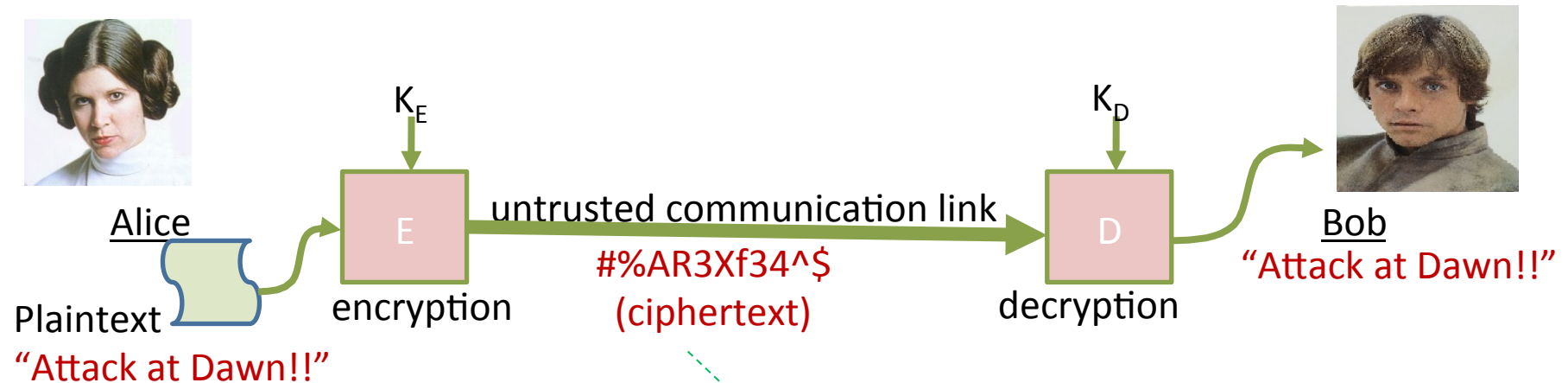


Classical Cryptography

Chester Rebeiro
IIT Madras

Ciphers



Are of 2 types

- Symmetric Key
- Asymmetric Key



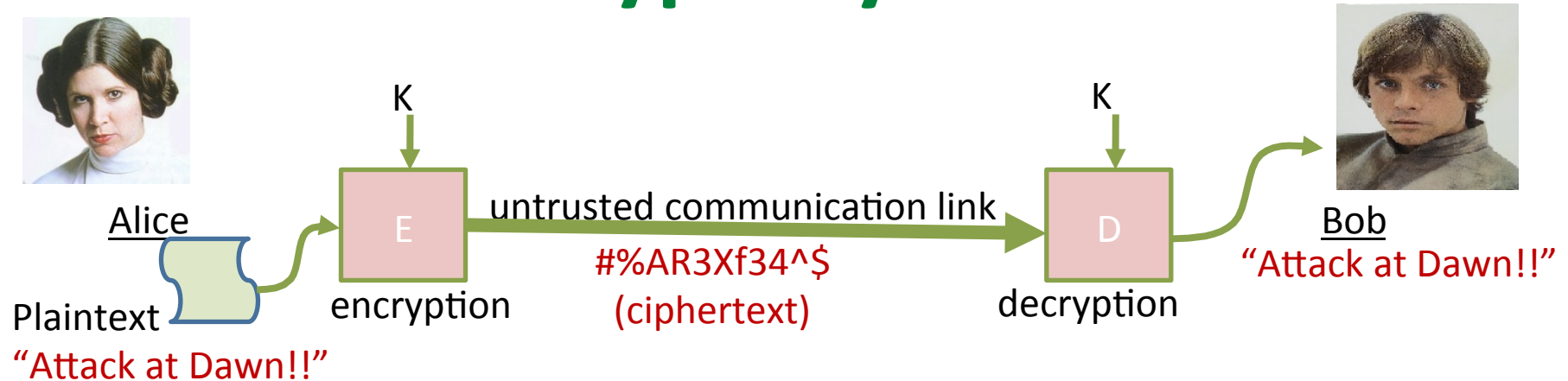
Mallory

Only sees ciphertext.
cannot get the plaintext message
because she does not know the key

Ciphers

- Symmetric Key Algorithms
 - Encryption and Decryption use the same key
 - i.e. $K_E = K_D = K$ (kept secret)
 - Examples:
 - Block Ciphers : DES, AES, PRESENT, etc.
 - Stream Ciphers : A5, Grain, etc.
- Asymmetric Key Algorithms
 - Encryption and Decryption keys are different
 - $K_E \neq K_D$ (K_E kept public; K_D kept secret)
 - Examples:
 - RSA
 - ECC

A CryptoSystem



A **cryptosystem** is a five-tuple (P, C, K, E, D) , where the following are satisfied:

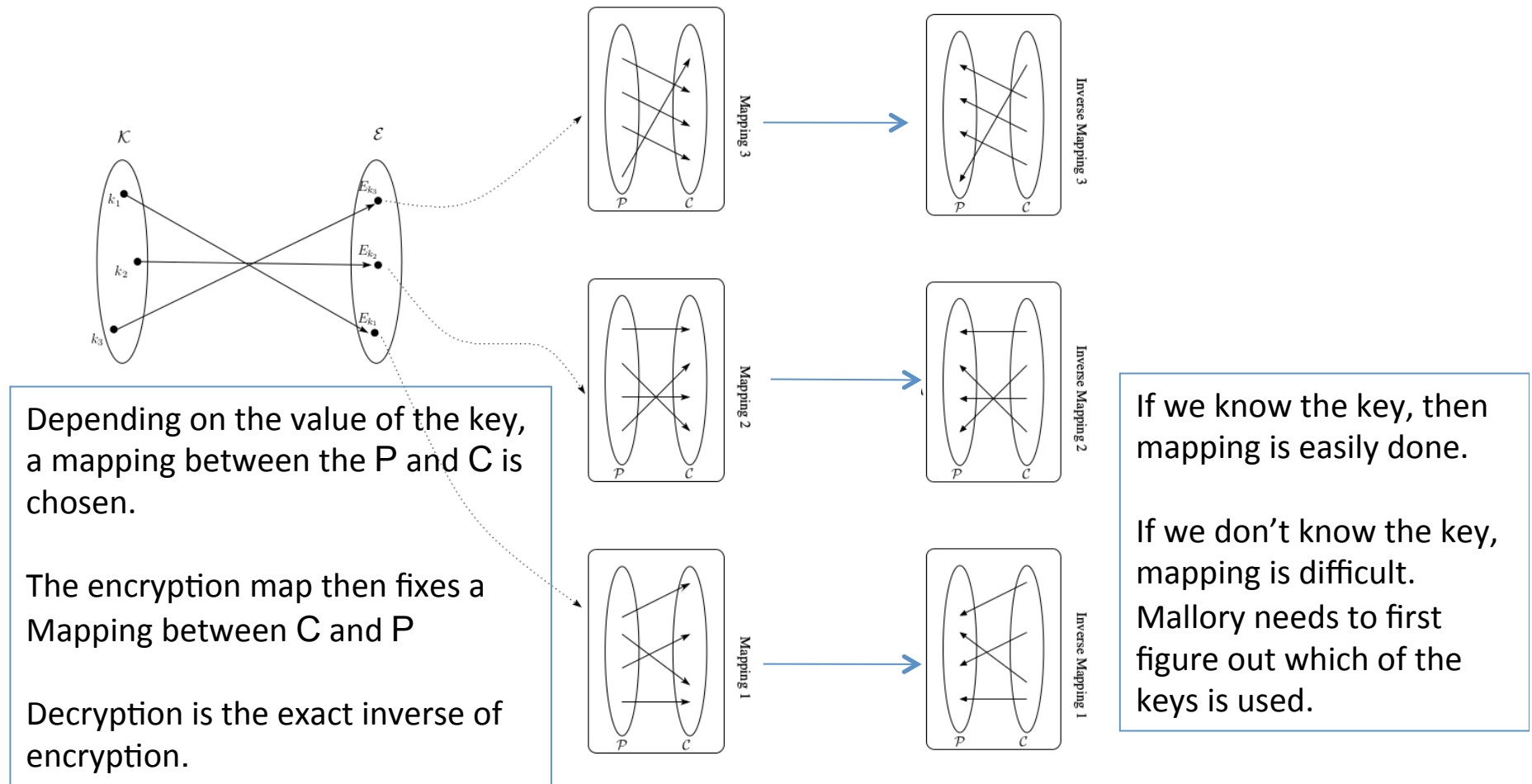
- P is a finite set of possible **plaintexts**
- C is a finite set of possible **ciphertexts**
- K , the **keyspace**, is a finite set of possible **keys**
- E is a finite set of encryption functions
- D is a finite set of decryption functions
- $\forall K \in K$

Encryption Rule : $\exists e_K \in E$, and

Decryption Rule : $\exists d_K \in D$

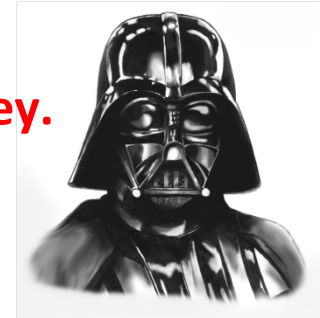
such that $(e_K: P \rightarrow C)$, $(d_K: C \rightarrow P)$ and $\forall x \in P, d_K(e_K(x)) = x$.

Pictorial View of Encryption



Attacker's Capabilities (Cryptanalysis)

Mallory wants to somehow get information about the secret key.



- Attack models
 - ciphertext only attack
 - known plaintext attack
 - chosen plaintext attack

Mallory has temporary access to the encryption machine. He can choose the plaintext and get the ciphertext.
 - chosen ciphertext attack

Mallory has temporary access to the decryption machine. He can choose the ciphertext and get the plaintext.

Kerckhoff's Principle for cipher design

- Kerckhoff's Principle
 - The system is completely known to the attacker. This includes encryption & decryption algorithms, plaintext
 - only the key is secret
- Why do we make this assumption?
 - Algorithms can be leaked (secrets never remain secret)
 - or reverse engineered

Facts about e_K

- It is **injective** (one-to-one)
 - i.e. $e_K(x_1) = e_K(x_2)$ iff $x_1 = x_2$
 - Why?
 - If not, then Bob does not know if the ciphertext came from x_1 or x_2
- If $P = C$, then the encryption function is a **permutation**
 C is a rearrangement of P

A Shift Cipher

- Plaintext set : $P = \{0,1,2,3 \dots, 25\}$
- Ciphertext set : $C = \{0,1,2,3 \dots, 25\}$
- Keyspace : $K = \{0,1,2,3 \dots, 25\}$
- Encryption Rule : $e_K(x) = (x + K) \bmod 26$,
- Decryption Rule : $d_K(x) = (x - K) \bmod 26$
where $K \in K$ and $x \in P$
- Note:
 - Each K results in a unique mapping $e_K: P \rightarrow C$ and $d_K: C \rightarrow P$
 - $d_K(e_K(x)) = x$
 - The encryption/decryption rules are permutations

Using the Shift Cipher

with $K=3$

| | | | | | | | | | | | | | |
|------------|---|---|---|---|---|---|---|---|---|---|----|----|----|
| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| plaintext | a | b | c | d | e | f | g | h | i | j | k | l | m |
| ciphertext | D | E | F | G | H | I | J | K | L | M | N | O | P |

| | | | | | | | | | | | | | |
|------------|----|----|----|----|----|----|----|----|----|----|----|----|----|
| | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| plaintext | n | o | p | q | r | s | t | u | v | w | x | y | z |
| ciphertext | Q | R | S | T | U | V | W | X | Y | Z | A | B | C |

attackatdawn → DWWDFNDWFDZQ

Shift Cipher Mappings

- Each K results in a unique mapping $e_K: P \rightarrow C$ and $d_K: C \rightarrow P$
- The mappings are injective (one-to-one)

$$y_1, y_2 \in C \\ d_K(y_1) \neq d_K(y_2)$$

| | | | | | | | | |
|-------------|----|----|----|----|-----|----|----|----|
| plaintext | a | b | c | d | ... | x | y | z |
| | 0 | 1 | 2 | 3 | | 23 | 24 | 25 |
| K=8 | | | | | | | | |
| ciphertext | 8 | 9 | 10 | 11 | | 5 | 6 | 7 |
| | I | J | K | L | | F | G | H |
| K=10 | | | | | | | | |
| ciphertext | 10 | 11 | 12 | 13 | | 7 | 8 | 9 |
| | K | L | M | N | | H | I | J |
| K=13 | | | | | | | | |
| ciphertext | 13 | 14 | 15 | 16 | | 10 | 11 | 12 |
| | N | O | P | Q | | K | L | M |

Encryption Rule

$$e_K(x) = (x + K) \bmod 26,$$

Decryption Rule

$$d_K(x) = (x - K) \bmod 26$$

How good is the shift cipher?

- A good cipher has two properties
 - Easy to compute
 - Satisfied
 - An attacker (Mallory), who views the ciphertext should not get any information about the plaintext.
 - Not Satisfied!!
 - The attacker needs at-most 26 guesses to determine the secret key
 - This is an exhaustive key search (known as brute force attack)

Cryptanalysis of Shift Cipher

By Brute Force...

Ciphertext : "DWWDFNDWGDZQ"

- ▶ There are only 26 possible keys, so 26 possible decryptions
- ▶ Try all of them
 - ▶ key=0, "dwwdfndwgdzq"
 - ▶ key=1, "cvvcemcvfcyp"
 - ▶ key=2, "buubdlbuebxo"
 - ▶ key=3, "attackatdawn" ... makes sense
 - ▶ key=4, ...
 - ▶ key=25, ...
- ▶ Only key=3 makes sense, thus it is likely to be the key
- ▶ ... too easy!!!

History & Usage

- Used by Julius Caesar in 55 AD with $K=3$. This variant known as Caesar's cipher.
- Augustus Caesar used a variant with $K=-1$ and no mod operation.
- Shift ciphers are extremely simple, still used in modern times
 - By Russian Soldiers in first world war
 - Last known use in 2011 (by militant groups)

Substitution Cipher

- Plaintext set : $P = \{a, b, c, d, \dots, z\}$
- Ciphertext set : $C = \{A, B, C, D, \dots, Z\}$
- Keyspace : $K = \{\pi \mid \text{such that } \pi \text{ is a permutation of the alphabets}\}$
 - Size of keyspace is $26!$
- Encryption Rule : $e_{\pi}(x) = \pi(x)$,
- Decryption Rule : $d_{\pi}(x) = \pi^{-1}(x)$

Substitution Cipher Example

Key is some permutation of the alphabets

| | | | | | | | | | | | | | |
|------------|---|---|---|---|---|---|---|---|---|---|---|---|---|
| plaintext | a | b | c | d | e | f | g | h | i | j | k | l | m |
| ciphertext | Z | J | H | K | F | G | I | D | B | E | A | C | P |

| | | | | | | | | | | | | | |
|------------|---|---|---|---|---|---|---|---|---|---|---|---|---|
| plaintext | n | o | p | q | r | s | t | u | v | w | x | y | z |
| ciphertext | Y | M | S | L | V | N | X | O | T | R | Q | U | W |

Plaintext : “attackatdawn”

Ciphertext : “ZXXZHAXKZRY”

26! permutations possible. Thus possible keys are
 $26! \approx 4 \times 10^{26}$ rules out brute force!!!

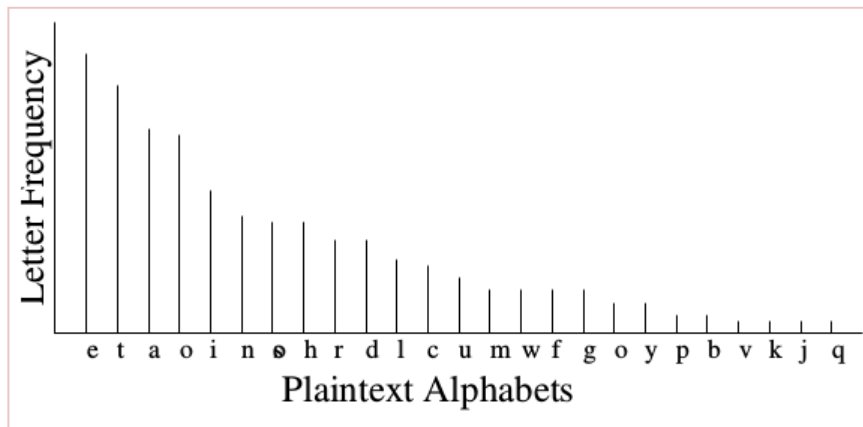
Note that the shift cipher is a special case of the substitution cipher which includes only 26 of the 26! keys

Cryptanalysis of Substitution Cipher (frequency analysis)

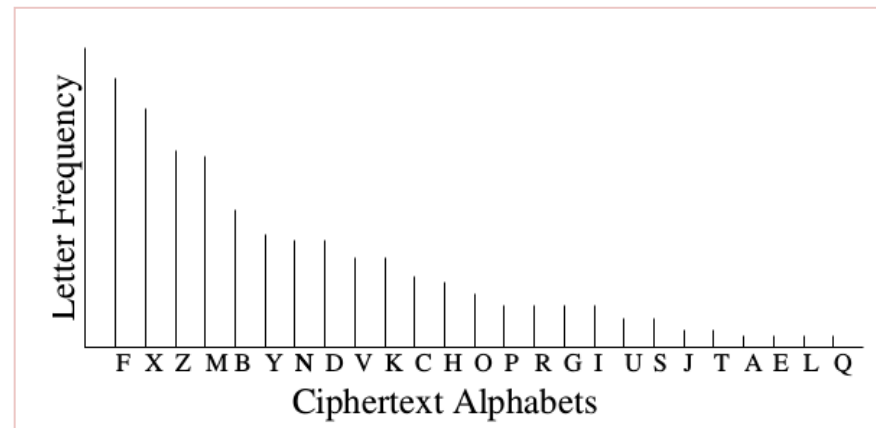
Languages do not have uniform probabilities

- ▶ Unigram probabilities of alphabets
 - ▶ E has probability 0.12 (12%)
 - ▶ T,A,O,I,N,S,H,R each have probabilities between 0.06 and 0.09
 - ▶ D,L each have probabilities around 0.04
 - ▶ C,U,M,W,F,G,Y,P,B each have probabilities between 0.015 and 0.028
 - ▶ V,K,J,X,Q,Z each occur less than 0.01
- ▶ 30 common digrams are TH, HE, IN, ER, AN, RE, AT,...

Cryptanalysis of Substitution Cipher *(from their frequency characteristics)*



Frequency analysis of plaintext alphabets



Frequency analysis of ciphertext alphabets

Usage & Variants

- Evidence showed that it was used before Caesar's cipher
- The technique of 'substitution' still used in modern day block ciphers
- Frequency based analysis attributed to Al-kindī, an Arab mathematician (in AD 800)

Polyalphabetic Ciphers

- Problem with the simple substitution cipher :
 - A plaintext letter always mapped to the same ciphertext letter (mono alphabetic)
eg. 'Z' always corresponds to plaintext 'a'
 - facilitating frequency analysis
- A variation (polyalphabetic cipher)
 - A plaintext letter may be mapped to multiple ciphertext letters
 - eg. 'a' may correspond to ciphertext 'Z' or 'T' or 'C' or 'M'
 - More difficult to do frequency analysis (but not impossible)
 - Example : Vigenere Cipher, Hill Cipher

Vigenère Cipher

- ▶ Let the key be (2,5,8,7,9,12) of size 6
- ▶ Let the message to be encrypted be "attackatdawn"
- ▶ Convert message to integers modulo 26
 - ▶ "attackatdawn" becomes (0, 19, 19, 0, 2, 10, 0, 19, 3, 0, 22, 13)
- ▶ To encrypt, group them in terms of 6 and add the corresponding key

$|\text{keyspace}| = 26^m$
(where m is the length
of the key)

plaintext (x)

key (k)

$(x + k) \bmod 26$

ciphertext

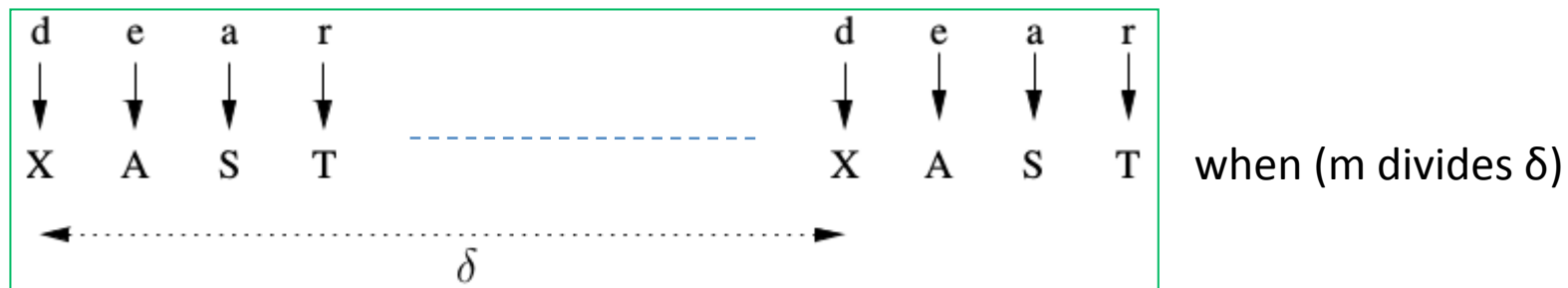
| | | | | | | | | | | | |
|---|----|----|---|----|----|---|----|----|---|----|----|
| a | t | t | a | c | k | a | t | d | a | w | n |
| 0 | 19 | 19 | 0 | 2 | 10 | 0 | 19 | 3 | 0 | 22 | 13 |
| 2 | 5 | 8 | 7 | 9 | 12 | 2 | 5 | 8 | 7 | 9 | 12 |
| 2 | 23 | 1 | 7 | 11 | 22 | 2 | 24 | 11 | 7 | 0 | 25 |
| C | X | B | I | K | W | C | Y | K | H | F | Z |

Cryptanalysis of Vigenère Cipher

- Frequency analysis more difficult
(but not impossible)
- Attack has two steps
 1. Determine the length m of the key
 2. Determine $K = (k_1, k_2, k_3, \dots, k_m)$ by finding each k_i separately

Determining Key Length (Kaisiki Test)

- **Kasiski test** by Friedrich Kasiski in 1863
- Let m be the size of the key
- **observation:** two identical plaintext segments will encrypt to the same ciphertext when they are δ apart and $(m \mid \delta)$



- If several such δ s are found (i.e. $\delta_1, \delta_2, \delta_3, \dots$) then
 - $m \mid \delta_1, m \mid \delta_2, m \mid \delta_3, \dots$
 - Thus m divides the gcd of $(\delta_1, \delta_2, \delta_3, \dots)$

Example

```
Key:      ABCDABCDABCDABCDABCDABCDABCD
Plaintext: CRYPTOISSHORTFORCRYPTOGRAPHY
Ciphertext: CSASTPKVSIQUTGQUCSASTPIUAQJB
```

The distance between the two “CSASTP” is 16.
The key length is either 16,8,4,2, or 1.

Increasing Confidence of Key Length (Index of Coincidence)

- Consider a multi set of letters of size N
say $s = \{a,b,c,d,a,a,e,f,e,g,.....\}$
- Probability of picking two 'a' characters (without replacement) is

$$\frac{n_0}{N} \times \frac{n_0 - 1}{N - 1}$$

n_0 : Number of occurrences of 'a' in S

probability the first pick is 'a'

probability the second pick is 'a'

- Sum of probabilities of picking two similar characters is

$$I_c = \sum_{i=0}^{25} \frac{n_i(n_i - 1)}{N(N - 1)}$$

index of coincidence

Index of Coincidence

- Consider a random permutation of the alphabets (as in the substitution cipher)

$s = \{a, b, c, d, a, a, e, f, e, g, \dots\}$



$S = \{X, M, D, F, X, X, Z, G, Z, J, \dots\}$

- Note that $n_a = n_X$; thus the value of I_c remains unaltered
- Number of occurrence of an alphabet in a text depends on the language, thus each language will have a unique I_c value

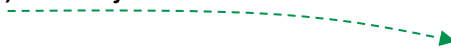
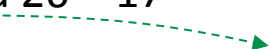
| | | | |
|---------|--------|---------|--------|
| English | 0.0667 | French | 0.0778 |
| German | 0.0762 | Spanish | 0.0770 |
| Italian | 0.0738 | Russian | 0.0529 |

Modular Arithmetic

Modular Arithmetic

slides in Mathematical Background

Affine Cipher

- A special case of substitution cipher
- **Encryption:** $y = ax + b \pmod{26}$
- **Decryption:** $x = (y - b)a^{-1} \pmod{26}$
 - plaintext : $x \in \{0,1,2,3, \dots, 25\}$
 - ciphertext : $y \in \{0,1,2,3, \dots, 25\}$
 - key : (a,b)
 - where a and $b \in \{0,1,2,3, \dots, 25\}$ and
 - $\gcd(a, 26) = 1$  why need this condition?
- **Example:** $a=3, b=5$
 - Encryption: $x=4; y = (3*4 + 5) \bmod 26 = 17$
 - Decryption: $x = (y - b)a^{-1} \bmod 26$  $a.a^{-1} = 1 \bmod 26$. The inverse exists only if a and 26 are coprime

$a^{-1} = 9$ (Note that $3 * 9 \bmod 26 = 1$)
 $(17 - 5) * 9 \bmod 26 = 4$

When $\gcd(a, 26) \neq 1$?

- Let $\gcd(a, 26) = d > 1$
 - then $d|a$ and $d|26$ (i.e. $d \bmod 26 = 0$)
 - $y = ax + b \bmod 26$
 - Let ciphertext $y = b$; $ax = 0 \bmod 26$
 - In this case x can have two decrypted values : 0 and d .
 - Thus the function is not injective.... cannot be used for an encryption

What is the ciphertext when (1) $x_1 = 1$ and (2) $x_2 = 14$ are encrypted with the Affine cipher with key $(4, 0)$?

Usage & Variants of Affine Cipher

- Ciphers built using the Affine Cipher
 - Caesar's cipher is a special case of the Affine cipher with $a = 1$
 - Atbash
 - $b = 25, a^{-1} = a = 25$
 - Encryption : $y = 25x + 25 \bmod 26$
 - Decryption : $x = 25y + 25 \bmod 26$

Encryption function
same as decryption function

Hill Cipher

- **Encryption:** $y = xK \pmod{26}$
- **Decryption:** $x = yK^{-1} \pmod{26}$
 - plaintext : $x \in \{0,1,2,3, \dots, 25\}$
 - ciphertext : $y \in \{0,1,2,3, \dots, 25\}$
 - key : K is an invertible matrix

- example

$$K = \begin{bmatrix} 11 & 8 \\ 3 & 7 \end{bmatrix} \quad \text{hill} \quad K^{-1} = \begin{bmatrix} 7 & 18 \\ 23 & 11 \end{bmatrix} \quad K \bullet K^{-1} = 1 \bmod 26$$

plaintext

hill

$$(7,8)(11,11)$$

| | |
|---|------------|
| $\begin{bmatrix} 7 & 8 \end{bmatrix} \times \begin{bmatrix} 11 & 8 \\ 3 & 7 \end{bmatrix} \pmod{26} = \begin{bmatrix} 23 & 8 \end{bmatrix}$ | encryption |
| $\begin{bmatrix} 23 & 8 \end{bmatrix} \times \begin{bmatrix} 7 & 18 \\ 23 & 11 \end{bmatrix} \pmod{26} = \begin{bmatrix} 7 & 8 \end{bmatrix}$ | decryption |

hill

plaintext

→ (7,8)(11,11)

(23,8)(24,9)

XIYJ

ciphertext

Cryptanalysis of Hill Cipher

- ciphertext only attack is difficult
- known plaintext attack

$$\begin{array}{ccc} (7,8)(11,11) & \times \begin{bmatrix} k_{11} & k_{12} \\ k_{21} & k_{22} \end{bmatrix} & \longrightarrow (23,8)(24,9) \\ \text{known plaintext} & & \text{corresponding ciphertext} \end{array}$$

Form equations and solve to get the key

$$7k_{11} + 8k_{21} = 23$$

$$11k_{11} + 11k_{21} = 24$$

$$7k_{12} + 8k_{22} = 8$$

$$11k_{12} + 11k_{22} = 9$$

Permutation Cipher

- Ciphers we seen so far were substitution ciphers
 - Plaintext characters substituted with ciphertext characters

hill

plaintext



XIYJ
ciphertext

- Alternate technique : permutation
 - Plaintext characters re-ordred by a random permutation

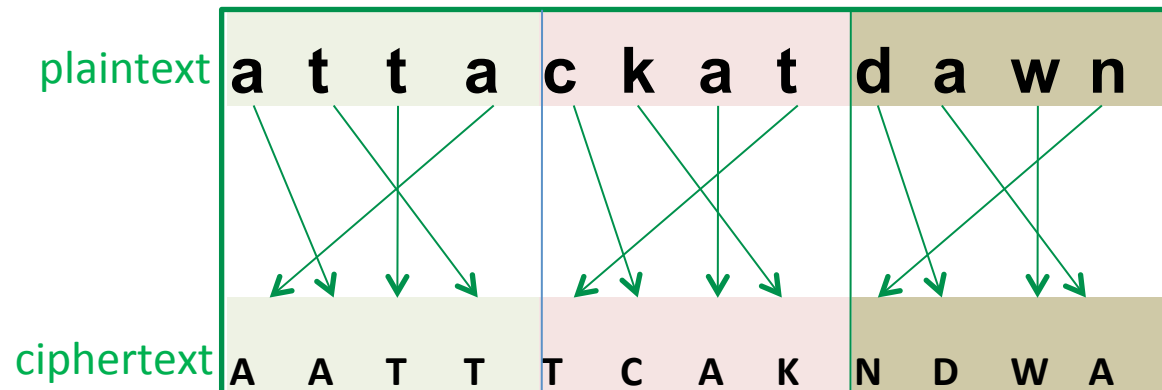
hill plaintext



LIHI
ciphertext

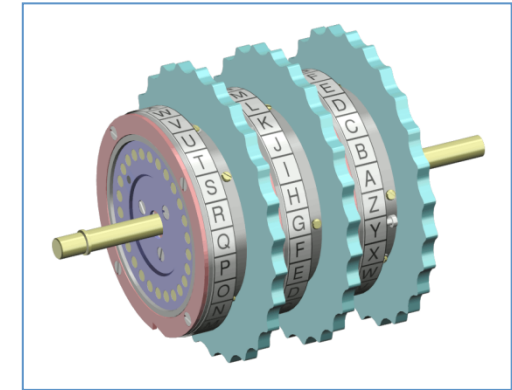
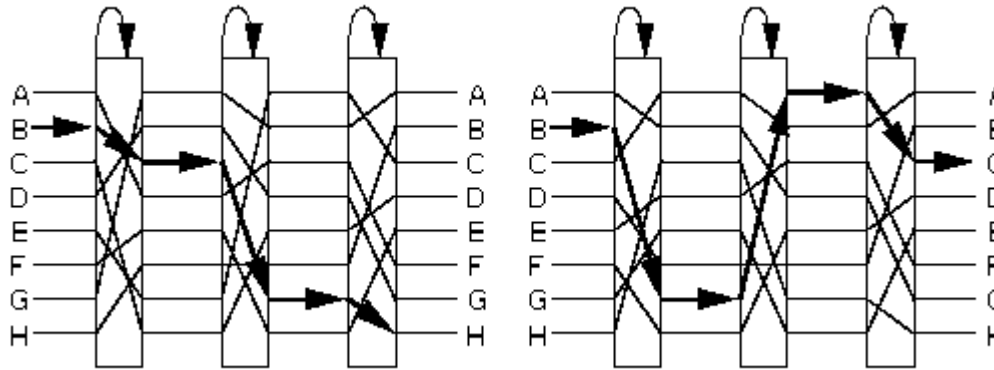
Permutation Cipher

- Example plaintext : **attackatdawn**
 - key : (1,3,2,0) here is of length 4 and a permutation of (0,1,2,3)
 - It mean's 0th character in plaintext goes to 1st character in ciphertext (and so on...)



- cryptanalysis : 4! possibilities

Rotor Machines (German Enigma)

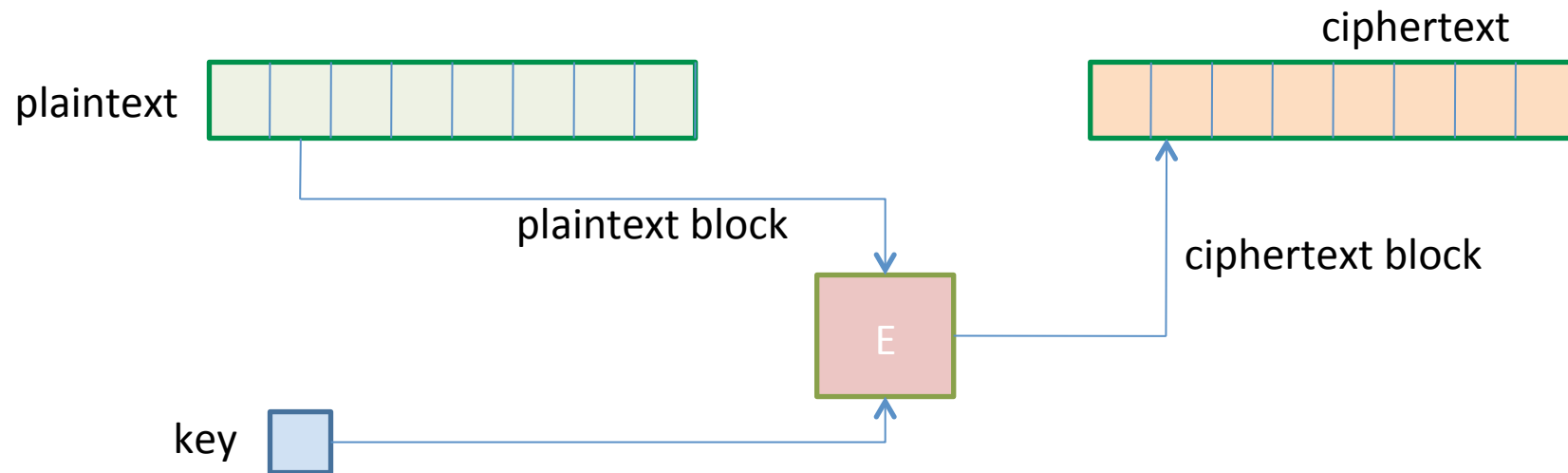


- Each rotor makes a permutation
 - Adding / removing a rotor would change the ciphertext
- Additionally, the rotors rotate with a gear after a character is entered
- Broken by Alan Turing



Block Ciphers

- General principal of all ciphers seen so far
 - Plaintext divided into blocks and each block encrypted with the same key
 - Blocks can vary in length starting from 1 character

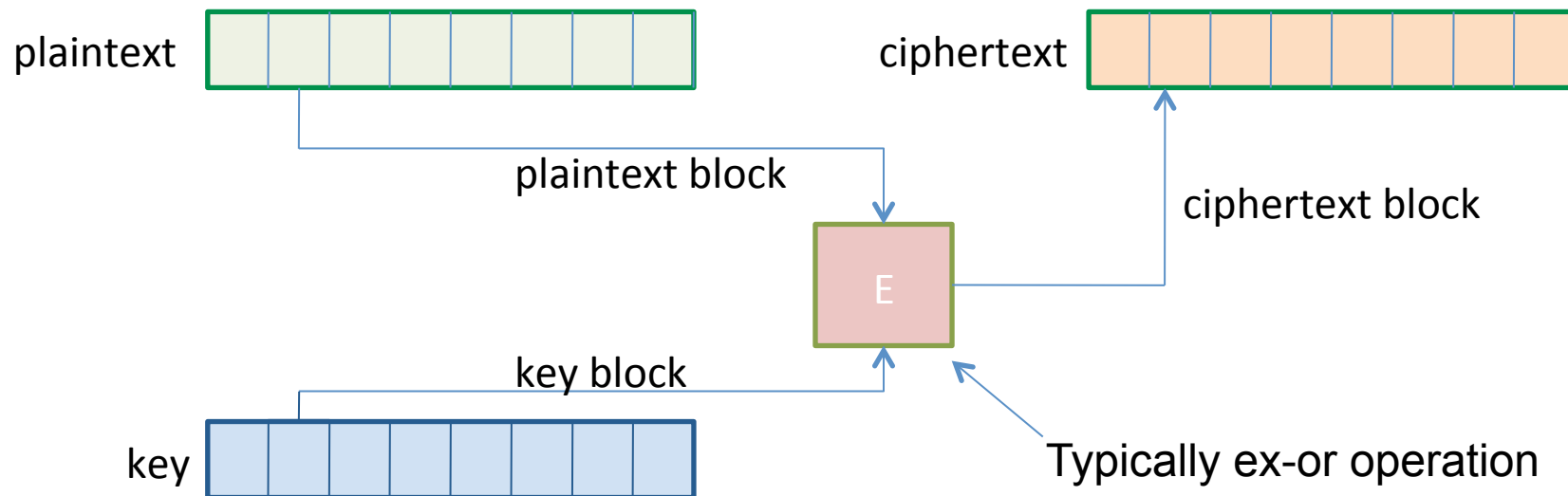


- **examples:** substitution ciphers, polyalphabetic ciphers, permutation ciphers, etc.

Stream Ciphers

Typically a bit, but can also more than a bit

- Each block of plaintext is encrypted with a different key



$$\text{Formally, } y = y_1 y_2 y_3 \dots = e_{k_1}(x_1) e_{k_2}(x_2) e_{k_3}(x_3) \dots$$

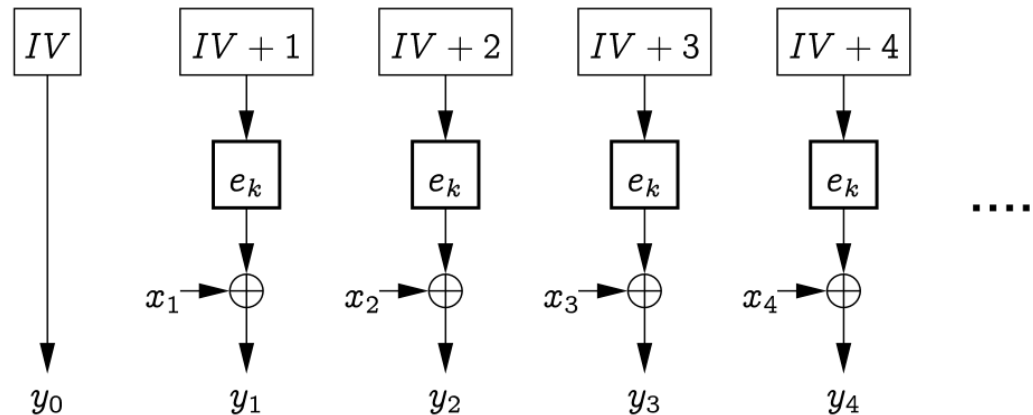
Observe: the key should be variable length... we call this a key stream.

Stream Ciphers (how they work)

stream cipher output : $y = y_1 y_2 y_3 \dots$
 $y_1 = x_1 \oplus k_1; y_2 = x_2 \oplus k_2; y_3 = x_3 \oplus k_3, \dots$

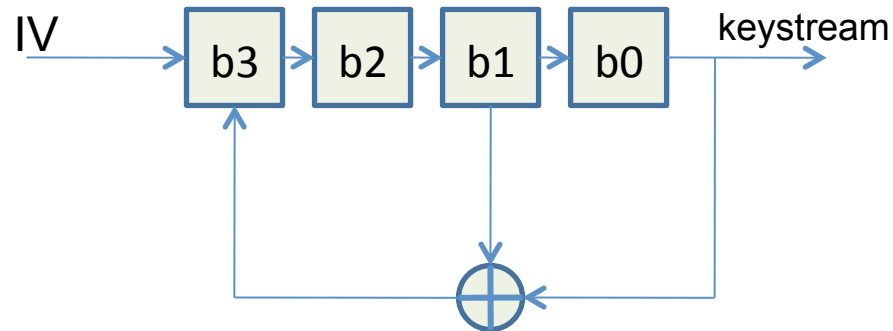
How to generate the i^{th} key : $k_i = f_i(K, k_1, k_2, k_3, \dots, k_{i-1})$

i^{th} key is a function of K and the first $i-1$ plaintexts
 $k_1, k_2, k_3, \dots, k_i$ Is known as the keystream



Generating the keystream in practice

- Using LFSRs (Linear feedback shift registers)



Initialization Vector

| b3 | b2 | b1 | b0 |
|----|----|----|----|
| 1 | 0 | 0 | 0 |
| 0 | 1 | 0 | 0 |
| 0 | 0 | 1 | 0 |
| 1 | 0 | 0 | 1 |
| 1 | 1 | 0 | 0 |
| 0 | 1 | 1 | 0 |
| 1 | 0 | 1 | 1 |
| 0 | 1 | 0 | 1 |
| 1 | 0 | 1 | 0 |
| 1 | 1 | 0 | 1 |
| 1 | 1 | 1 | 0 |
| 1 | 1 | 1 | 1 |
| 0 | 1 | 1 | 1 |
| 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 1 |
| 1 | 0 | 0 | 0 |