Advanced Counting Techniques

CS1200, CSE IIT Madras

Meghana Nasre

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# Advanced Counting Techniques

- Principle of Inclusion-Exclusion  $\checkmark$
- Recurrences and its applications  $\checkmark$
- Solving Recurrences

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Recurrences occur many times especially in analysis of algorithms.

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Our goal: To obtain a closed form solution to the recurrence.

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Today's class: (Some) Techniques to solve recurrences.

There is no single recipe to solve all recurrences. However, we will show techniques that apply to a wide variety.

### Fibonacci Sequence Recurrence

$$f(n) = n \qquad \text{if } n = 0 \text{ or } n = 1$$
$$= f(n-1) + f(n-2) \qquad otherwise$$

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### Towers of Hanoi Recurrence

$$T(n) = 1 if n = 1 = 2T(n-1) + 1 otherwise$$

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### Towers of Hanoi Recurrence

$$T(n) = 1$$
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# Binary Search Recurrence

assume  $n = 2^k$  for  $k \ge 0$ 

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$$T(n) = 1$$
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$$T(n) = T\left(\frac{n}{2}\right) + 1$$
$$= T\left(\frac{n}{4}\right) + 1 + 1$$

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=  $T\left(\frac{n}{8}\right) + 1 + 1 + 1$ 

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=  $T\left(\frac{n}{2^k}\right) + k \cdot 1$  observing a pattern

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At this step we can guess that  $T(n) = \log n + 1$ . Recall  $n = 2^k$  for  $k \ge 0$ .

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How do we confirm the guess?

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How do we confirm the guess? Ex: Use induction on n to prove the guess.

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 if  $n = 1$   
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$$T(n) = 2T(n-1) + 1$$
  
= 2(2T(n-2) + 1) + 1  
= 2<sup>2</sup>T(n-2) + 2 + 1

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:  
= 2<sup>k</sup>T(n-k) +  $\sum_{i=1}^{k-1} 2^{i}$  observing a pattern

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At this step we can guess that  $T(n) = 2^n - 1$ .

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$$T(n) = 0 if n = 0= 3T(n-1) + 2n otherwise$$

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$$T(n) = 0 if n = 0= 3T(n-1) + 2n otherwise$$

$$T(n) = 3T(n-1) + 2n$$
  
= 3(3T(n-2) + 2(n-1)) + 2n

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= 3<sup>2</sup>T(n-2) + 2(3(n-1) + n))

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work out and observe a pattern

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$$= 3^{k}T(n-k) + 2\sum_{i=0}^{k-1} 3^{i}(n-i)$$

$$T(n) = 0 if n = 0= 3T(n-1) + 2n otherwise$$

$$T(n) = 3T(n-1) + 2n$$
  
=  $3(3T(n-2) + 2(n-1)) + 2n$   
=  $3^{2}T(n-2) + 2(3(n-1) + n))$  work out and observe a pattern  
:  
=  $3^{k}T(n-k) + 2\sum_{i=0}^{k-1} 3^{i}(n-i)$   
=  $3^{n}T(0) + 2\sum_{i=0}^{n-1} 3^{i}(n-i)$   
=  $2n\left(\sum_{i=0}^{n-1} 3^{i}\right) - 2\left(\sum_{i=0}^{n-1} 3^{i}i\right)$  we need to solve  $\sum_{i=0}^{n-1} 3^{i}i$ 

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A sub-problem to solve first.

$$\left(\sum_{i=0}^{n-1} 3^i i\right) =$$

$$T(n) = 0 \qquad \text{if } n = 0$$
  
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$$T(n) = 3T(n-1) + 2n$$
  
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A sub-problem to solve first.

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A sub-problem to solve first.

$$\left(\sum_{i=0}^{n-1} 3^{i} i\right) = \frac{3^{n}(2n-3)+3}{4}$$
 this needs a derivation and proof!

In general: good to know sum of commonly occurring sums.

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Another recurrence

$$T(n) = 0 if n = 0= 3T(n-1) + 2n otherwise$$

$$T(n) = 3T(n-1) + 2n$$
  
=  $2n\left(\sum_{i=0}^{n-1} 3^i\right) - 2\left(\sum_{i=0}^{n-1} 3^i i\right)$  we need to solve  $\sum_{i=0}^{n-1} 3^i i$   
=  $\frac{2n \cdot 3^n + 3^{n+1} - 4n - 3}{4}$  needs to be proved by induction

A sub-problem to solve first.

$$\left(\sum_{i=0}^{n-1} 3^i i\right) = rac{3^n (2n-3) + 3}{4}$$
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- However, the pattern has to be observed for each recurrence and there is no generic rule. Are there some recurrences that can be solved by a formula?

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Ex: Solve by repeated substitution

$$T(n) = 2$$
 if  $n = 0$   
 $= 2\sqrt{T(n-1)}$  otherwise

$$T(n) = 12$$
 if  $n = 0$   
= 20 if  $n = 1$   
=  $2T(n-1) - T(n-2)$  otherwise

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Linear recurrences

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## Linear Homogeneous Recurrences with constant coefficients

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \ldots + c_k a_{n-k}$$

 $1 \leq i \leq k$ ,  $c_i$  is a real number and  $c_k \neq 0$ 

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• Linear because  $a_{n-1}$ ,  $a_{n-2}$  ... appear in separate terms and to the first power.

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- Linear because  $a_{n-1}$ ,  $a_{n-2}$  ... appear in separate terms and to the first power.
- Homogeneous because degree of every term is the same. There is no constant term.

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- Homogeneous because degree of every term is the same. There is no constant term.
- Constant coefficients because  $c_1, c_2 \dots$  are reals which do not depend on n.

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Examples:

• 
$$T(n) = 2T(n-1)$$
 and  $T(0) = 1$ .

• T(n) = T(n-1) + T(n-2) and T(0) = 0, T(1) = 1.

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \ldots + c_k a_{n-k}$$

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• T(n) = T(n-1) + T(n-2) and T(0) = 0, T(1) = 1.

#### Non Examples:

- T(n) = nT(n-1) and T(0) = 1 does not have constant coefficients
- $T(n) = T(n-1) \cdot T(n-2)$  and T(0) = 0, T(1) = 1. not linear
- $T(n) = n \cdot T(n-1)$  and T(1) = 1. does not have constant coefficients

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \ldots + c_k a_{n-k}$$

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Our goal: To derive a systematic way of solving such recurrences. Upcoming next week

- A technique to solve linear homogeneous equations.
- A technique to solve linear non-homogeneous equations.
- References: Section 8.2[KR]