only one decryption



Encryption Scheme (Decryption scheme is similar)

Counter Mode



- A randomly initialized counter is incremented with every encryption
- Can be parallelized
 - Ie. Multiple encryption engines can simultaneously run
- As with OFB, an error in a single ciphertext block affects only one decrypted plaintext

How to choose a good s-box?

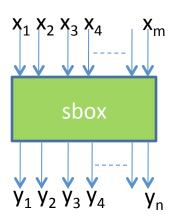
Mod-01, Lec-07, Overview of S-box Principles, by Debdeep Mukhopadhyay https://www.youtube.com/watch?v=cJ7hmwHVwtc&list=PL71FE85723FD414D7&index=17

Criteria for a good s-box

- Completeness
- Balance
- Non-linearity
- Propagation criteria
- Good XOR profile
- High Algebraic Degree

Sboxes

 In an s-box each output bit can be represented as a **Boolean function** of its input bits



$$y_{1} = f_{1}(x_{1}, x_{2}, x_{3}, \dots, x_{m})$$

$$y_{2} = f_{2}(x_{1}, x_{2}, x_{3}, \dots, x_{m})$$

$$y_{3} = f_{3}(x_{1}, x_{2}, x_{3}, \dots, x_{m})$$

$$\vdots \qquad \vdots \qquad \vdots \qquad \vdots \qquad \vdots$$

$$y_{n} = f_{n}(x_{1}, x_{2}, x_{3}, \dots, x_{m})$$

The functions have to be non-linear. Linear functions are easily reversed.

Boolean Functions

- A Boolean function is a mapping from $\{0,1\}^m \rightarrow \{0,1\}$
- Algebraic Normal Form representation of a Boolean function
 - A Boolean function on m-inputs can be represented with sum (XOR +) of products (AND .) form:

$$y = a_0 \oplus a_1 x_1 \oplus a_2 x_2 \oplus a_3 x_1 x_2$$

where a_i is either 0 or 1.

- Affine Form: if all the terms have coefficients $0 (a_3=0 \text{ in the above example})$
- Linear form : Affine form and $a_0 = 0$

Truth Tables

- $f: y = x_1 \oplus x_2 \oplus x_1 x_2$ Consider a Boolean function $f: \{0,1\}^m \rightarrow \{0,1\}$ The following Binary sequence is the truth table of f

$$\left(f(\alpha_0), f(\alpha_1), f(\alpha_2), \cdots, f(\alpha_{2^{m-1}})\right)$$

where α_i are mbit numbers and $\alpha_i \neq \alpha_i$ unless i = j

- The truth table is therefore (0,1,1,1)
- Sequence is (1,-1,-1,-1)

		X
X1	X2	Υ
0	0	0
0	1	1
1	0	1
1	1	1

Balanced Boolean Functions

- A Boolean function is said to be balanced if its truth table has equal number of 0s and 1s.
- S-box equations should be balanced (i.e. 0 and 1 have an equal probability of occurrence)

J	f: y	y = x	$x_1 \oplus x$	$x_2 \oplus x_1 x_2$
uo	X1	X2	Y	
Unbalanced function	0	0	0	
ed fu	0	1	1	
ance	1	0	1	
bal	1	1	1	
Ľ				

	g	y	$= x_1 $	$\oplus x_2$
on	X1	X2	Y	
uncti	0	0	0	
Balanced Function	0	1	1	
ance	1	0	1	
Balã	1	1	0	

Distance Between functions

Let f and g be two Boolean functions Let η be the truth table for f and ε the truth table for g

 $HD(\eta, \varepsilon)$ is the Hamming distance between the two sequences

X1	X2	Y1	Y2
0	0	0	0
0	1	1	1
1	0	1	1
1	1	1	0

$$f: y_1 = x_1 \oplus x_2 \oplus x_1 x_2$$
$$g: y_2 = x_1 \oplus x_2$$

 $HD(\eta, \varepsilon) = 1$

Nonlinearity of a Boolean Function

- The non-linearity of a Boolean function is **the minimum distance between the function and the set of all linear functions**.
 - Strengthens against linear cryptanalysis

$y_1 = x_1 \oplus x_2 \oplus x_1 x_2$	X1	X2	Y1	Y2	Y3	Y4	Y5	
$y_2 = 0$	0	0	0	0	0	0	0	
$y_3 = x_1$	0	1	1	0	0	1	1	
$y_4 = x_2$	1	0	1	0	1	0	1	
$y_5 = x_1 \oplus x_2$	1	1	1	0	1	1	0	
		← → 3						
Nonlinearity: $N_f = MIN_{gelinear} (H)$)			→ 1				
Nonlinearity of $y \cdot N = 1$	1		← 1					
Nonlinearity of $y_1: N_{y_1} = 1$	L		← 1					

Walsh Hadamand Matrix

- A compact combinatorial representation of all affine functions
- Each row of the WH matrix forms the truth table of all affine functions with N variables can be represented by the matrix

$$H(2^{N}) = \begin{bmatrix} H(2^{N-1}) & H(2^{N-1}) \\ H(2^{N-1}) & complement(H(2^{N-1})) \end{bmatrix}$$
$$H(2^{1}) = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 \end{bmatrix} \xrightarrow{k_{1}} x_{1}$$
$$H(2^{2}) = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix} \xrightarrow{k_{2}} x_{1}$$

On the Non-linearity of Boolean Functions

- HD of any two linear functions is always 2ⁿ⁻¹
- HD between two non-linear functions is < 2ⁿ⁻¹

Scalar product

$$Let \langle \xi, \eta \rangle = \#(f = g) - \#(f \neq g)$$

= 2ⁿ - #(f \neq g) - #(f \neq g)
= 2ⁿ - 2 #(f \neq g)
HD(f,g) = #(f \neq g) = 2ⁿ⁻¹ - \frac{1}{2} \langle \xi, \eta \rangle

Bent Functions

- Bent functions are non-linear Boolean functions which have maximum non-linearity
- The non-linearity of a Bent function is $2^{n-1} 2^{\frac{n}{2}-1}$
- They satisfy SAC but are **not balanced**

• Example : $f(x) = x_1x_2 + x_3x_4$

Affine Transformations and Non-linearity

• If a Boolean function is **balanced**, then an affine transformation does not affect its non-linearity

f(x) is a balanced Boolean function, then $f(xB \oplus A)$ is also balanced $x = (x_1, x_2, x_3, ..., x_n)$ *B* is a $n \times n$ binary invertible matrix

A is an *n* bit vector

The nonlinearity of f(x) = nonlinearity of $f(xB \oplus A)$

Strict Avalanche Criteria (SAC)

• For a function (f) to satisfy SAC,

 $f(x) \oplus f(x \oplus \alpha)$ must be balanced, for any α with $HW(\alpha) = 1$

- Also called propagation criteria of order 1
- Higher order SAC,
 - Propagation criteria of order > 1
 - When input changes in more than 1 bit
- Show that

 $y = x_1 x_2 \oplus x_3$ does not satisfy *SAC*

 $z = x_1 x_2 \oplus x_3 x_4$ satisfies *SAC*

Note that z is a Bent function

How to make a Boolean function satisfy SAC

- Let f(x) be a Boolean function of order n
- Let A be an nxn non-singular Boolean matrix
- If r is a row in the matrix A and f(x)⊕ f(x⊕r)
 is balanced then g(x) = f(xA) satisfies SAC

Example :
$$f = x_1 x_2 \oplus x_3$$
$$A = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$
verify this?
then $g(x) = f(xA)$ satisfies SAC

Completeness

- More a criteria for the complete cipher (SP)
- Given s-boxes with a fixed mapping,
 - P-layer needs to be fixed and rounds need to be fixed such that ciphertext is a complex function of every plaintext input

XOR Profile

• The difference distribution table of the s-box must contain small variations

The Advanced Encryption Standard (AES)

Advanced Encryption Standard (AES)

• NIST's standard for block cipher since October 2000.

	Key Length	No. of rounds
AES-128	16 bytes	10
AES-192	24bytes	12
AES-256	32bytes	14

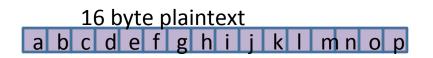
- SPN network with each round having
 - Randomness Layer: Round key addition
 - Confusion Layer : *Byte Substitution*
 - Diffusion Layer : *Shift row* and *Mix column*

(the last round does not have mix column step)

Mathematical Background

Finite Fields

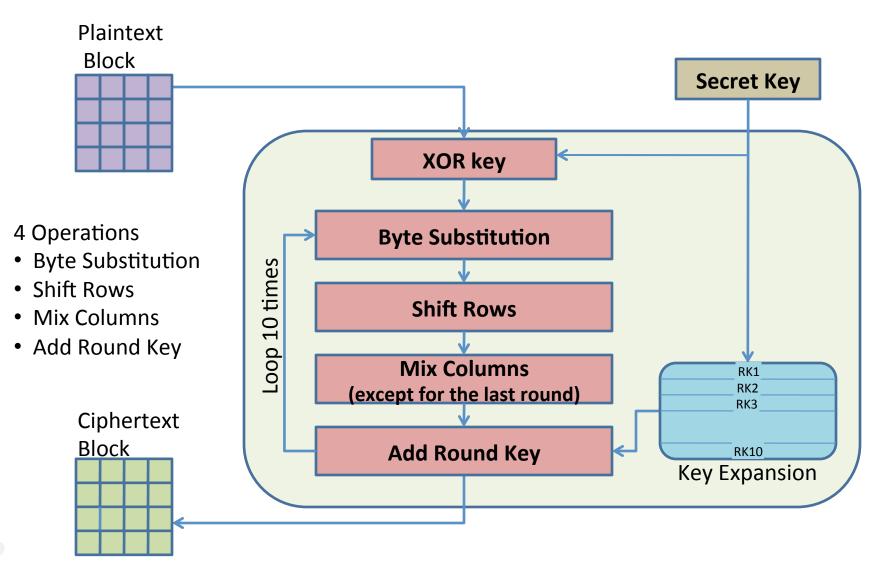
The AES State Representation



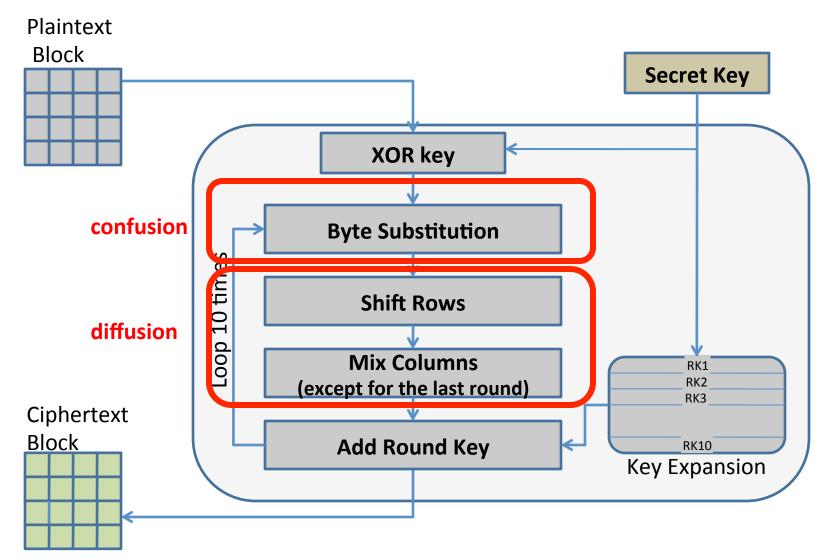


• 16 bytes arranged in a 4x4 matrix of bytes

AES-128 Encryption



AES-128 Encryption



AES Operations

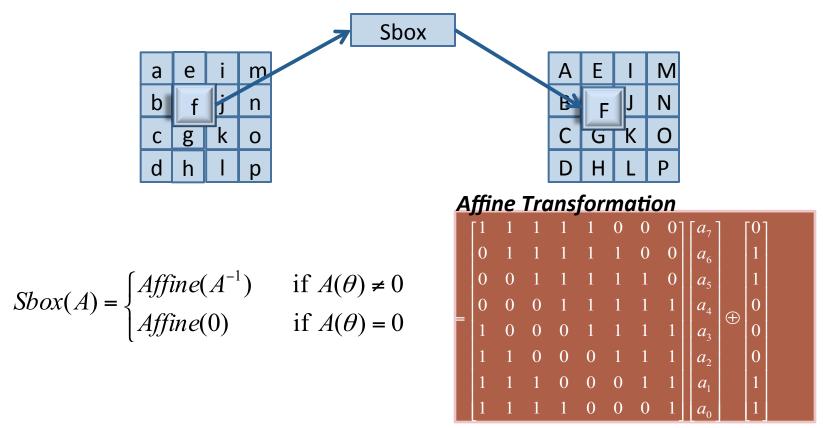
- All AES operations are performed in the field GF(2⁸).
- The field's irreducible polynomial is

$$x^8 + x^4 + x^3 + x + 1$$

in binary notation $(1\ 0001\ 1011)_2$ in hex notation $(11B)_{16}$

Byte Substitution

Makes a non-linear substitution for every byte in the 4x4 matrix



AES S-box Design Rationale

$$Sbox(A) = \begin{cases} Affine(A^{-1}) & \text{if } A(\theta) \neq 0\\ Affine(0) & \text{if } A(\theta) = 0 \end{cases}$$

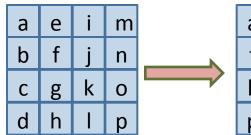
- This s-box construction was proposed by Kaiser Nyberg in 1993
- Steps:
 - 1. Inverse in GF(2⁸)
 - Provides high degrees of non-linearity
 - Known to have good resistance against differential and linear cryptanalysis
 - 2. Affine transformation
 - ensures no fixed points : i.e. Fixed points : S(x) = x
 - Complicates Algebraic attacks

S-box Encryption Table

- Use a table to do the byte substitution
- eg. Sbox[42] = 2c

																		_
			У															
		0	1	2	3	4	5	6	7	8	9	a	b	С	d	e	f	
Г	0	63	7c	77	7b	£2	6b	6f	с5	30	01	67	2b	fe	d7	ab	76	
	1	ca	82	с9	7d	fa	59	47	£0	ad	d4	a2	af	9c	a4	72	с0	
	2	b7	fd	93	26	36	3f	£7	CC	34	a5	e5	f1	71	d8	31	15	
	3	04	с7	23	c3	18	96	05	9a	07	12	80	e2	eb	27	b2	75	
	4	09	83	2c	1a	1b	6e	5a	a0	52	3b	d6	b3	29	e3	2f	84	
	5	53	d1	00	ed	20	fc	b1	5b	6a	cb	be	39	4a	4c	58	cf	
	6	d0	ef	aa	fb	43	4d	33	85	45	£9	02	7£	50	3c	9£	a8	
x	7	51	a3	40	8f	92	9d	38	£5	bc	b6	da	21	10	ff	£3	d2	
	8	cd	0c	13	ec	5f	97	44	17	с4	a7	7e	3d	64	5d	19	73	
	9	60	81	4f	dc	22	2a	90	88	46	ee	b8	14	de	5e	0b	db	
	a	e0	32	3a	0a	49	06	24	5c	с2	d3	ac	62	91	95	e4	79	
	b	е7	с8	37	6d	8d	d5	4e	a9	6C	56	£4	ea	65	7a	ae	08	
	С	ba	78	25	2e	1c	a6	b4	C6	e8	dd	74	1f	4b	bd	8b	8a	
	d	70	3e	b5	66	48	03	£6	0e	61	35	57	b9	86	c1	1d	9e	
	е	e1	f8	98	11	69	d9	8e	94	9b	1e	87	е9	ce	55	28	df	
	f	8c	a1	89	0d	bf	e6	42	68	41	99	2d	0f	b0	54	bb	16	
_																		

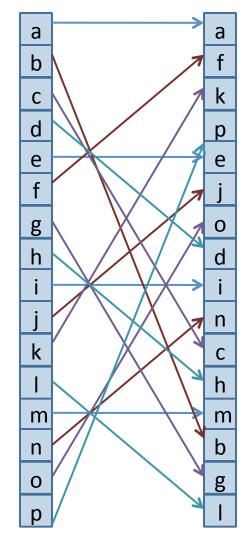
Shift Rows





• ShiftRows

- Leave the First row untouched
- Left Rotate (2nd Row by 8 bits)
- Left Rotate (3rd Row by 16 bits)
- Left Rotate (4th Row by 24 bits)
- Along with MixColumns provides high diffusion
 - Bits flip in at-least 25 s-boxes after 4 rounds

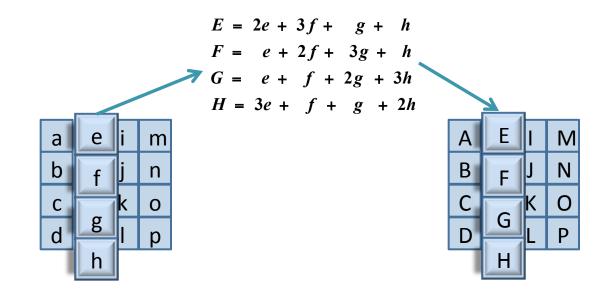


Mix Columns

The 4x4 matrix is multiplied with the matrix

$$\begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \times \begin{bmatrix} a & e & i & m \\ b & f & j & n \\ c & g & k & o \\ d & h & l & p \end{bmatrix}$$

Note that multiplications are in GF(2⁸) field



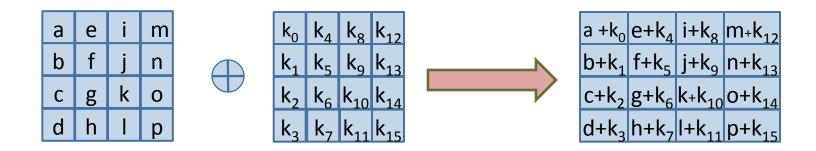
Mix Columns Rationale

Why use this matrix?

- It is an MDS matrix (Maximum Distance Separable codes)
 - If the input of a column changes then all outputs change
 - This maximizes the branch number
 - For AES, the branch number is 5
- Values [2,3,1,1], are the smallest which result in MDS matrix that is also circulant
- Has an inverse in the AES field

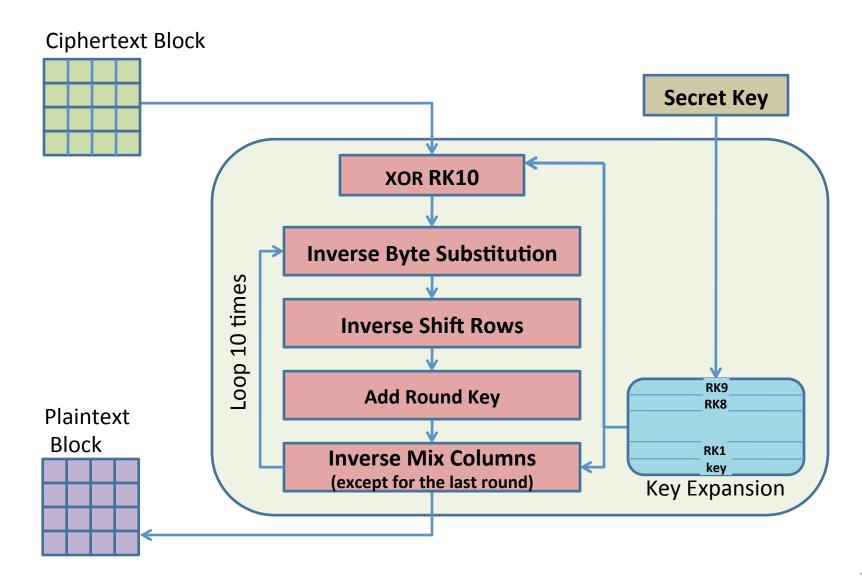
2	3	1	1]
1	2	3	1
1	1	2	3
3	1	1	2

AES Operations (Add Round Key)



Addition here is addition in GF(2⁸), which is the ex-or operation

AES-128 Decryption

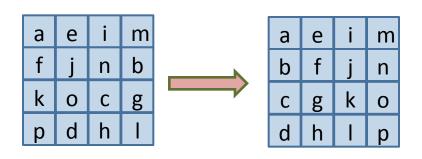


Inverse S-box

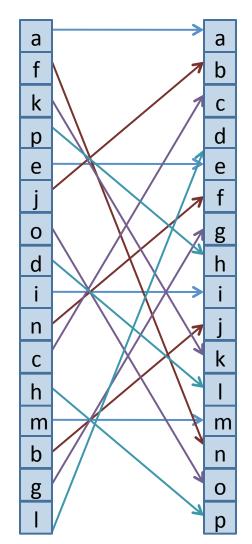
- Simply the AES s-box run in reverse
- As with the s-box operation, a lookup table can be used

	x 0	x 1	x 2	x 3	x 4	x 5	x 6	x 7	x 8	x 9	xa	xb	xc	xd	xe	xf
0x	52	09	6a	d5	30	36	a5	38	bf	40	a3	9e	81	f3	d7	fb
1x	7c	e3	39	82	9b	2f	ff	87	34	8e	43	44	с4	de	e9	cb
2x	54	7b	94	32	a6	c2	23	3d	ee	4c	95	0b	42	fa	c3	4e
3х	80	2e	a1	66	28	d9	24	b2	76	5b	a2	49	6d	8b	d1	25
4x	72	f8	f6	64	86	68	98	16	d4	a4	5c	cc	5d	65	b6	92
5x	6c	70	48	50	fd	ed	b9	da	5e	15	46	57	a7	8d	9d	84
6x	90	d8	ab	00	8c	bc	d3	0a	£7	e4	58	05	b8	b3	45	06
7x	d0	2c	1e	8f	са	3f	0f	02	c1	af	bd	03	01	13	8a	6b
8x	3a	91	11	41	4f	67	dc	ea	97	f2	cf	ce	£0	b4	e6	73
9x	96	ac	74	22	e7	ad	35	85	e2	f9	37	e8	1c	75	df	6e
ax	47	f1	1a	71	1d	29	c5	89	6f	b7	62	0e	aa	18	be	1b
bx	fc	56	3e	4b	c6	d2	79	20	9a	db	c0	fe	78	cd	5a	f4
сх	1f	dd	a 8	33	88	07	c7	31	b1	12	10	59	27	80	ec	5f
dx	60	51	7f	a9	19	b5	4a	0d	2d	e5	7a	9f	93	c9	9c	ef
ex	a 0	e0	3b	4d	ae	2a	£5	b0	c8	eb	bb	3c	83	53	99	61
fx	17	2b	04	7e	ba	77	d6	26	e1	69	14	63	55	21	0c	7d

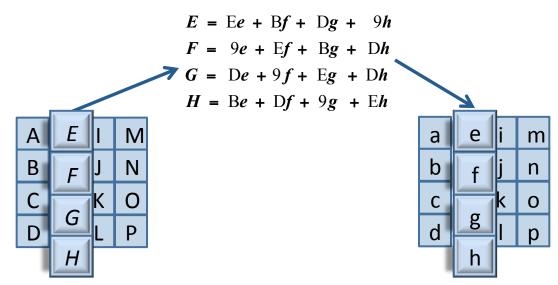
Inverse Shift Rows



- ShiftRows
 - Leave the First row untouched
 - Right Rotate (2nd Row by 8 bits)
 - Right Rotate (3rd Row by 16 bits)
 - Right Rotate (4th Row by 24 bits)



Inverse Mix Column



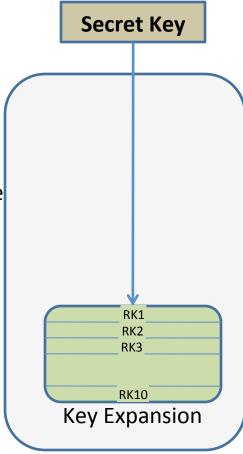
• The 4x4 matrix is multiplied with the matrix

Е	В	D	9]	
9	E	В	D	
D	9	Е	В	
В	D	9	Е	

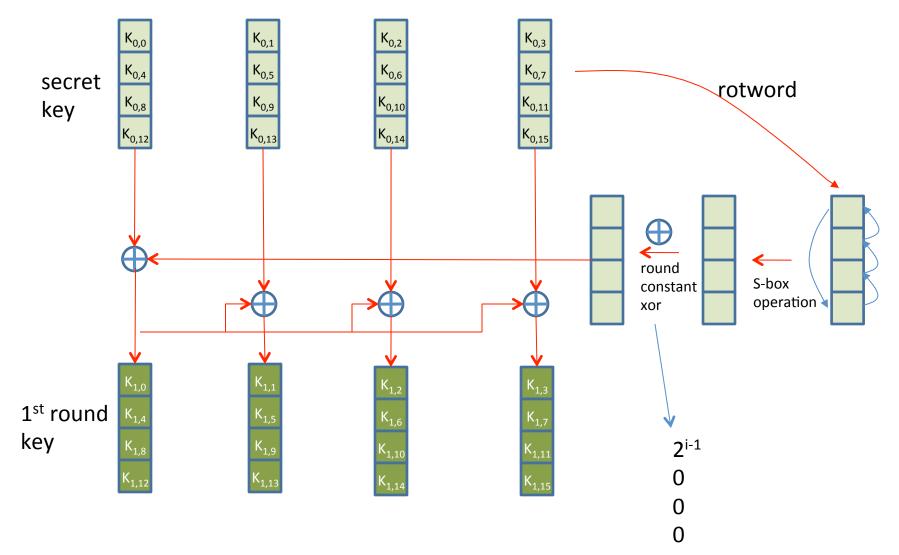
The hardware implementation can be done in a similar way as mix columns

AES Key Schedule

- How to expand the secret key
- Design Criteria
 - o Efficient
 - Non-symmetric : Ensured by round constants
 - \circ $\;$ Efficient diffusion properties of secret key into round keys
 - It should exhibit enough non-linearity to prohibit the full determination of differences in the expanded key from ciphe key differences only.



AES Key Schedule



Implementation Aspects of AES

Software Implementations of AES Encryption

- S-box implemented as a lookup-table (256 bytes)
- Shift rows combined with Mix columns
- Multiplication with MDS matrix easily achieved
 - x2, done by left shift. If there is an overflow an ex-or with 0x1B is needed

$$-x3 = x2 + x$$

AES on 32 bit Systems

AES state

Byte Substitution $b_{i,i} = S(a_{i,i}) \text{ for } i, j \in \{0,1,2,3\}$

	$a_{0,0}$ $a_{1,0}$ $a_{2,0}$ $a_{3,0}$	$a_{0} = a_{0}$ $a_{1} = a_{1}$ $a_{2} = a_{2}$	_{0,1} a _{1,1} a _{2,1} a _{3,1} a	4 _{0,2} 4 _{1,2} 4 _{2,2} 4 _{3,2}	$\begin{bmatrix} a_{0,3} \\ a_{1,3} \\ a_{2,3} \\ a_{3,3} \end{bmatrix}$	Shift Ro (c1 = c2 $\begin{bmatrix} C_{0,j} \\ C_{1,j} \\ C_{2,j} \\ C_{3,j} \end{bmatrix} =$	= c
		Com	nbini	ng O	perat		L
0, <i>j</i> 1, <i>j</i>	=	02 01	03 02 01	01 03 02	01 01 03	$\begin{bmatrix} \mathbf{S}[a_{0,j}] \\ \mathbf{S}[a_{1,j-C1}] \end{bmatrix}$	⊕

= c3 = 1 are cyclic shifts) $= \begin{bmatrix} b_{1,C1-j} \\ b_{2,C2-j} \\ b_{3,C3-j} \end{bmatrix}$ Mix Columns $\begin{bmatrix} d_{0,j} \\ d_{1,j} \\ d_{2,j} \\ d_{3,j} \end{bmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} c_{0,j} \\ c_{1,j} \\ c_{2,j} \\ c_{3,j} \end{bmatrix}.$ $\begin{bmatrix} e_{0,j} \\ e_{1,j} \\ e_{2,j} \\ e_{3,j} \end{bmatrix} = \begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} S[a_{0,j}] \\ S[a_{1,j-C1}] \\ S[a_{2,j-C2}] \\ S[a_{3,j-C3}] \end{bmatrix} \oplus \begin{bmatrix} k_{0,j} \\ k_{1,j} \\ k_{2,j} \\ k_{3,j} \end{bmatrix}. \qquad \begin{bmatrix} d_{2,j} \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} c_{2,j} \\ c_{3,j} \end{bmatrix} \\ \begin{array}{c} \text{Add Round Key} \\ e_{i,j} = d_{i,j} \oplus k_{i,j} \text{ for } i, j \in \{0,1,2,3\} \end{bmatrix}$

T Tables

Combining Operations

$$\begin{bmatrix} e_{0,j} \\ e_{1,j} \\ e_{2,j} \\ e_{3,j} \end{bmatrix} = \mathbf{S} \begin{bmatrix} a_{0,j} \end{bmatrix} \begin{bmatrix} 02 \\ 01 \\ 01 \\ 03 \end{bmatrix} \oplus \mathbf{S} \begin{bmatrix} a_{1,j-C1} \end{bmatrix} \begin{bmatrix} 03 \\ 02 \\ 01 \\ 01 \end{bmatrix} \oplus \mathbf{S} \begin{bmatrix} a_{2,j-C2} \\ 01 \\ 01 \end{bmatrix} \oplus \mathbf{S} \begin{bmatrix} a_{3,j-C3} \\ 02 \\ 01 \end{bmatrix} \oplus \mathbf{S} \begin{bmatrix} a_{3,j-C3} \\ 02 \\ 02 \end{bmatrix} \oplus \begin{bmatrix} k_{0,j} \\ k_{1,j} \\ k_{2,j} \\ k_{3,j} \end{bmatrix}$$

Define 4 T-Tables

$$T_{0}[a] = \begin{bmatrix} S[a] \bullet 02 \\ S[a] \\ S[a] \\ S[a] \bullet 03 \end{bmatrix} T_{1}[a] = \begin{bmatrix} S[a] \bullet 03 \\ S[a] \bullet 02 \\ S[a] \\ S[a] \end{bmatrix} T_{2}[a] = \begin{bmatrix} S[a] \\ S[a] \bullet 03 \\ S[a] \bullet 02 \\ S[a] \end{bmatrix} T_{3}[a] = \begin{bmatrix} S[a] \\ S[a] \\ S[a] \\ S[a] \bullet 03 \\ S[a] \bullet 03 \\ S[a] \bullet 02 \end{bmatrix}.$$

One Round of AES using T-Tables $e_j = T_0 \Big[a_{0,j} \Big] \oplus T_1 \Big[a_{1,j-C1} \Big] \oplus T_2 \Big[a_{2,j-C2} \Big] \oplus T_3 \Big[a_{3,j-C3} \Big] \oplus k_j.$

OpenSSL Implementation of AES (with T-tables)

```
static const u32 Te0[256] = {
    0xc66363a5U, 0xf87c7c84U, 0xee777799U, 0xf67b7b8dU,
    0xfff2f20dU, 0xd66b6bbdU, 0xde6f6fb1U, 0x91c5c554U,
    0x60303050U, 0x02010103U, 0xce6767a9U, 0x562b2b7dU,
static const u32 Te1[256] = {
    0xa5c66363U, 0x84f87c7cU, 0x99ee7777U, 0x8df67b7bU,
    0x0dfff2f2U, 0xbdd66b6bU, 0xb1de6f6fU, 0x5491c5c5U,
static const u32 Te2[256] = {
    0x63a5c663U, 0x7c84f87cU, 0x7799ee77U, 0x7b8df67bU,
    0xf20dfff2U, 0x6bbdd66bU, 0x6fb1de6fU, 0xc55491c5U,
static const u32 Te3[256] = {
    0x6363a5c6U, 0x7c7c84f8U, 0x777799eeU, 0x7b7b8df6U,
    0xf2f20dffU, 0x6b6bbdd6U, 0x6f6fb1deU, 0xc5c55491U,
    0x30305060U, 0x01010302U, 0x6767a9ceU, 0x2b2b7d56U,
              s0 = GETU32(in
                              ) ^ rk[0];
              s1 = GETU32(in + 4) ^ rk[1];
              s2 = GETU32(in + 8) ^ rk[2];
              s3 = GETU32(in + 12) ^ rk[3];
              /* round 1: */
              t0 = Te0[s0 >> 24] ^ Te1[(s1 >> 16) & 0xff] ^ Te2[(s2 >> 8) & 0xff] ^ Te3[s3 & 0xff] ^ rk[ 4];
              t1 = Te0[s1 >> 24] ^ Te1[(s2 >> 16) & 0xff] ^ Te2[(s3 >> 8) & 0xff] ^ Te3[s0 & 0xff] ^ rk[ 5];
              t2 = Te0[s2 >> 24] ^ Te1[(s3 >> 16) & 0xff] ^ Te2[(s0 >> 8) & 0xff] ^ Te3[s1 & 0xff] ^ rk[ 6];
              t3 = Te0[s3 >> 24] ^ Te1[(s0 >> 16) & 0xff] ^ Te2[(s1 >> 8) & 0xff] ^ Te3[s2 & 0xff] ^ rk[ 7]:
              /* round 2: */
              s0 = Te0[t0 >> 24] ^ Te1[(t1 >> 16) & 0xff] ^ Te2[(t2 >> 8) & 0xff] ^ Te3[t3 & 0xff] ^ rk[ 8];
              s1 = Te0[t1 >> 24] ^ Te1[(t2 >> 16) & 0xff] ^ Te2[(t3 >> 8) & 0xff] ^ Te3[t0 & 0xff] ^ rk[ 9];
              s2 = Te0[t2 >> 24] ^ Te1[(t3 >> 16) & 0xff] ^ Te2[(t0 >> 8) & 0xff] ^ Te3[t1 & 0xff] ^ rk[10];
              s3 = Te0[t3 >> 24] ^ Te1[(t0 >> 16) & 0xff] ^ Te2[(t1 >> 8) & 0xff] ^ Te3[t2 & 0xff] ^ rk[11];
```

Last Round of AES

Uses a
 different table (Te4)

```
s0 =
                            ] & 0xff000000) ^
       (Te4[(t0 >> 24)
       (Te4[(t1 >> 16) & 0xff] & 0x00ff0000) ^
       (Te4[(t2 >> 8) & 0xff] & 0x0000ff00) ^
       (Te4[(t3 ) & 0xff] & 0x000000ff) ^
       rk[0];
PUTU32(out , s0);
s1 =
       (Te4[(t1 >> 24) ] & Oxff000000) ^
       (Te4[(t2 >> 16) & 0xff] & 0x00ff0000) ^
       (Te4[(t3 >> 8) & 0xff] & 0x0000ff00) ^
       (Te4[(t0 ) & 0xff] & 0x000000ff) ^
       rk[1];
PUTU32(out + 4, s1);
s2 =
       (Te4[(t2 >> 24) ] & Oxff000000) ^
       (Te4[(t3 >> 16) & 0xff] & 0x00ff0000) ^
       (Te4[(t0 >> 8) & 0xff] & 0x0000ff00) ^
       (Te4[(t1 ) & 0xff] & 0x000000ff) ^
       rk[2];
PUTU32(out + 8, s2);
s3 =
       (Te4[(t3 >> 24)
                            ] & Oxff000000) ^
       (Te4[(t0 >> 16) & 0xff] & 0x00ff0000) ^
       (Te4[(t1 >> 8) & 0xff] & 0x0000ff00) ^
       (Te4[(t2
                ) & Oxff] & OxOOOOOOff) ^
       rk[3];
PUTU32(out + 12, s3);
```

AES NI

Accelerating AES on modern Intel and AMD processors with dedicated instructions

Instruction	Description ^[2]
AESENC	Perform one round of an AES encryption flow
AESENCLAST	Perform the last round of an AES encryption flow
AESDEC	Perform one round of an AES decryption flow
AESDECLAST	Perform the last round of an AES decryption flow
AESKEYGENASSIST	Assist in AES round key generation
AESIMC	Assist in AES Inverse Mix Columns
PCLMULQDQ	Carryless multiply (CLMUL). ^[3]

Compact Implementations of AES

- How should the S-box be implemented?
 - Look up table (256 bytes)
 - This may be too large for some devices
 - Finding the inverse (using Itoh-Tsujii or the extended Euclidean algorithm) and then affine transformation
 - Again expensive (too big!!!)
 - Third alternative
 - Use composite fields

Composite Fields (refer Math. Background)

Composite Fields for AES

- The AES Field is GF(2⁸)/x⁸+x⁴+x³+x+1
 Has order 256
- Many composite fields for AES exists
 - $GF(2^4)^2$
 - Requires two irreducible polynomials
 One has the form x⁴ + , where coefficients are in GF(2)
 The second has the form x² + ax + b, where a, b are in GF(2⁴)

 $- GF((2^2)^2)^2$

Requires three irreducible polynomials
 First of the form x² + a₁x + b₁, where a₁, b₁ in GF(2)
 Second has the form x² + a₂x + b₂, where a₂, b₂ in GF(2²)
 Third has the form x² + a₃x + b₃, where a₃, b₃ in GF(2²)²

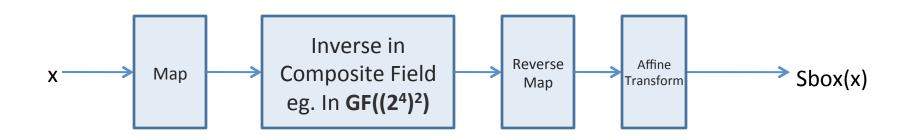
Mapping between GF(2⁸) and Composite Fields

FindMap(){

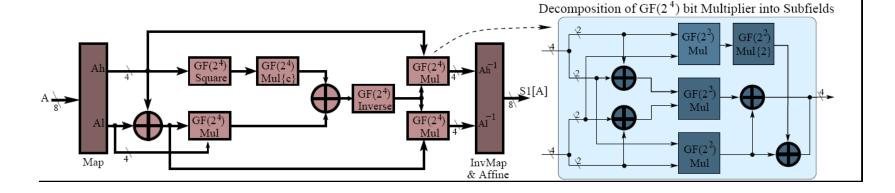
}

Initilize MAP[0] = 0 and REVMAP[0] = 0Find α a primitive root of field $GF(2^8)$ Find β a primitive root of field $GF(2^4)^2$ $\alpha' = 1; \beta' = 1$ For *i* = 1 to 255 $\alpha' = \alpha \cdot \alpha'$ (Multiplication in the field GF(2⁸)) $\beta' = \beta \cdot \beta'$ (Multiplication in the field GF(2⁴)² $MAP[\alpha'] = \beta'$ $REVMAP[\beta'] = \alpha'$ return MAP and REVMAP

Implementing the AES S-box in Composite Fields



S-box Based on Composite Fields



Gate Count for composite Sbox[#]

XOR	NAND	NOR	Total Gates in terms of NAND (using std cell lib)
80	34	6	180

D. Canright, A Very Compact S-box for AES, CHES-2005

* Simulation Results using Xilinx ISE

Performance of S-boxes on FPGA*

S-box Approach	No. of Slices	Critical Path	Gate Count
Lookup table based	64	11.9ns	1128
Composite Field based	30	18.3ns	312

Overhead of Composite Field s-boxes

- Composite field s-boxes require mapping and reverse mapping to and from the composite fields in each round
- An alternate approach is to convert all other round operations into composite field operations.
 - This would require just one mapping and one reverse mapping for the entire encryption
 - Operations Add Round Key and Shift Rows are not altered.
 - Mix Columns will need to be re-implemented

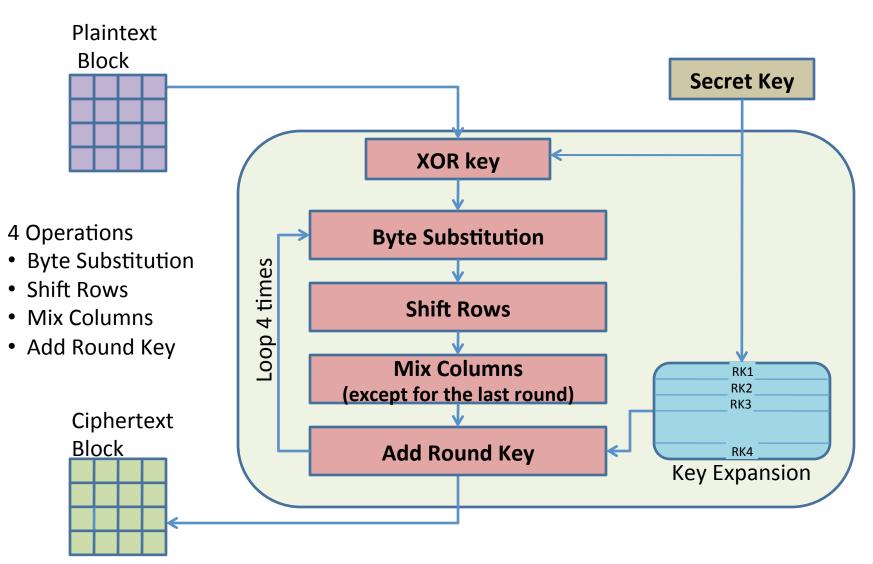
Attacks on AES

Differential and Linear Properties of AES

- Differential Cryptanalysis
 - No 4 round differential trail > $1/2^{150}$ and no 8 round differential trail > $1/2^{300}$ exists.
- Linear Cryptanalysis
 - No 4 round bias > $1/2^{75}$ and no 8 round bias > $1/2^{150}$ exists

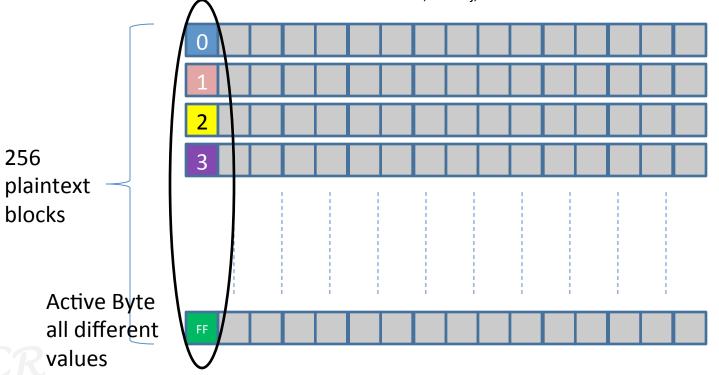
AES can easily resist differential and linear cryptanalysis

Attack on 4 Rounds of AES



Square Attack (known by the AES designers)

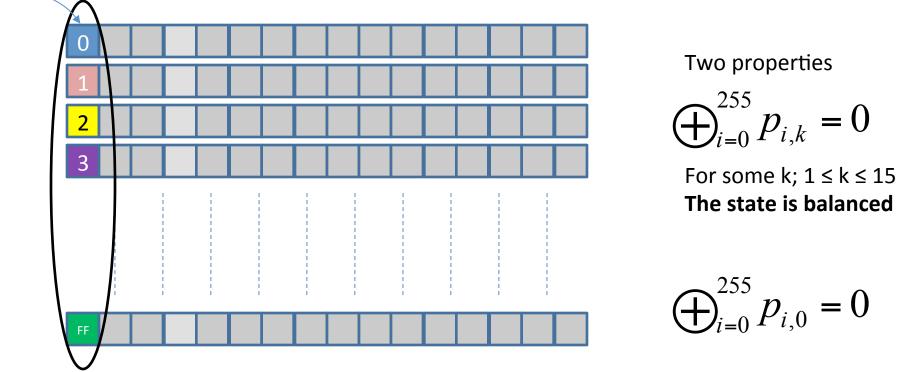
- Works for 4 round of AES
- Can be extended up to 6 rounds
- Consider 256 plaintext blocks having the following properties
 - 1. byte 0 is different for in all cases (i.e. $p_{i,0} \neq p_{j,0}$), for i, j = 0 to 255 and i \neq j
 - 2. bytes 1 to 15 are the same (i.e. $p_{i,k} = p_{i,k}$), for i, j = 0 to 255 and $1 \le k \le 15$



Square Attack

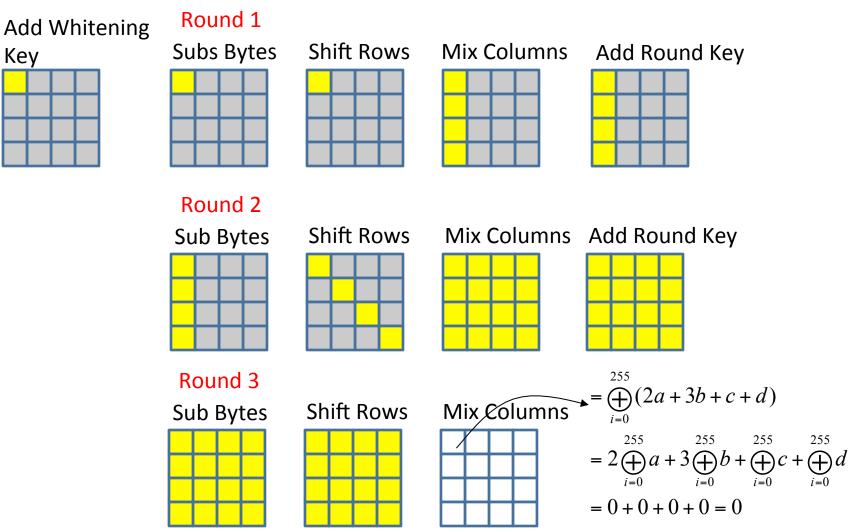
- Consider 256 plaintext blocks having the following properties
 - 1. byte 0 is different in all cases (i.e. $p_{i,0} \neq p_{j,0}$), for i, j = 0 to 255 and i \neq j

2. bytes 1 to 15 are the same (i.e. $p_{i,k}$ = $p_{j,k}$), for i, j = 0 to 255 and 1 \leq k \leq 15 Active byte



Square Attack (Propagation in 3 rounds)

Active byte property $\bigoplus_{i=0}^{255} p_{i,0} = 0$

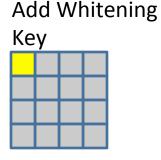


Balanced retained

255

Square Attack (Propagation in 3 rounds)

Active byte property $\bigoplus_{i=0}^{255} p_{i,0} = 0$













Round 2

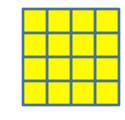
Sub Bytes



Shift Rows



Mix Columns Add Round Key

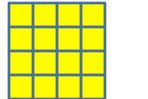


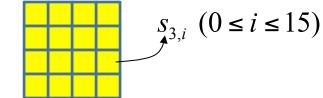
Round 3

Sub Bytes

Shift	Rows

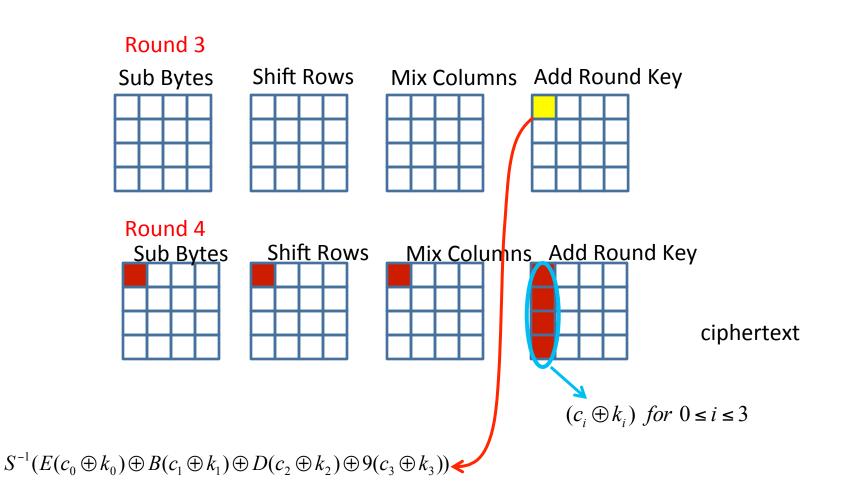
Mix Columns Add Round Key





This property does not hold after Sub Bytes in the 4th Round

A 4 round square attack



4 round square attack (A chosen plaintext attack)

- 1. Choose 256 plaintexts with one active byte
- 2. Perform 4 round encryption for each plaintext
- 3. For each potential key $(k_0 || k_1 || k_2 || k_3)$ do the following,
 - a. Compute $s_{3,0}$ corresponding to each c_i (there are 256 such c_i) call them $s_{3,0}^{(0)}, s_{3,0}^{(1)}, s_{3,0}^{(2)}, \dots s_{3,0}^{(255)}$
 - b. compute $\bigoplus_{i=0}^{255} s_{3,0}^{(i)}$

If this is 0, then guessed $(k_0 || k_1 || k_2 || k_3)$ may be correct If not, guessed key is incorrect

Why square attack may lead to an incorrect key

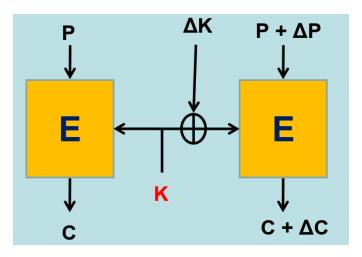
- If the key guess is wrong, ⊕ s₁₀²⁵⁵ s₁₀⁽ⁱ⁾ may still be 0.
 This is because ⊕ s₁₀⁽ⁱ⁾ evaluated to one of {0, 1, 2, 3,, 255} with equal probability
- Thus with probability 2⁻⁸, we may get $\bigoplus_{i=0}^{\infty} s_{3,0}^{(i)} = 0$ for the wrong key.

Extending beyond 4 rounds

Read how the square attack can be extended to 5 rounds and 6 rounds.

Related Key Attacks on AES (theoretical attacks on full AES)

- By Alex Biryukov and Dmitry Khovratovich (2009)
- Strong assumption : the attacker forces the victim to choose keys of particular form.
- Determine how key differences affect the cipher text difference



Tracing key differences

