

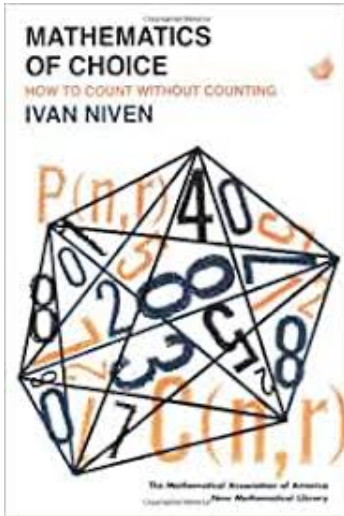
# Counting

CS1200, CSE IIT Madras

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# Counting (without counting)



- Basic Counting Techniques ✓
- Pigeon Hole Principle (revisited)
- Permutations and Combinations
- Combinatorial Identities

# Pigeonhole principle

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**Sol:** Note that if we are lucky we can get a pair by pulling two socks. However, in the worst case, we may pull out 4 socks and all of them may be of different colors. Thus if we pull out 5 or more socks, we will always be guaranteed a pair of some color.

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**Sol:** Note that every integer from  $1, 2, \dots, 8$  has a unique “partner” which ensures that their sum is 9. Lets make four holes, one per pair. Now since we select 5 integers there is at least one hole in which both the elements of the pair are selected. This completes the proof.

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**Ex:** In our example selection, we saw two pairs. Can we strengthen our claim that there are always two pairs that sum upto 9? If yes, modify the proof. If no, construct a counter example.



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**Sol:** There are 100 total addresses, let's have 50 holes so that each hole corresponds to two consecutive addresses. That is, 1000, 1001 are one hole and so on.

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**Sol:** There are 100 total addresses, let's have 50 holes so that each hole corresponds to two consecutive addresses. That is, 1000, 1001 are one hole and so on. Now since there are 51 houses, there is at least one hole containing two houses, implying that there are at least two houses with consecutive integers as their numbers. This completes the proof.

A clever application of the principle

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**Qn:** Identify a decreasing subseq. in the given sequence. Does every sequence have an increasing as well as decreasing subsequence?

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- Is there a 4 length increasing subsequence? Yes! 5, 8, 12, 15.
- Is there a 4 length decreasing subsequence? Yes! 5, 3, 2, 1.
- Finally, note the **or**. That is, we are content with either a large increasing subsequence or a large decreasing subsequence.
- Note that if you have a monotonically increasing sequence as your input, you cannot find even a 2 length decreasing subsequence (forget large!).

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We will associate **two integers**  $i_k$  and  $d_k$  with an element  $a_k$  in the sequence.

- $i_k$  denotes the **longest** increasing subsequence starting at  $a_k$ .
- $d_k$  denotes the **longest** decreasing subsequence starting at  $a_k$ .

**Side Question:** How do we compute  $i_k$  and  $d_k$  algorithmically? It is not needed for this proof, but interesting on its own.

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- $i_1 = 5$  since we have a 5 length increasing subsequence starting at  $a_1 = 5$ .  
The subsequence is 5, 7, 8, 12, 15.

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- $i_1 = 5$  since we have a 5 length increasing subsequence starting at  $a_1 = 5$ . The subsequence is 5, 7, 8, 12, 15. Further there is no 6 length increasing subsequence starting at  $a_1$ .

### Example 3: Increasing / decreasing subsequences

We are given a sequence of  $n^2 + 1$  distinct integers say as below.

5, 7, 3, 2, 1, 8, 12, 15, 13, 6

We will associate **two integers**  $i_k$  and  $d_k$  with an element  $a_k$  in the sequence.

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- Write down  $i_2, d_2$ .

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**Theorem:** Given any  $n^2 + 1$  length sequence of distinct integers there is either an  $n + 1$  strictly increasing subsequence or an  $n + 1$  strictly decreasing subsequence. We will associate two integers with every element in the sequence. Those are  $i_k$  and  $d_k$ .

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However, we have  $n^2 + 1$  elements in the input sequence. Thus there must be two elements  $a_s$  and  $a_t$  such that  $i_s = i_t$  and  $d_s = d_t$ . Further note that we can assume  $a_s$  appears before  $a_t$  in the sequence. That is,  $s < t$ .

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- On the other hand, if  $a_s > a_t$  we can improve the length of the decreasing sequence at  $a_s$  by prepending  $a_s$  to the  $d_t$  length decreasing sequence.

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Thus, we cannot have a pair  $a_s$  and  $a_t$  with  $i_s = i_t$  and  $d_s = d_t$ .

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Thus, we cannot have a pair  $a_s$  and  $a_t$  with  $i_s = i_t$  and  $d_s = d_t$ .

Note that this was true because all of  $i_k$  and  $d_k$  were at most  $n$ . However, there must be at least one which is  $\geq n + 1$ .

## Example 3: Increasing / decreasing subsequences

**Theorem:** Given any  $n^2 + 1$  length sequence of distinct integers there is either an  $n + 1$  strictly increasing subsequence or an  $n + 1$  strictly decreasing subsequence.

### Remarks

- This is a non-trivial proof using pigeon hole principle.



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### Remarks

- This is a non-trivial proof using pigeon hole principle.
- Revisit the proof and make sure you understand it completely.

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- This is a non-trivial proof using pigeon hole principle.
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- Read Examples 11, 12, 13 of 6.2 [KR]

# Summary

- Pigeonhole principle and its generalization.
- Simple and interesting applications.
- A non-trivial and elegant application to increasing / decreasing subsequences.
- Reference: Section 6.2 [KR].