# Recursion and Proofs by Induction – Part II

## CS1200, CSE IIT Madras

Meghana Nasre

March 20, 2020

CS1200, CSE IIT Madras Meghana Nasre Recursion and Proofs by Induction – Part II

## Recursion Continued



Drawing Hands by M. C. Escher

- Familiar recursive functions  $\checkmark$
- Some important recursive functions  $\checkmark$
- Proving closed form solutions using induction  $\checkmark$
- Defining objects and sequences using recursion

All images are courtsey Google Images

▲ロト ▲冊 ▶ ▲ ヨ ▶ ▲ ヨ ▶ → ヨ → の Q @

$$S = \{1, 3, 9, 81, ...\}$$

Attempt to give a recursive definition for the set above.

 $S = \{1, 3, 9, 81, ...\}$ 

Attempt to give a recursive definition for the set above.

Basis Step: $1 \in S$ .Recursive Step:if  $a \in S$ , then  $3a \in S$ .

 $S = \{1, 3, 9, 81, ...\}$ 

Attempt to give a recursive definition for the set above.

Basis Step: $1 \in S$ .Recursive Step:if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

 $S = \{1, 3, 9, 81, ...\}$ 

Attempt to give a recursive definition for the set above.

Basis Step: $1 \in S$ .Recursive Step:if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof: Let A be the set of all non-negative powers of 3. Show that S = A. Note that  $A = \{ 3^n \mid n \in \mathbb{Z}_{\geq 0} \}$ 

- Show that A ⊆ S
- Show that S ⊆ A

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 1:  $A \subseteq S$ .

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 1:  $A \subseteq S$ .

Use induction on *n*. Let P(n):  $3^n$  belongs to *S*.

• Base case: n = 0. This is true since  $3^0 = 1 \in S$ .

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 1:  $A \subseteq S$ .

Use induction on *n*. Let P(n):  $3^n$  belongs to *S*.

- Base case: n = 0. This is true since  $3^0 = 1 \in S$ .
- Inductive step: Assume P(k) is true, that is,  $3^k \in S$ .

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 1:  $A \subseteq S$ .

Use induction on *n*. Let P(n):  $3^n$  belongs to *S*.

- Base case: n = 0. This is true since  $3^0 = 1 \in S$ .
- Inductive step: Assume P(k) is true, that is,  $3^k \in S$ .
- $3^k \in S$  and by Recursive Step, we know that  $3 \cdot 3^k \in S \implies 3^{k+1} \in S$ .

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 1:  $A \subseteq S$ .

Use induction on *n*. Let P(n):  $3^n$  belongs to *S*.

- Base case: n = 0. This is true since  $3^0 = 1 \in S$ .
- Inductive step: Assume P(k) is true, that is,  $3^k \in S$ .
- $3^k \in S$  and by Recursive Step, we know that  $3 \cdot 3^k \in S \implies 3^{k+1} \in S$ .

Thus, we know that all non-negative powers of 3 belong to S. That is,  $A \subseteq S$ .

・ロット (日) (日) (日) (日) (日)

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 2:  $S \subseteq A$ .

Note: This implies S contains only those integers which are non-negative powers of 3.

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 2:  $S \subseteq A$ .

Note: This implies S contains only those integers which are non-negative powers of 3.

We use the recursive definition of the set S.

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 2:  $S \subseteq A$ .

Note: This implies S contains only those integers which are non-negative powers of 3.

We use the recursive definition of the set S.

• Observe that basis step  $1 = 3^0$  is a power of 3. Thus,  $3 \in A$ .

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 2:  $S \subseteq A$ .

Note: This implies S contains only those integers which are non-negative powers of 3.

We use the recursive definition of the set S.

- Observe that basis step  $1 = 3^0$  is a power of 3. Thus,  $3 \in A$ .
- We need to show that all integers generated by recursive step are in A.

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 2:  $S \subseteq A$ .

Note: This implies S contains only those integers which are non-negative powers of 3.

We use the recursive definition of the set S.

- Observe that basis step  $1 = 3^0$  is a power of 3. Thus,  $3 \in A$ .
- We need to show that all integers generated by recursive step are in A.
- We need to show that if  $x \in S$  and  $x \in A$ , then  $3x \in A$ .

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 2:  $S \subseteq A$ .

Note: This implies S contains only those integers which are non-negative powers of 3.

We use the recursive definition of the set S.

- Observe that basis step  $1 = 3^0$  is a power of 3. Thus,  $3 \in A$ .
- We need to show that all integers generated by recursive step are in A.
- We need to show that if  $x \in S$  and  $x \in A$ , then  $3x \in A$ .
- Since  $x \in A$ , we know  $x = 3^i$  for some  $i \ge 0$ .

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 2:  $S \subseteq A$ .

Note: This implies S contains only those integers which are non-negative powers of 3.

We use the recursive definition of the set S.

- Observe that basis step  $1 = 3^0$  is a power of 3. Thus,  $3 \in A$ .
- We need to show that all integers generated by recursive step are in A.
- We need to show that if  $x \in S$  and  $x \in A$ , then  $3x \in A$ .
- Since  $x \in A$ , we know  $x = 3^i$  for some  $i \ge 0$ .
- Thus,  $3x = 3 \cdot 3^{i} = 3^{i+1}$ . And  $3^{i+1} \in A$ .

Basis Step:  $1 \in S$ .

**Recursive Step:** if  $a \in S$ , then  $3a \in S$ .

Claim: The set S is the set of all non-negative powers of 3.

Proof Part 2:  $S \subseteq A$ .

Note: This implies S contains only those integers which are non-negative powers of 3.

We use the recursive definition of the set S.

- Observe that basis step  $1 = 3^0$  is a power of 3. Thus,  $3 \in A$ .
- We need to show that all integers generated by recursive step are in A.
- We need to show that if  $x \in S$  and  $x \in A$ , then  $3x \in A$ .
- Since  $x \in A$ , we know  $x = 3^i$  for some  $i \ge 0$ .
- Thus,  $3x = 3 \cdot 3^{i} = 3^{i+1}$ . And  $3^{i+1} \in A$ .

Thus, S contains only those integers that are non-negative powers of 3, i.e.,  $S \subseteq A$ .

# **Recursive Sets**

$$S = \{1, 3, 9, 81, ...\}$$

Recap and ponder

CS1200, CSE IIT Madras Meghana Nasre Recursion and Proofs by Induction – Part II

$$S = \{1, 3, 9, 81, ...\}$$

• A simple recursively defined set.

CS1200, CSE IIT Madras Meghana Nasre Recursion and Proofs by Induction – Part II

▲ロト ▲圖 ト ▲ 臣 ト ▲ 臣 ト ○ 臣 - の Q ()

$$S = \{1, 3, 9, 81, ...\}$$

- A simple recursively defined set.
- Part 1 of the proof uses induction on n.
- Part 2 of the proof uses structural definition of the set.

$$S = \{1, 3, 9, 81, ...\}$$

- A simple recursively defined set.
- Part 1 of the proof uses induction on n.
- Part 2 of the proof uses structural definition of the set.

Revisit the proof.

For instance, does the claim go through if Basis Step was 2  $\in$  S instead of 1  $\in$  S?

$$S = \{1, 3, 9, 81, ...\}$$

- A simple recursively defined set.
- Part 1 of the proof uses induction on *n*.
- Part 2 of the proof uses structural definition of the set.

Revisit the proof.

For instance, does the claim go through if Basis Step was 2  $\in$  S instead of 1  $\in$  S?

## Ex:

• Give a recursive definition for the set of even integers.

$$S = \{1, 3, 9, 81, ...\}$$

- A simple recursively defined set.
- Part 1 of the proof uses induction on n.
- Part 2 of the proof uses structural definition of the set.

Revisit the proof.

For instance, does the claim go through if Basis Step was 2  $\in$  S instead of 1  $\in$  S?

#### Ex:

• Give a recursive definition for the set of even integers.

Write down your definition on a sheet of paper.

$$S = \{1, 3, 9, 81, ...\}$$

- A simple recursively defined set.
- Part 1 of the proof uses induction on n.
- Part 2 of the proof uses structural definition of the set.

Revisit the proof.

For instance, does the claim go through if Basis Step was 2  $\in$  S instead of 1  $\in$  S?

#### Ex:

• Give a recursive definition for the set of even integers.

Write down your definition on a sheet of paper. Does it cover only positive even integers? If yes, correct it.

$$S = \{1, 3, 9, 81, ...\}$$

- A simple recursively defined set.
- Part 1 of the proof uses induction on n.
- Part 2 of the proof uses structural definition of the set.

Revisit the proof.

For instance, does the claim go through if Basis Step was 2  $\in$  S instead of 1  $\in$  S?

## Ex:

• Give a recursive definition for the set of even integers.

Write down your definition on a sheet of paper. Does it cover only positive even integers? If yes, correct it.

• Consider the following definition of a set S.

Basis Step: $(0,0) \in S$ .Recursive Step:if  $(a,b) \in S$ , then  $(a+2,b+3) \in S$  and<br/> $(a+3,b+2) \in S$ .

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・ ・ 日

$$S = \{1, 3, 9, 81, ...\}$$

- A simple recursively defined set.
- Part 1 of the proof uses induction on n.
- Part 2 of the proof uses structural definition of the set.

Revisit the proof.

For instance, does the claim go through if Basis Step was 2  $\in$  S instead of 1  $\in$  S?

## Ex:

• Give a recursive definition for the set of even integers.

Write down your definition on a sheet of paper. Does it cover only positive even integers? If yes, correct it.

• Consider the following definition of a set S.

Basis Step: $(0,0) \in S$ .Recursive Step:if  $(a,b) \in S$ , then  $(a+2,b+3) \in S$  and<br/> $(a+3,b+2) \in S$ .

Write down at least 5 elements in the set S.

CS1200, CSE IIT Madras Meghana Nasre

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・ ・ 日

$$S = \{1, 3, 9, 81, ...\}$$

- A simple recursively defined set.
- Part 1 of the proof uses induction on n.
- Part 2 of the proof uses structural definition of the set.

Revisit the proof.

For instance, does the claim go through if Basis Step was 2  $\in$  S instead of 1  $\in$  S?

#### Ex:

• Give a recursive definition for the set of even integers.

Write down your definition on a sheet of paper. Does it cover only positive even integers? If yes, correct it.

• Consider the following definition of a set S.

Basis Step: $(0,0) \in S$ .Recursive Step:if  $(a,b) \in S$ , then  $(a+2,b+3) \in S$  and<br/> $(a+3,b+2) \in S$ .

Write down at least 5 elements in the set S. Show that if  $(a, b) \in S$ , then 5 divides a + b? (a + b) + (a + b) + (a + b) = b

$$S = \{1, 3, 9, 81, ...\}$$

- A simple recursively defined set.
- Part 1 of the proof uses induction on n.
- Part 2 of the proof uses structural definition of the set.

Revisit the proof.

For instance, does the claim go through if Basis Step was 2  $\in$  S instead of 1  $\in$  S?

## Ex:

• Give a recursive definition for the set of even integers.

Write down your definition on a sheet of paper. Does it cover only positive even integers? If yes, correct it.

• Consider the following definition of a set S.

Basis Step: $(0,0) \in S$ .Recursive Step:if  $(a,b) \in S$ , then  $(a+2,b+3) \in S$  and<br/> $(a+3,b+2) \in S$ .

Write down at least 5 elements in the set S. Show that if  $(a, b) \in S$ , then 5 divides a + b? Is the converse true? =  $\sim$ 

・ロト ・回 ・ ・ ヨ ・ ・ ヨ ・ うへぐ

Lets define a linked list recursively.

Lets define a linked list recursively.

Basis Step: A null node is a linked list. Recursive Step: A linked list is a node (containing data and) pointing to a linked list.

Lets define a linked list recursively.

Basis Step: A null node is a linked list. Recursive Step: A linked list is a node (containing data and) pointing to a linked list.

Lets define a linked list recursively.

Basis Step: A null node is a linked list. Recursive Step: A linked list is a node (containing data and) pointing to a linked list.

Ex: Define length of a linked list recursively.

CS1200, CSE IIT Madras Meghana Nasre

Recursion and Proofs by Induction – Part II

化白豆 化硼医化合医医化合医医二乙酮
# Trees defined recursively



CS1200, CSE IIT Madras Meghana Nasre

Recursion and Proofs by Induction – Part II

# Trees defined recursively



Trees are drawn upside down in CS!

・ロト ・ 同ト ・ ヨト ・ ヨト … ヨ

CS1200, CSE IIT Madras Meghana Nasre Recursion and Proofs by Induction – Part II

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

Def.1: Binary trees

Basis Step: A null node represents an empty binary tree.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQ@

Def.1: Binary trees

Basis Step: A null node represents an empty binary tree.

**Recursive Step:** If  $T_1$  and  $T_2$  are disjoint binary trees then we can get a binary tree (denoted as  $T_1 \cdot T_2$ ) with a root *r* together with edges connecting *r* to the roots of the left subtree  $T_1$  and right subtree  $T_2$ .

Def.1: Binary trees

Basis Step: A null node represents an empty binary tree.

**Recursive Step:** If  $T_1$  and  $T_2$  are disjoint binary trees then we can get a binary tree (denoted as  $T_1 \cdot T_2$ ) with a root *r* together with edges connecting *r* to the roots of the left subtree  $T_1$  and right subtree  $T_2$ .

Consider another definition of Trees.

Def.2: Full binary trees

Def.1: Binary trees

Basis Step: A null node represents an empty binary tree.

**Recursive Step:** If  $T_1$  and  $T_2$  are disjoint binary trees then we can get a binary tree (denoted as  $T_1 \cdot T_2$ ) with a root *r* together with edges connecting *r* to the roots of the left subtree  $T_1$  and right subtree  $T_2$ .

Consider another definition of Trees.

Def.2: Full binary trees

Basis Step: A single node is a full binary tree

Def.1: Binary trees

Basis Step: A null node represents an empty binary tree.

**Recursive Step:** If  $T_1$  and  $T_2$  are disjoint binary trees then we can get a binary tree (denoted as  $T_1 \cdot T_2$ ) with a root *r* together with edges connecting *r* to the roots of the left subtree  $T_1$  and right subtree  $T_2$ .

Consider another definition of Trees.

Def.2: Full binary trees

Basis Step: A single node is a full binary tree

**Recursive Step:** If  $T_1$  and  $T_2$  are disjoint full binary trees then we can get a full binary tree (denoted as  $T_1 \cdot T_2$ ) with a root r together with edges connecting r to the roots of the left subtree  $T_1$  and right subtree  $T_2$ .

▲ロト ▲冊 ▶ ▲ ヨ ▶ ▲ ヨ ▶ → ヨ → の Q @

Clearly an empty tree is not a full binary tree.

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQ@

Clearly an empty tree is not a full binary tree.

Ex:

• Is there any other tree that is a binary tree but not a full binary tree?

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQ@

Clearly an empty tree is not a full binary tree.

### Ex:

• Is there any other tree that is a binary tree but not a full binary tree?

Write down your answer. If yes, construct an example tree, if no, attempt a proof.

▲□▶ ▲□▶ ▲□▶ ▲□▶ = □ - つへで

Clearly an empty tree is not a full binary tree.

Ex:

• Is there any other tree that is a binary tree but not a full binary tree?

Write down your answer. If yes, construct an example tree, if no, attempt a proof.

Height of a full binary tree

▲□▶ ▲□▶ ▲□▶ ▲□▶ = □ - つへで

Clearly an empty tree is not a full binary tree.

Ex:

• Is there any other tree that is a binary tree but not a full binary tree? Write down your answer. If yes, construct an example tree, if no, attempt a proof.

Height of a full binary tree

Basis Step: If T consists of a single root node, then h(T) = 0.

Clearly an empty tree is not a full binary tree.

### Ex:

• Is there any other tree that is a binary tree but not a full binary tree? Write down your answer. If yes, construct an example tree, if no, attempt a proof.

#### Height of a full binary tree

**Basis Step:** If T consists of a single root node, then h(T) = 0. **Recursive Step:** If  $T = T_1 \cdot T_2$  is a full binary tree where  $T_1$  and  $T_2$  are themselves full binary trees then,  $h(T) = 1 + \max\{h(T_1), h(T_2)\}$ .

Clearly an empty tree is not a full binary tree.

### Ex:

• Is there any other tree that is a binary tree but not a full binary tree? Write down your answer. If yes, construct an example tree, if no, attempt a proof.

### Height of a full binary tree

**Basis Step:** If T consists of a single root node, then h(T) = 0. **Recursive Step:** If  $T = T_1 \cdot T_2$  is a full binary tree where  $T_1$  and  $T_2$  are themselves full binary trees then,  $h(T) = 1 + \max\{h(T_1), h(T_2)\}$ .

#### Ex:

• Write a similar definition for number of nodes n(T) for a full binary tree.

Clearly an empty tree is not a full binary tree.

### Ex:

• Is there any other tree that is a binary tree but not a full binary tree? Write down your answer. If yes, construct an example tree, if no, attempt a proof.

### Height of a full binary tree

**Basis Step:** If T consists of a single root node, then h(T) = 0. **Recursive Step:** If  $T = T_1 \cdot T_2$  is a full binary tree where  $T_1$  and  $T_2$  are themselves full binary trees then,  $h(T) = 1 + \max\{h(T_1), h(T_2)\}$ .

#### Ex:

• Write a similar definition for number of nodes n(T) for a full binary tree.

Write down your answer. Do these functions h(T) and n(T) work for binary trees?

Clearly an empty tree is not a full binary tree.

### Ex:

• Is there any other tree that is a binary tree but not a full binary tree? Write down your answer. If yes, construct an example tree, if no, attempt a proof.

### Height of a full binary tree

**Basis Step:** If T consists of a single root node, then h(T) = 0. **Recursive Step:** If  $T = T_1 \cdot T_2$  is a full binary tree where  $T_1$  and  $T_2$  are themselves full binary trees then,  $h(T) = 1 + \max\{h(T_1), h(T_2)\}$ .

#### Ex:

• Write a similar definition for number of nodes n(T) for a full binary tree.

Write down your answer. Do these functions h(T) and n(T) work for binary trees? What is the change needed?

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$ 

CS1200, CSE IIT Madras Meghana Nasre Recursion and Proofs by Induction – Part II

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$ 

Basis step:

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$ 

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$ 

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

Recursive step:

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$ 

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

**Recursive step:** Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$ 

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

Recursive step: Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

 $n(T) = 1 + n(T_1) + n(T_2)$ 

this answers last Ex: on prev. slide partly

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$ 

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

**Recursive step:** Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

$$n(T) = 1 + n(T_1) + n(T_2)$$
  
$$\leq 1 + 2^{h(T_1)} + 2^{h(T_2)}$$

this answers last Ex: on prev. slide partly

by inductive hypothesis

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$ 

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

**Recursive step:** Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

$$\begin{array}{ll} n(T) &=& 1+n(T_1)+n(T_2) & \mbox{this answers last Ex: on prev. slide partly} \\ &\leq& 1+2^{h(T_1)}+2^{h(T_2)} & \mbox{by inductive hypothesis} \\ &\leq& 1+2\cdot \max\{2^{h(T_1)},2^{h(T_2)}\} & \mbox{x}+y\leq 2\cdot \max\{x,y\} \end{array}$$

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

**Recursive step:** Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

$$\begin{split} n(T) &= 1 + n(T_1) + n(T_2) & \text{this answers last Ex: on prev. slide partly} \\ &\leq 1 + 2^{h(T_1)} + 2^{h(T_2)} & \text{by inductive hypothesis} \\ &\leq 1 + 2 \cdot \max\{2^{h(T_1)}, 2^{h(T_2)}\} & \times + y \leq 2 \cdot \max\{x, y\} \\ &= 1 + 2 \cdot 2^{\max\{h(T_1) + h(T_2)\}} = 1 + 2^{1 + \max\{h(T_1) + h(T_2)\}} \end{split}$$

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

Recursive step: Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

$$\begin{split} n(T) &= 1 + n(T_1) + n(T_2) & \text{this answers last Ex: on prev. slide partly} \\ &\leq 1 + 2^{h(T_1)} + 2^{h(T_2)} & \text{by inductive hypothesis} \\ &\leq 1 + 2 \cdot \max\{2^{h(T_1)}, 2^{h(T_2)}\} & x + y \leq 2 \cdot \max\{x, y\} \\ &= 1 + 2 \cdot 2^{\max\{h(T_1) + h(T_2)\}} = 1 + 2^{1 + \max\{h(T_1) + h(T_2)\}} \\ &= 1 + 2^{h(T)} \end{split}$$

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

Recursive step: Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

$$\begin{split} n(T) &= 1 + n(T_1) + n(T_2) & \text{this answers last Ex: on prev. slide partly} \\ &\leq 1 + 2^{h(T_1)} + 2^{h(T_2)} & \text{by inductive hypothesis} \\ &\leq 1 + 2 \cdot \max\{2^{h(T_1)}, 2^{h(T_2)}\} & x + y \leq 2 \cdot \max\{x, y\} \\ &= 1 + 2 \cdot 2^{\max\{h(T_1) + h(T_2)\}} = 1 + 2^{1 + \max\{h(T_1) + h(T_2)\}} \\ &= 1 + 2^{h(T)} \end{split}$$

Hence proved!

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

Recursive step: Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

$$\begin{split} n(T) &= 1 + n(T_1) + n(T_2) & \text{this answers last Ex: on prev. slide partly} \\ &\leq 1 + 2^{h(T_1)} + 2^{h(T_2)} & \text{by inductive hypothesis} \\ &\leq 1 + 2 \cdot \max\{2^{h(T_1)}, 2^{h(T_2)}\} & x + y \leq 2 \cdot \max\{x, y\} \\ &= 1 + 2 \cdot 2^{\max\{h(T_1) + h(T_2)\}} = 1 + 2^{1 + \max\{h(T_1) + h(T_2)\}} \\ &= 1 + 2^{h(T)} \end{split}$$

Hence proved!

But wait! Are we done? Is it the claim that we wanted to prove?

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$  [false claim!

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

Recursive step: Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

$$\begin{split} n(T) &= 1 + n(T_1) + n(T_2) & \text{this answers last Ex: on prev. slide partly} \\ &\leq 1 + 2^{h(T_1)} + 2^{h(T_2)} & \text{by inductive hypothesis} \\ &\leq 1 + 2 \cdot \max\{2^{h(T_1)}, 2^{h(T_2)}\} & x + y \leq 2 \cdot \max\{x, y\} \\ &= 1 + 2 \cdot 2^{\max\{h(T_1) + h(T_2)\}} = 1 + 2^{1 + \max\{h(T_1) + h(T_2)\}} \\ &= 1 + 2^{h(T)} \end{split}$$

Hence proved!

But wait! Are we done? Is it the claim that we wanted to prove?

In fact the claim is incorrect! Find simple counter examples

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$  [alse claim!

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

Recursive step: Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

$$\begin{split} n(T) &= 1 + n(T_1) + n(T_2) & \text{this answers last Ex: on prev. slide partly} \\ &\leq 1 + 2^{h(T_1)} + 2^{h(T_2)} & \text{by inductive hypothesis} \\ &\leq 1 + 2 \cdot \max\{2^{h(T_1)}, 2^{h(T_2)}\} & x + y \leq 2 \cdot \max\{x, y\} \\ &= 1 + 2 \cdot 2^{\max\{h(T_1) + h(T_2)\}} = 1 + 2^{1 + \max\{h(T_1) + h(T_2)\}} \\ &= 1 + 2^{h(T)} \end{split}$$

Hence proved!

But wait! Are we done? Is it the claim that we wanted to prove?

In fact the claim is incorrect! Find simple counter examples

Correct Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)+1} - 1$ 

Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)}$  [alse claim!

**Basis step:** For a full binary tree T with a single root node, h(T) = 0.  $2^0 = 1$  is the number of nodes in T. Hence base case is true.

Recursive step: Assume  $T = T_1 \cdot T_2$  where  $T_1$  and  $T_2$  are full binary trees.

$$\begin{split} n(T) &= 1 + n(T_1) + n(T_2) & \text{this answers last Ex: on prev. slide partly} \\ &\leq 1 + 2^{h(T_1)} + 2^{h(T_2)} & \text{by inductive hypothesis} \\ &\leq 1 + 2 \cdot \max\{2^{h(T_1)}, 2^{h(T_2)}\} & x + y \leq 2 \cdot \max\{x, y\} \\ &= 1 + 2 \cdot 2^{\max\{h(T_1) + h(T_2)\}} = 1 + 2^{1 + \max\{h(T_1) + h(T_2)\}} \\ &= 1 + 2^{h(T)} \end{split}$$

Hence proved!

#### But wait! Are we done? Is it the claim that we wanted to prove?

In fact the claim is incorrect! Find simple counter examples

Correct Claim: The number of nodes n(T) for a full binary tree is  $\leq 2^{h(T)+1} - 1$ Complete the proof of the correct claim – see Theorem 2, Section 5.3 [KR]

CS1200, CSE IIT Madras Meghana Nasre

Recursion and Proofs by Induction – Part II

# **Recursive Sequences**

We have already seen the fibonacci sequence in the last class.

Consider the following recursive sequence  $r_0, r_1, r_2, \ldots,$ 

・ロト・日本・モト・モー シックの

We have already seen the fibonacci sequence in the last class.

Consider the following recursive sequence  $r_0, r_1, r_2, \ldots,$ 

Basis Step:
 $r_0 = -1$   $r_1 = -14$  

Recursive Step:
 $r_n = 7r_{n-1} - 10r_{n-2}$   $n \ge 2$ 

Ex:

• Find r<sub>2</sub>, r<sub>3</sub>.

We have already seen the fibonacci sequence in the last class.

Consider the following recursive sequence  $r_0, r_1, r_2, \ldots,$ 

Basis Step:
 $r_0 = -1$   $r_1 = -14$  

Recursive Step:
 $r_n = 7r_{n-1} - 10r_{n-2}$   $n \ge 2$ 

Ex:

- Find r<sub>2</sub>, r<sub>3</sub>.
- We would like a closed form expression for  $r_n$ .
We have already seen the fibonacci sequence in the last class.

Consider the following recursive sequence  $r_0, r_1, r_2, \ldots,$ 

 Basis Step:
  $r_0 = -1$   $r_1 = -14$  

 Recursive Step:
  $r_n = 7r_{n-1} - 10r_{n-2}$   $n \ge 2$ 

Ex:

- Find r<sub>2</sub>, r<sub>3</sub>.
- We would like a closed form expression for r<sub>n</sub>.
  Since the closed form is non-trivial, we provide some hints and ask you guess parts of it.

Guess the values of  $c_1$  and f(n) to get a closed form for  $r_n$ :

$$r_n = c_1 \cdot 2^n - 4 \cdot 5^{f(n)}$$

We have already seen the fibonacci sequence in the last class.

Consider the following recursive sequence  $r_0, r_1, r_2, \ldots,$ 

 Basis Step:
  $r_0 = -1$   $r_1 = -14$  

 Recursive Step:
  $r_n = 7r_{n-1} - 10r_{n-2}$   $n \ge 2$ 

Ex:

- Find r<sub>2</sub>, r<sub>3</sub>.
- We would like a closed form expression for r<sub>n</sub>.
  Since the closed form is non-trivial, we provide some hints and ask you guess parts of it.

Guess the values of  $c_1$  and f(n) to get a closed form for  $r_n$ :

$$r_n = c_1 \cdot 2^n - 4 \cdot 5^{f(n)}$$

• Does your guess work for all of  $r_0, r_1, r_2, r_3$ ?

We have already seen the fibonacci sequence in the last class.

Consider the following recursive sequence  $r_0, r_1, r_2, \ldots,$ 

 Basis Step:
  $r_0 = -1$   $r_1 = -14$  

 Recursive Step:
  $r_n = 7r_{n-1} - 10r_{n-2}$   $n \ge 2$ 

Ex:

- Find r<sub>2</sub>, r<sub>3</sub>.
- We would like a closed form expression for r<sub>n</sub>.
  Since the closed form is non-trivial, we provide some hints and ask you guess parts of it.

Guess the values of  $c_1$  and f(n) to get a closed form for  $r_n$ :

$$r_n = c_1 \cdot 2^n - 4 \cdot 5^{f(n)}$$

- Does your guess work for all of  $r_0, r_1, r_2, r_3$ ?
- The answer is c<sub>1</sub> = 3 and f(n) = n. Now prove that these values are indeed correct by using induction on n.

CS1200, CSE IIT Madras Meghana Nasre

## Summary

- Recursive Sets and proofs using induction and structure of the set.
- Recursively defined objects, specifically trees and their properties.
- Recursive sequences.
- left as reading exercise: Recursion and strings.
- Reference: Section 5.3 [KT].

◆□▶ ◆帰▶ ◆臣▶ ◆臣▶ 三臣 - のへで