

Review  
**Program Verification =>  
 Satisfiability**

```
method Eg1 (x, y, z: bool)
{
  var result : bool;
  if (x)
    result := y;
  else
    result := z;
  assert result;
}
```

(x, y, z) is a counterexample iff  
 $(\neg x \vee \neg y) \wedge (x \vee \neg z)$

Review  
**Propositional Logic**

Syntax  
 A formal language  
 for expressing  
 some class of assertions

Semantics  
 What do we mean  
 by these assertions?

- M is a **model** for  $\phi$ 
  - $M \models \phi$
- $\phi$  is **satisfiable**
- $\phi$  is a **tautology**
  - $\models \phi$

**Propositional Satisfiability**

- How can we check if
  - $\phi$  is a tautology?
  - $\phi$  is satisfiable?
- Decidable
  - Only finitely many cases to check
  - (Finite-state) model checking
- Efficiency?
  - Original NP-Complete problem
  - But very good SAT solvers have been developed over the years ...

Syntax  
 A formal language  
 for expressing  
 some class of assertions

Semantics  
 What do we mean  
 by these assertions?

Proofs & Proof Systems  
 What constitutes a  
 valid proof  
 of an assertion?

$M \models \phi$   
 $\models \phi$

## Formal Proofs & Proof Systems

- Exhaustive checking does not work, e.g., when we reason about integers:
  - For all  $x, y, z$ ,  $(x < y) \wedge (z = \frac{x+y}{2}) \Rightarrow (z < y)$
- Need other approaches to proofs
- Goal: Finite reasoning about infinitely many possibilities

## First Order Logic aka Predicate Calculus

## Propositional Logic +

- Variables:  $x, y, z, \dots$
- Function symbols:  $f, g, +, \times, \dots$ 
  - arity: number of operands
  - prefix notation:  $f(x, y)$
  - infix notation:  $x + y$
  - constant symbols:  $0, 1, \dots$
- Predicate symbols:  $p, q, >, \geq, \dots$ 
  - Equality predicate:  $x = y$  (Predefined "predicate" with a fixed meaning/interpretation)
- Quantification (Universal/Existential)

## Examples

- Natural numbers (Peano arithmetic)
  - Constant symbol:  $0$
  - Function symbol:  $S$  (successor function)
- Natural numbers:
  - Constant symbol:  $0$
  - Function symbol:  $S$  (successor function)
  - Function symbols:  $+, \times$
- Set theory
  - Constant symbol:  $\phi$  (optional)
  - Predicate symbol:  $\in$

## First Order Logic: Syntax

- The set of *terms*:

$$\tau ::= f(\tau_1, \dots, \tau_n) \mid x$$

- The set of *formula*:

$$\phi ::= p(\tau_1, \dots, \tau_n) \mid \tau_1 = \tau_2 \mid$$

$$\neg\phi \mid \phi_1 \wedge \phi_2 \mid \phi_1 \vee \phi_2 \mid \forall x. \phi \mid \exists x. \phi$$

First Order Logic

## Semantics (informally)

- Consider natural numbers  $(0, S, +, \times)$

- Encode “ $x$  is less than or equal to  $y$ ”

- $\exists z. y = x + z$

- Consider sets  $(\in)$

- Encode “ $x$  is a subset of  $y$ ”

- $\forall z. z \in x \Rightarrow z \in y$

- Encode “ $z$  is the union of  $x$  and  $y$ ”

- $\forall w. (w \in x) \Leftrightarrow (w \in x) \vee (w \in y)$

First Order Logic

## Semantics (informally)

- Understanding quantification ...

- $\forall x. \exists y. (x < y)$

- $\exists y. \forall x. (x < y)$

- Conversions between  $\exists$  and  $\forall$

- $\neg \exists x. \phi(x)$  equivalent to  $\forall x. \neg \phi(x)$

- $\neg \forall x. \phi(x)$  equivalent to  $\exists x. \neg \phi(x)$

First Order Logic

## Semantics (informally)

- What do the following mean?

- a)  $\exists x \forall y x \oplus y = y$

- b)  $\exists x \forall y (x \oplus y = y) \wedge (y \oplus x = y)$

- c)  $\forall x \forall y x \oplus y = y \oplus x$

- Does (a) hold

- If we consider the set of integers and interpret  $\oplus$  as integer-addition?

- Find an example of a set and an operation  $\oplus$  that does not satisfy (a)

## First Order Logic: Semantics

- We can interpret terms and formulae ...
- ... given the *meaning* of the function symbols and predicate symbols
  - A set  $A$  (the universe)
  - For every function-symbol  $f$  of arity  $n$ , a function  $M[f]: A^n \rightarrow A$  representing the interpretation of  $f$
  - For every predicate-symbol  $p$  of arity  $n$ , a function  $M[p]: A^n \rightarrow \{T, F\}$  representing the interpretation of  $p$
  - (called a *structure* or *interpretation* for the underlying language)
  - We will refer to the structure as  $M$

## First Order Logic: Semantics

- Extend the interpretation-function to define the value  $M[\tau] \in A$  for any term  $\tau$  inductively.
- We write  $M \models \phi$  to denote that  $\phi$  holds true in the interpretation  $M$ .
- We define  $M \models \phi$  inductively.

Mathematical Preliminaries

### Inductive Definitions

- Syntax  $\phi ::= P \mid \phi_1 \vee \phi_2 \mid \phi_1 \wedge \phi_2$

- Semantics

$$\frac{M[\phi_1] = T, \quad M[\phi_2] = T}{M[\phi_1 \wedge \phi_2] = T}$$

- Proof rules

- Type systems

$$\frac{M \vdash \phi_1, \quad M \vdash \phi_2}{M \vdash \phi_1 \wedge \phi_2}$$

### Example

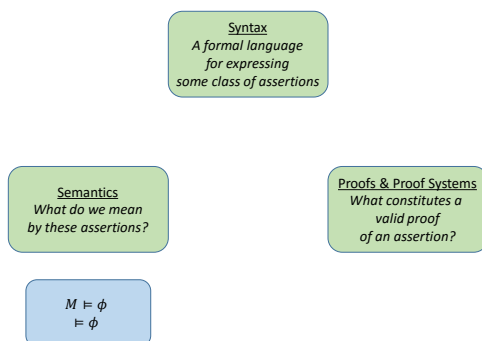
- Consider the language with
  - function symbols  $\oplus$  and  $\otimes$  of arity 2, and
  - function (constant) symbols  $c_0$  and  $c_1$  of arity 0
- Let  $M$  denote the following structure
  - The universe is the set of integers
  - $M[\oplus]$  is integer-addition
  - $M[\otimes]$  is integer-multiplication
  - $M[c_0]$  is 0
  - $M[c_1]$  is 1

## Example

- Does  $M \models \neg \exists x. (x \otimes x) \oplus c_1 = c_0$  hold?
- Is there any structure  $N$  such that  $N \models \exists x. (x \otimes x) \oplus c_1 = c_0$

## Semantic Concepts

- $M$  is said to be a **model** for  $\phi$  iff  $M \models \phi$
- We say  $M$  is a **model of a set**  $\{\psi_1, \psi_2, \dots\}$  if  $M$  is a model of every  $\psi_i$  in the set
- $\phi$  is said to be **satisfiable** if it has a model
- $\phi$  is said to be **unsatisfiable** if it has no model
- $\phi$  is said to be **valid** (or a **tautology**) if every interpretation  $M$  is a model for  $\phi$
- We write  $\models \phi$  iff  $\phi$  is a tautology



## Axiomatic Reasoning

- Consider the language (of group theory)
  - one nullary function symbol  $e$
  - one unary function symbol  $I$
  - one binary function symbol  $\oplus$
- Consider the following “axioms”:
  - $A_1: \forall x \forall y \forall z. x \oplus (y \oplus z) = (x \oplus y) \oplus z$
  - $A_2: \forall x. e \oplus x = x$
  - $A_3: \forall x. I(x) \oplus x = e$
  - $A'_2: \forall x. x \oplus e = x = x$
  - $A'_3: \forall x. x \oplus I(x) = e$

## Example

- Let  $\phi$  denote the formula  

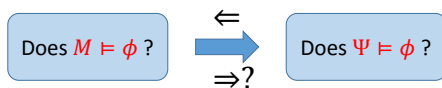
$$\forall x \forall y \forall z. (x \oplus y = x \oplus z) \Rightarrow y = z$$
- What does  $\phi$  say?
- Let  $M$  be a structure such that
  - $M \models A_1$
  - $M \models A_2$
  - $M \models A_3$
- Does  $M \models \phi$  hold?

## Axiomatization

- We write  $\{A_1, A_2, A_3\} \models \phi$  to mean that
  - Every model of  $\{A_1, A_2, A_3\}$  is a model of  $\phi$
  - I.e., if  $M$  is any structure such that  $M \models A_1$ , and  $M \models A_2$  and  $M \models A_3$  then  $M \models \phi$ .
- Let  $\Psi$  be a set of formula (axioms or axiom schemas)
- We write  $\Psi \models \phi$  to mean that
  - Every model of  $\Psi$  is a model of  $\phi$
  - Thus,  $\phi$  is a semantic consequence of  $\Psi$
  - A semantic concept ... no easy way to check.
- The *theory* of  $\Psi$  is the set of all  $\phi$  such that  $\Psi \models \phi$

## Axiomatization

- Suppose we “axiomatize”  $M$  using a set  $\Psi$  of formula (axioms)
  - That is,  $M \models \psi$  for every  $\psi \in \Psi$
  - That is,  $M$  is a model of  $\Psi$
- Problem reduction:



## Theory Completeness

- For every  $\phi$  (with no free variables)
  - Either  $M \models \phi$  or  $M \models \neg\phi$
  - It is possible that neither  $\Psi \models \phi$  nor  $\Psi \models \neg\phi$
- We say that  $\Psi$  is *complete* (or the theory of  $\Psi$  is complete) if
  - for every  $\phi$  either  $\Psi \models \phi$  or  $\Psi \models \neg\phi$