# Advanced Counting Techniques 

CS1200, CSE IIT Madras

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## Advanced Counting Techniques

- Principle of Inclusion-Exclusion $\checkmark$
- Recurrences and its applications $\checkmark$
- Solving Recurrences


## Repeated Substitution Method: Learnings

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- An elementary method to solve recurrences. elementary does not mean simple, but a something that does not need background
- Need to observe a pattern. Do not oversimplify.
- Creativity and experience with summation of series help.
- However, the pattern has to be observed for each recurrence and there is no generic rule. Are there some recurrences that can be solved by a formula?


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Sol: $T(n)=8 n+12$

## Linear recurrences

# Linear Homogeneous Recurrences with constant coefficients 

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- $T(n)=2 T(n-1)$ and $T(0)=1$.
- $T(n)=T(n-1)+T(n-2)$ and $T(0)=0, T(1)=1$.


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Non Examples:

- $T(n)=n T(n-1)$ and $T(0)=1$ does not have constant coefficients
- $T(n)=T(n-1) \cdot T(n-2)$ and $T(0)=0, T(1)=1$. not linear


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Ans: Yes it is possible.

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- $4 \cdot 2^{n}+5 \cdot(-1)^{n}$ is also a solution! So is $2^{n}+(-3) \cdot(-1)^{n}$.
- In fact, for any constants $\alpha_{1}$ and $\alpha_{2}$, $\alpha_{1} \cdot 2^{n}+\alpha_{2} \cdot(-1)^{n}$ is a solution.


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c_{1} \cdot a_{n-1}+c_{2} \cdot a_{n-2} & =c_{1}\left(\alpha_{1} r_{n-1}+\alpha_{2} s_{n-1}\right)+c_{2}\left(\alpha_{1} r_{n-2}+\alpha_{2} s_{n-2}\right) \\
& =\alpha_{1}\left(c_{1} \cdot r_{n-1}+c_{2} \cdot r_{n-2}\right)+\alpha_{2}\left(c_{1} \cdot s_{n-1}+c_{2} \cdot s_{n-2}\right) \\
& =\alpha_{1} r_{n}+\alpha_{2} s_{n}=a_{n}
\end{aligned}
$$

## Linear Homogeneous Recurrences of degree two with constant coefficients

$$
a_{n}=c_{1} a_{n-1}+c_{2} a_{n-2}
$$

Claim: If $r_{0}, r_{1}, r_{2}, \ldots$, and $s_{0}, s_{1}, s_{2}, \ldots$ satisfy the same second order linear homogeneous recurrence with constant coefficients, then for any constants $\alpha_{1}$ and $\alpha_{2}$, and for all $n \geq 0$, we have $a_{n}=\alpha_{1} r_{n}+\alpha_{2} s_{n}$, also satisfies the same recurrence.

## Proof:

- Since $r_{0}, r_{1}, r_{2} \ldots$ satisfies the recurrence, we know $r_{n}=c_{1} r_{n-1}+c_{2} r_{n-2}$
- Since $s_{0}, s_{1}, s_{2} \ldots$ satisfies the recurrence, we know $s_{n}=c_{1} s_{n-1}+c_{2} s_{n-2}$

Let $a_{0}, a_{1}, a_{2}, \ldots$ be the sequence defined by $\alpha_{1} r_{n}+\alpha_{2} s_{n}$. Then,

$$
\begin{aligned}
c_{1} \cdot a_{n-1}+c_{2} \cdot a_{n-2} & =c_{1}\left(\alpha_{1} r_{n-1}+\alpha_{2} s_{n-1}\right)+c_{2}\left(\alpha_{1} r_{n-2}+\alpha_{2} s_{n-2}\right) \\
& =\alpha_{1}\left(c_{1} \cdot r_{n-1}+c_{2} \cdot r_{n-2}\right)+\alpha_{2}\left(c_{1} \cdot s_{n-1}+c_{2} \cdot s_{n-2}\right) \\
& =\alpha_{1} r_{n}+\alpha_{2} s_{n}=a_{n}
\end{aligned}
$$

This completes the proof.

## Back to Example 1

$$
\begin{aligned}
& a_{n}=a_{n-1}+2 a_{n-2} \\
& a_{0}=1, \quad a_{1}=8
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- $2^{n}$ and $(-1)^{n}$ are solutions (not satisfying base cases).
- Goal: Obtain a closed form for the recurrence including base cases.


## Back to Example 1

$$
\begin{aligned}
a_{n} & =a_{n-1}+2 a_{n-2} \\
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- $2^{n}$ and $(-1)^{n}$ are solutions (not satisfying base cases).
- Goal: Obtain a closed form for the recurrence including base cases.

We know that $\alpha_{1} \cdot 2^{n}+\alpha_{2} \cdot(-1)^{n}$ is a solution, for any constants $\alpha_{1}, \alpha_{2}$.
We use base cases to get values of $\alpha_{1}$ and $\alpha_{2}$.

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a_{0}=1=\alpha_{1} \cdot 2^{0}+\alpha_{2} \cdot(-1)^{0}=\alpha_{1}+\alpha_{2}
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Solving this for $\alpha_{1}, \alpha_{2}$ gives us : $\alpha_{1}=3$ and $\alpha_{2}=-2$.

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Verify that $3 \cdot 2^{n}+(-2) \cdot(-1)^{n}$ is a solution to the recurrence.

## Characteristic equation

Let the recurrence be as follows where $c_{1}$ and $c_{2}$ are constants and $c_{2} \neq 0$.

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Claim: The above recurrence is satisfied by the sequence

$$
1, t, t^{2}, t^{3}, \ldots, t^{n}, \ldots
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where $t$ is a non-zero real number iff $t$ satisfies

$$
t^{2}-c_{1} t-c_{2}=0
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Ex: Write down the proof for the above. Note that the proof has two parts.

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- The characteristic equation for Example 1 is $t^{2}-t-2=0$.
- 2 and $(-1)$ are indeed solutions of the above equation.


## Linear Homogeneous Recurrences of degree two with constant coefficients

Input:

$$
\begin{aligned}
& a_{n}=c_{1} a_{n-1}+c_{2} a_{n-2} \\
& a_{0}=x \quad a_{1}=y
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- Solve the characteristic equation to get roots.


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Single Roots case: Coming up.

## Example 2: Distinct roots case

Fibonacci Sequence

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- Roots of the characteristic equation are:

$$
r_{1}=\frac{1+\sqrt{5}}{2} \quad r_{2}=\frac{1-\sqrt{5}}{2}
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- Final solution is

$$
f_{n}=\alpha_{1} \cdot\left(\frac{1+\sqrt{5}}{2}\right)^{n}+\alpha_{2} \cdot\left(\frac{1-\sqrt{5}}{2}\right)^{n}
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Ex:

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Ex:

- Find values of $\alpha_{1}$ and $\alpha_{2}$.
- Change the base cases to say $f_{0}=2$ and $f_{1}=3$ and observe how the solution changes. Check for another choice of base cases.


## Summary

- Special types of recurrences: Linear homogeneous recurrence relations of degree two with constant coefficients.


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- References: Section 8.2 [KR]

