

Shape Analysis

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CS6843 Program Analysis
IIT Madras
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Outline

- Limitations of pointer analysis
- Identify lists
- Identify trees, DAGs, cyclic graphs
- Identifying rotations
- List reversal and other transformations

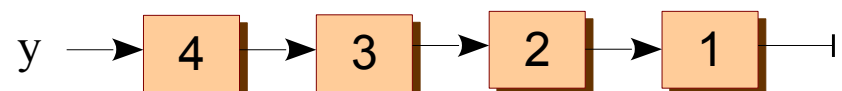
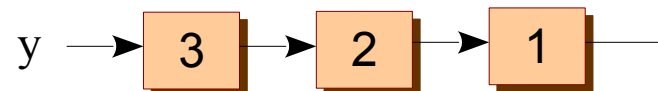
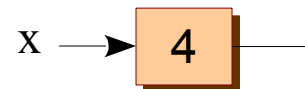
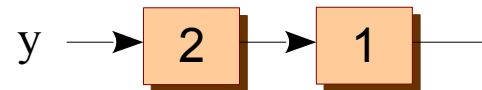
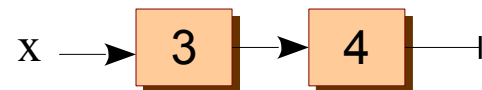
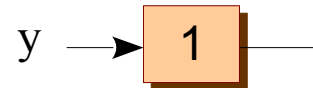
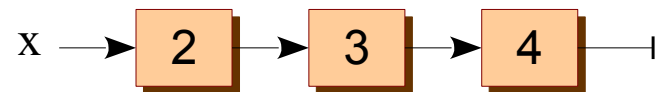
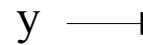
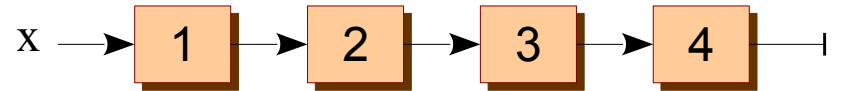
Limitations of Pointer Analysis

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

We want to check if x points to a singly linked list at the end of listReverse.

That is,

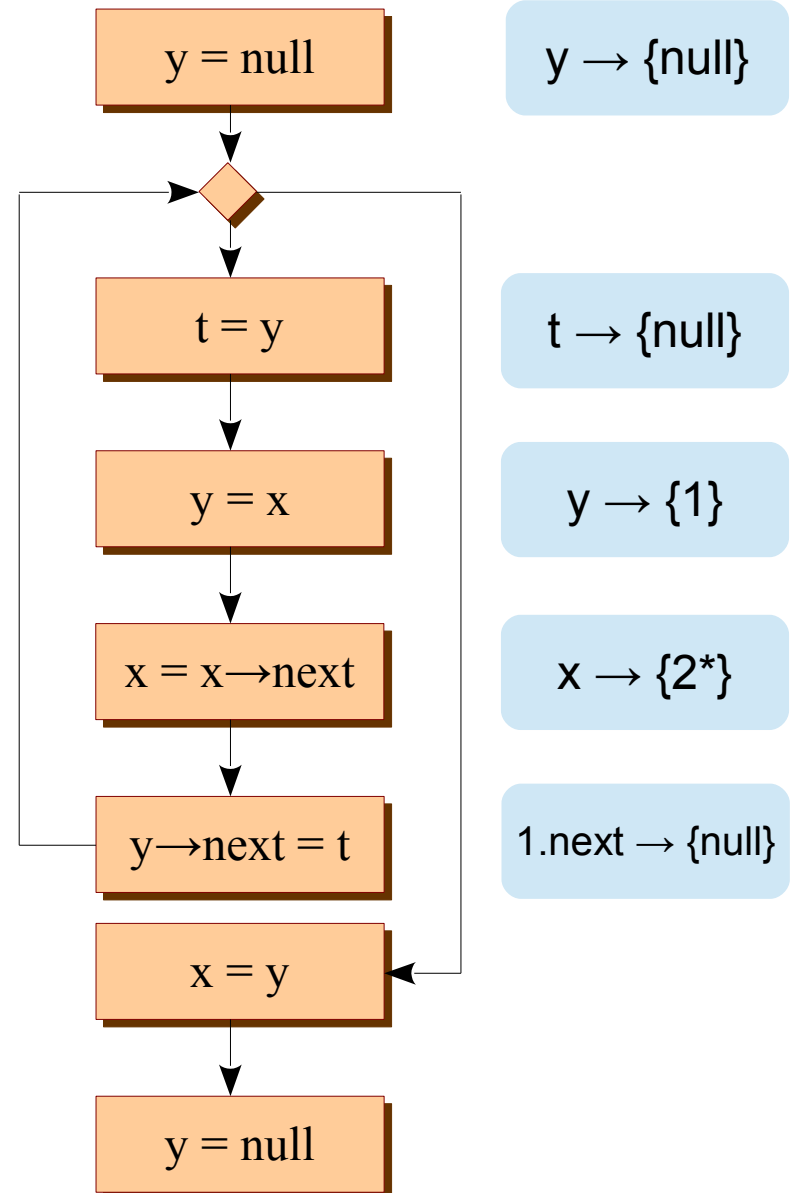
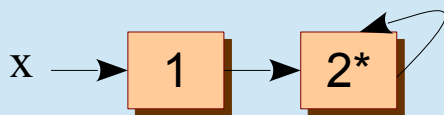
$x \rightarrow \{4\}, 4.\text{next} \rightarrow \{3\}, \dots, 1.\text{next} \rightarrow \{\text{null}\}$



Limitations of Pointer Analysis

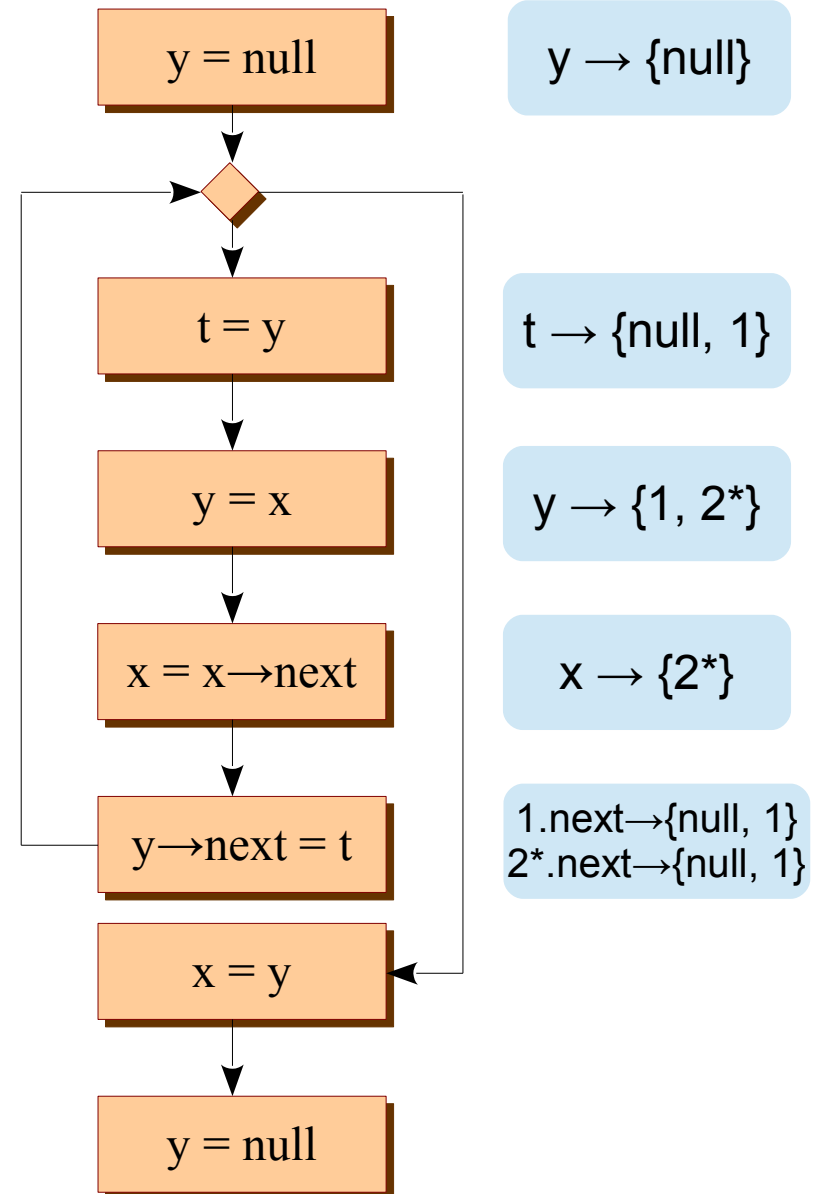
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}
```

We model the list as a two node structure.



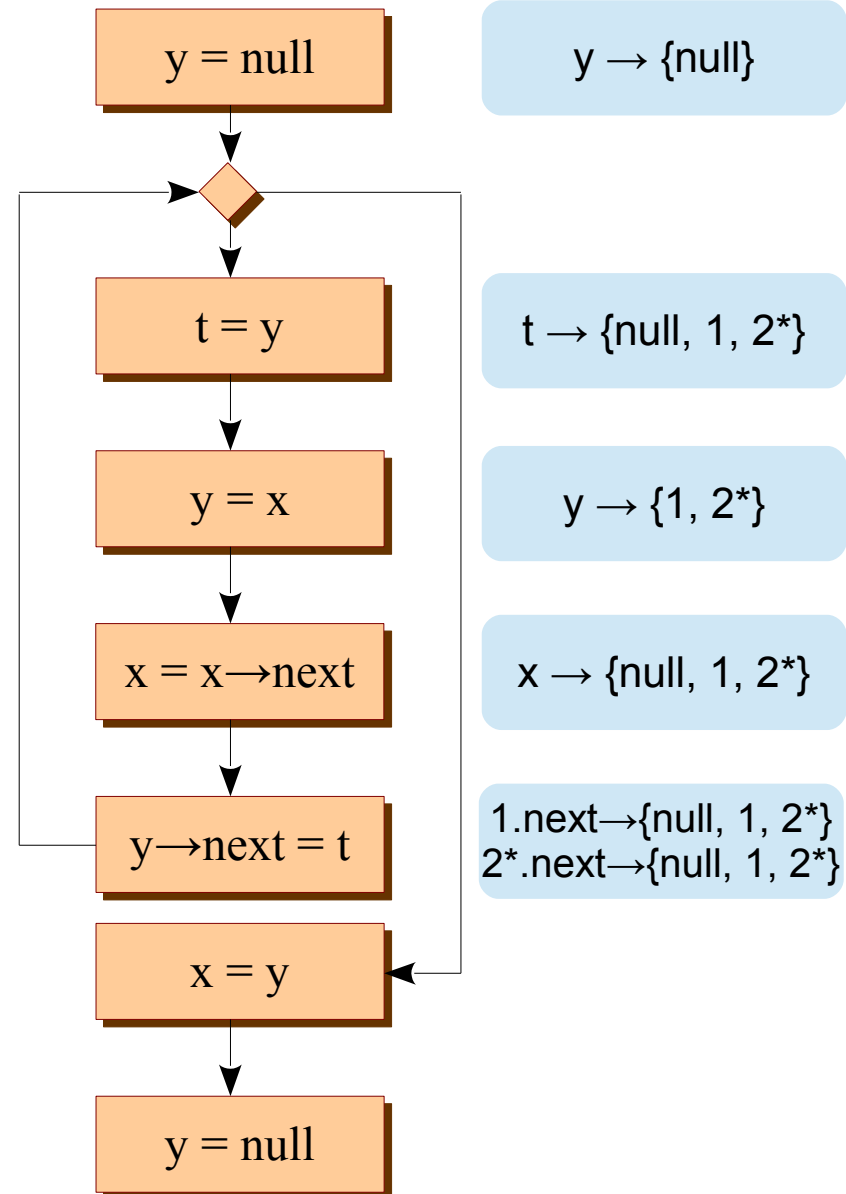
Limitations of Pointer Analysis

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  }  
  x = y;  
  t = null;  
  y = null;  
}
```



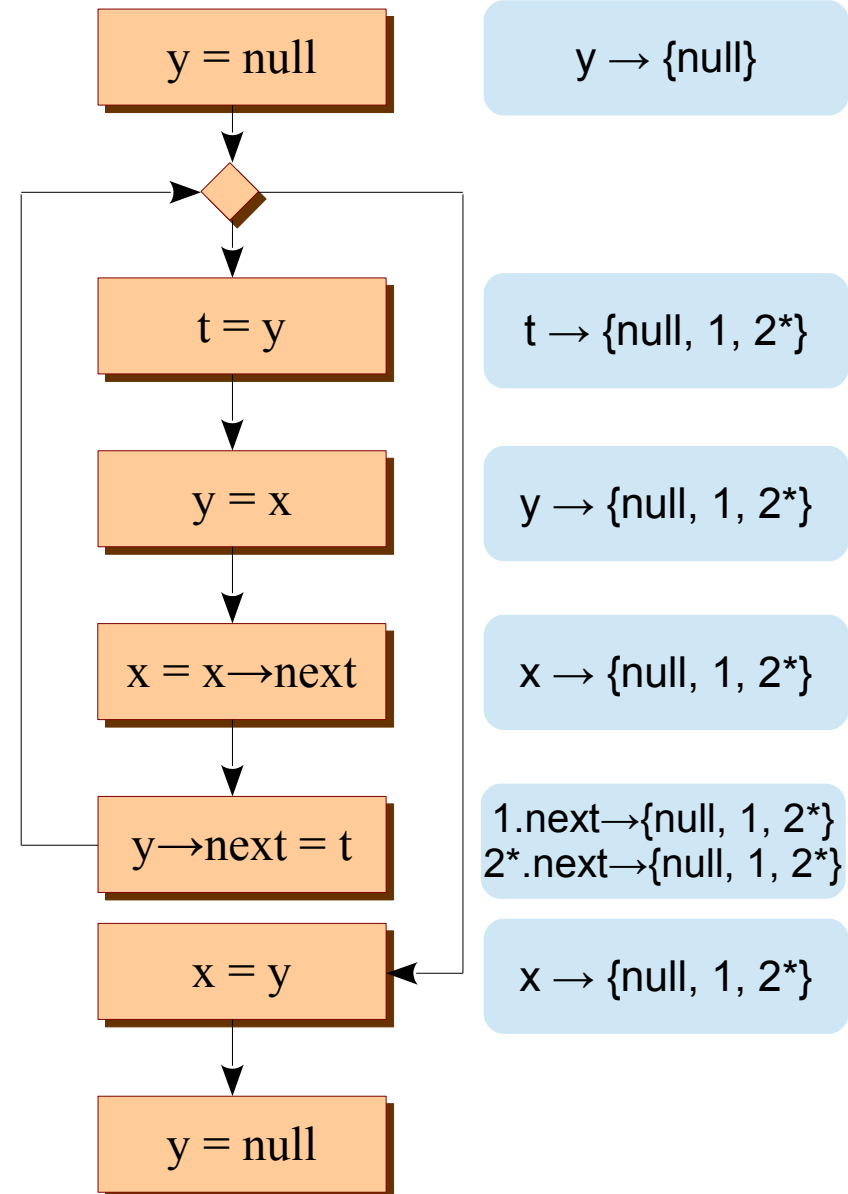
Limitations of Pointer Analysis

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  }  
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  t = null;  
  y = null;  
}
```



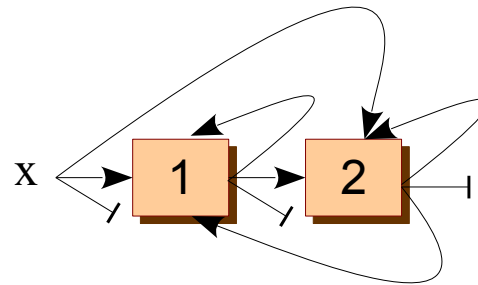
Limitations of Pointer Analysis

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Limitations of Pointer Analysis

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    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```



$y \rightarrow \{\text{null}\}$

$t \rightarrow \{\text{null}, 1, 2^*\}$

$y \rightarrow \{\text{null}, 1, 2^*\}$

$x \rightarrow \{\text{null}, 1, 2^*\}$

$1.\text{next} \rightarrow \{\text{null}, 1, 2^*\}$
 $2^*.\text{next} \rightarrow \{\text{null}, 1, 2^*\}$

$x \rightarrow \{\text{null}, 1, 2^*\}$

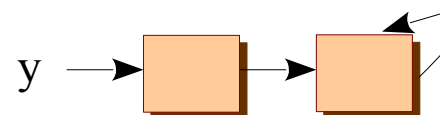
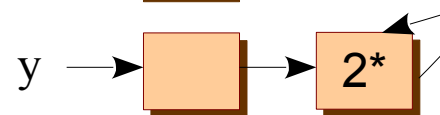
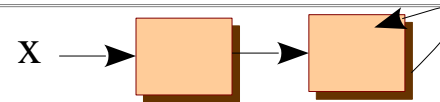
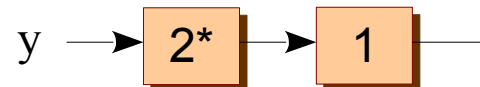
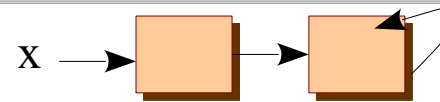
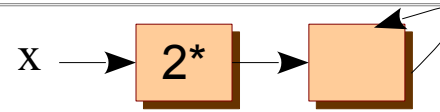
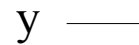
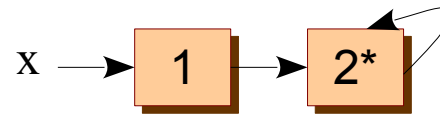
Shape Analysis

- Identify structural / topological properties of a data structure under manipulation.
- Usually categorized as slist, tree, DAG or cycle.
- Precision reduces along slist \rightarrow tree \rightarrow DAG \rightarrow cycle.

Shape Analysis

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listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
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    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

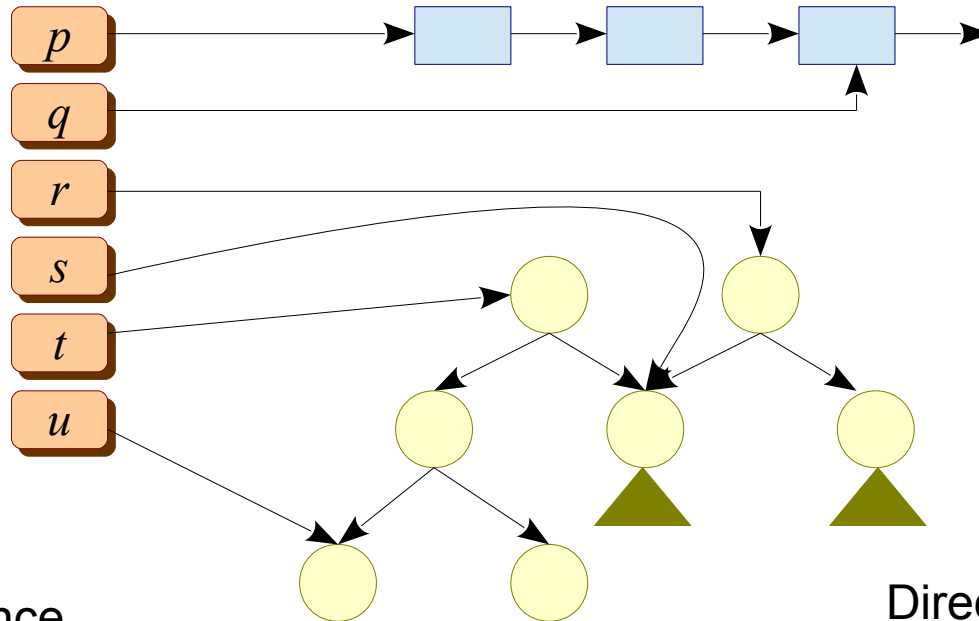
Maintain additional information with 2* that it is acyclic.
Use the fact that node removal maintains acyclicity.



Tree, DAG, Cycle?

- Maintains three data structures:
 - Interference matrix: encodes common reachability
 - Direction matrix: encodes direct reachability
 - Shape
- Performs iterative data-flow analysis to update D, I and shape information

Tree, DAG, Cycle?



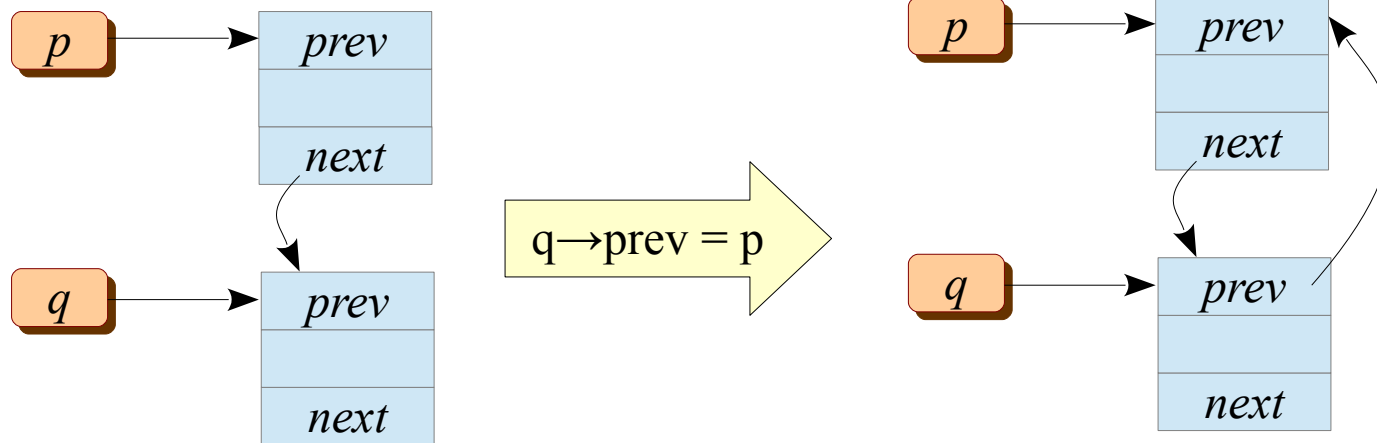
Interference

	<i>p</i>	<i>q</i>	<i>r</i>	<i>s</i>	<i>t</i>	<i>u</i>
<i>p</i>	1	1	0	0	0	0
<i>q</i>		1	0	0	0	0
<i>r</i>			1	1	1	0
<i>s</i>				1	1	0
<i>t</i>					1	1
<i>u</i>						1

Direction

	<i>p</i>	<i>q</i>	<i>r</i>	<i>s</i>	<i>t</i>	<i>u</i>
<i>p</i>	1	1	0	0	0	0
<i>q</i>	0	1	0	0	0	0
<i>r</i>	0	0	1	1	0	0
<i>s</i>	0	0	0	1	0	0
<i>t</i>	0	0	0	1	1	1
<i>u</i>	0	0	0	0	0	1

Shape Estimation



$D[p][q] = 1, D[q][p] = 0$
 $p.shape = \text{Tree}$
 $q.shape = \text{Tree}$

$D[p][q] = 1, D[q][p] = \mathbf{1}$
 $p.shape = \mathbf{Cycle}$
 $q.shape = \mathbf{Cycle}$

Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

$p \rightarrow f = \text{null}$

Inference Rules

p = malloc(...)

p = q

p = q → f

p = &(q → f)

p = q op k

p = null

p->f = q

p->f = null

$D_kill = \{D[p][s] \mid D[p][s] == 1\} \cup \{D[s][p] \mid D[s][p] == 1\}$

$I_kill = \{I[p][s] \mid I[p][s] == 1\}$

$D_gen = \{D[p][p]\}$

$I_gen = \{I[p][p]\}$

p.shape = Tree

Inference Rules

<p>$p = \text{malloc}(\dots)$</p> <p>$p = q$ $p = q \rightarrow f$ $p = \&(q \rightarrow f)$ $p = q \text{ op } k$ $p = \text{null}$</p> <p>$p \rightarrow f = q$ $p \rightarrow f = \text{null}$</p>	<p>D_kill and I_kill sets same as for allocation statement.</p> <p>$D_{\text{gen}} = \{D[s][p] \mid D[s][q] \text{ and } s \neq p\} \cup$ $\{D[p][s] \mid D[q][s] \text{ and } s \neq p\} \cup$ $\{D[p][p] \mid D[q][q]\}$</p> <p>$I_{\text{gen}} = \{I[p][s] \mid I[q][s] \text{ and } s \neq p\} \cup$ $\{I[p][p] \mid I[q][q]\}$</p> <p>$p.\text{shape} = q.\text{shape}$</p>
--	--

The implementation should create new D/I matrices from their current copies. In-situ update would lead to unsound or imprecise analysis.

Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

$p \rightarrow f = \text{null}$

D_kill and I_kill sets same as for allocation statement.

$D_gen = \{D[s][p] \mid I[s][q] \text{ and } s \neq p\} \cup$
 $\{D[p][s] \mid D[q][s] \text{ and } s \neq p \text{ and } s \neq q\} \cup$
 $\{D[p][q] \mid q.\text{shape} == \text{Cycle}\} \cup$
 $\{D[p][p] \mid D[q][q]\}$

$I_gen = \{I[p][s] \mid I[q][s] \text{ and } s \neq p\} \cup$
 $\{I[p][p] \mid I[q][q]\}$

$p.\text{shape} = q.\text{shape}$

Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

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D_kill and I_kill sets same as for allocation statement.

$$D_gen = \{D[s][p] \mid I[s][q] \text{ and } s \neq p\} \cup$$

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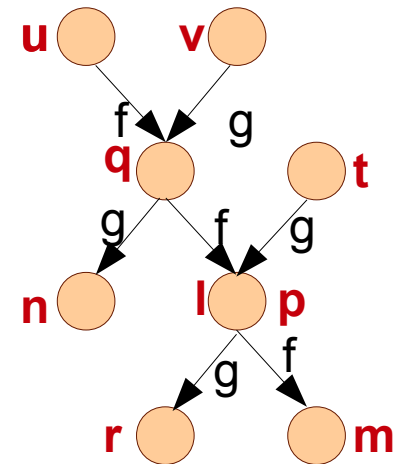
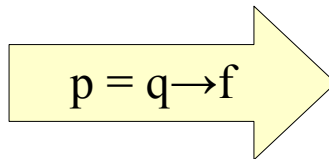
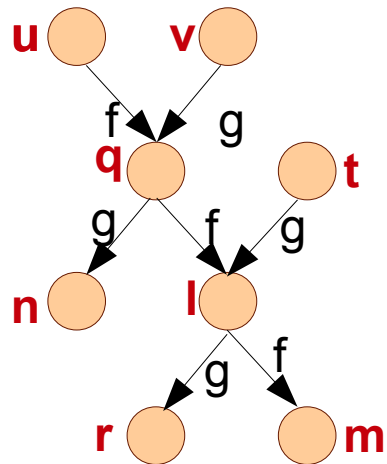
$$\{D[p][q] \mid q.\text{shape} == \text{Cycle}\} \cup$$

$$\{D[p][p] \mid D[q][q]\}$$

$$I_gen = \{I[p][s] \mid I[q][s] \text{ and } s \neq p\} \cup$$

$$\{I[p][p] \mid I[q][q]\}$$

$p.\text{shape} = q.\text{shape}$



Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

$p \rightarrow f = \text{null}$

Processing is the same as for $p = q$ statement.
This means the analysis loses field-sensitivity.

A former work from IITK (Dasgupta, Karkare, Reddy) addresses this issue.

Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

$p \rightarrow f = \text{null}$

D_kill and I_kill sets same as for allocation statement.

$D_gen = \{ \}$

$I_gen = \{ \}$

$p.\text{shape} = \text{Tree}$

Inference Rules

$p = \text{malloc}(\dots)$

$D_kill = \{ \}, I_kill = \{ \}$

$p = q$

$D_gen = \{D[r][s] \mid D[r][p] \text{ and } D[q][s]\}$

$p = q \rightarrow f$

$I_gen = \{I[r][s] \mid D[r][p] \text{ and } I[q][s]\}$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$D[q][p] \text{ and } D[s][q] \Rightarrow s.\text{shape} = \text{Cycle}$

$p = \text{null}$

$D[q][p] \text{ and } D[s][p] \Rightarrow s.\text{shape} = \text{Cycle}$

$p \rightarrow f = q$

$!D[q][p] \text{ and } D[s][p] \text{ and } I[s][q] \text{ and } q.\text{shape} == \text{Tree}$

$\Rightarrow s.\text{shape} = \max(s.\text{shape}, \text{DAG})$

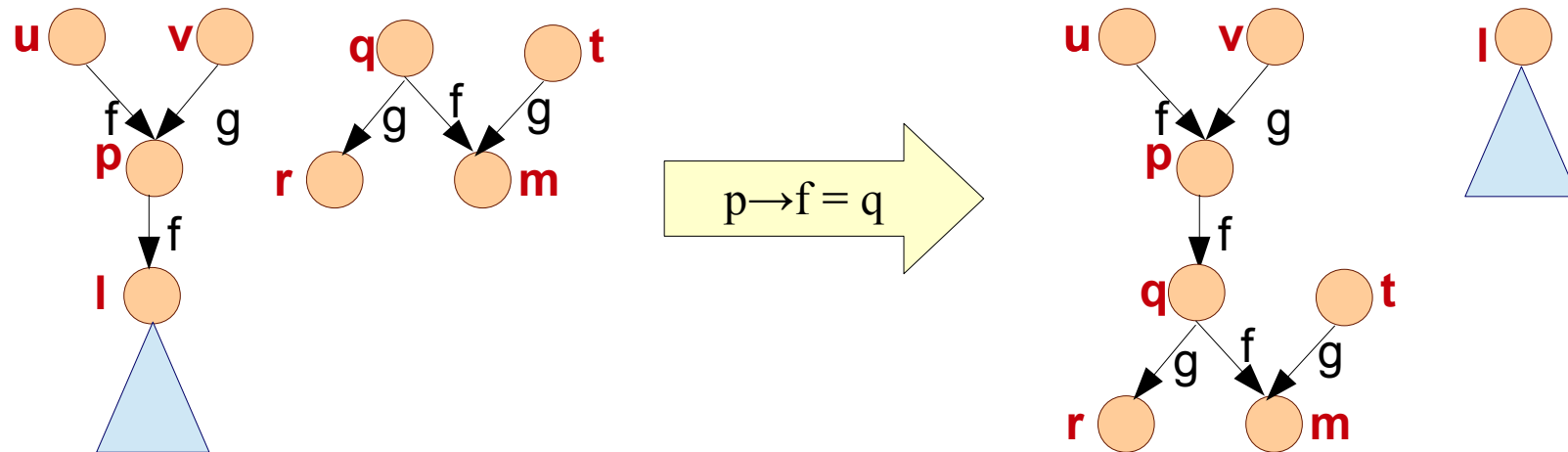
$p \rightarrow f = \text{null}$

$!D[q][p] \text{ and } D[s][p] \text{ and } q.\text{shape} != \text{Tree}$

$\Rightarrow s.\text{shape} = \max(s.\text{shape}, q.\text{shape})$

Inference Rules

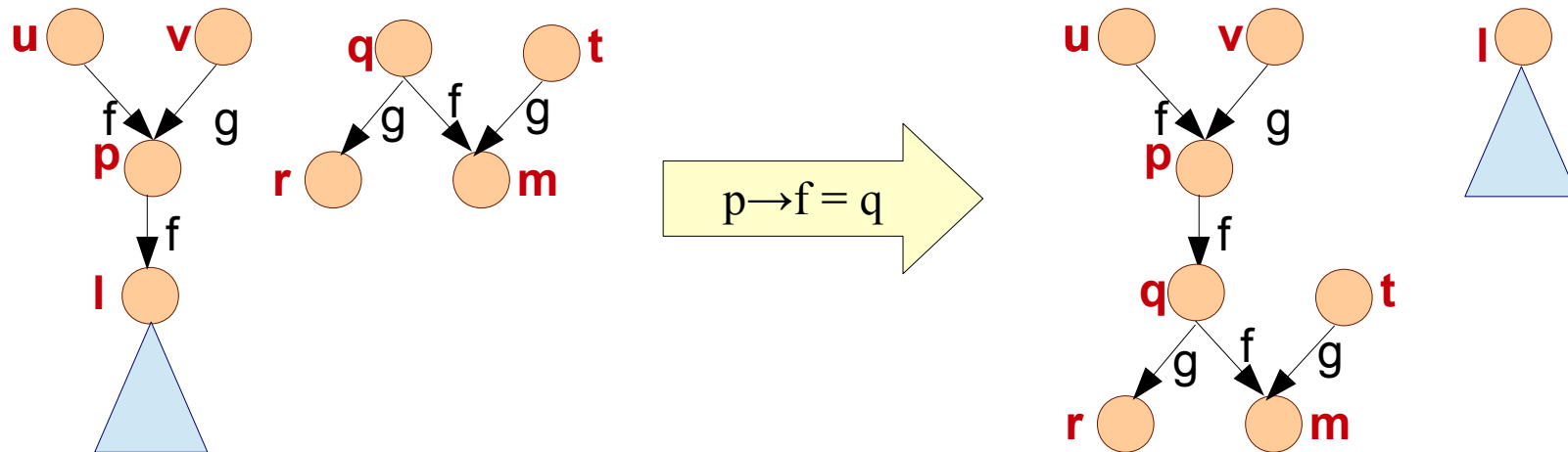
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Can you improve precision?

Inference Rules

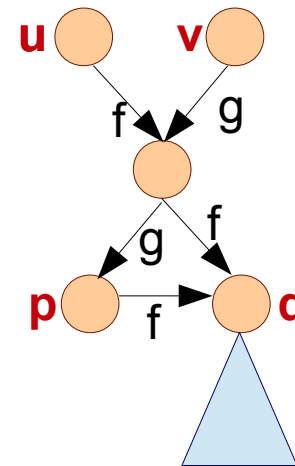
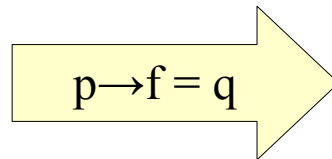
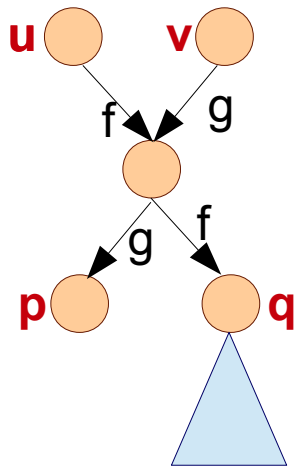
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For $\max()$ consider $D[v][r] == 1$.

Inference Rules

<p>$p = \text{malloc}(\dots)$</p> <p>$p = q$ $p = q \rightarrow f$ $p = \&(q \rightarrow f)$ $p = q \text{ op } k$ $p = \text{null}$</p> <p>$p \rightarrow f = q$ $p \rightarrow f = \text{null}$</p>	<p>$D_kill = \{ \}, I_kill = \{ \}$</p> <p>$D_gen = \{ D[r][s] \mid D[r][p] \text{ and } D[q][s] \}$ $I_gen = \{ I[r][s] \mid D[r][p] \text{ and } I[q][s] \}$</p> <p>$D[q][p] \text{ and } D[s][q] \Rightarrow s.\text{shape} = \text{Cycle}$ $D[q][p] \text{ and } D[s][p] \Rightarrow s.\text{shape} = \text{Cycle}$ $!D[q][p] \text{ and } D[s][p] \text{ and } I[s][q] \text{ and } q.\text{shape} == \text{Tree}$ $\Rightarrow s.\text{shape} = \max(s.\text{shape}, \text{DAG})$ $!D[q][p] \text{ and } D[s][p] \text{ and } q.\text{shape} != \text{Tree}$ $\Rightarrow s.\text{shape} = \max(s.\text{shape}, q.\text{shape})$</p>
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Inference Rules

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	Tree	DAG	Cycle
Tree	Tree	DAG	Cycle
DAG	DAG	DAG	Cycle
Cycle	Cycle	Cycle	Cycle

$\max(\text{shape1}, \text{shape2})$

Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

$p \rightarrow f = \text{null}$

$D_{\text{kill}} = \{ \}, I_{\text{kill}} = \{ \}$

$D_{\text{gen}} = \{ \}$

$I_{\text{gen}} = \{ \}$

No changes to the shape of p .

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		0	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	0	0	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
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  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		0	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	0	0	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
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  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		0	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	0	0	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
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    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	1	0
<i>y</i>	1	1	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
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    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

We need to assume a finite representation for the data structure.

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Since we do not model fields, we can't say that x is unreachable from y.

Interference

	x	y	t
x		1	0
y			0
t			

Direction

	x	y	t
x	1	0	0
y	1	1	0
t	0	0	0

Shape

x	tree
y	tree
t	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
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  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
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  x = y;  
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  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	1	0
<i>y</i>	1	1	0
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
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  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	0
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
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Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
<i>t</i>	cycle

Example

```
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  x = y;  
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  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
<i>t</i>	cycle

Example

```
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  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	1	0
<i>y</i>	1	1	0
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
<i>t</i>	cycle

Example

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  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	0
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
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Example

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  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
<i>t</i>	cycle

Example

```
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    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	1	1
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	cycle
<i>y</i>	cycle
<i>t</i>	cycle

Classwork

```
p = malloc(10);
```

```
p->f1 = null;
```

```
q = p->f2;
```

```
q = &(r->f2);
```

```
q->f2 = p;
```

Improvements

- Field-sensitivity
- Heap modeling
- Path-sensitivity

Summary

- Shape analysis helps several transforms.
- Existing techniques often trade off precision for efficiency.
- We are still far away from a precise and scalable analysis.

- Check “Identifying Dynamic Data Structures by Learning Evolving Patterns in Memory” from TACAS 2013.
- <http://dl.acm.org/citation.cfm?doid=2483760.2483760>
- <http://dl.acm.org/citation.cfm?id=1760303&CFID=39111111>
- <http://dl.acm.org/citation.cfm?id=271517&picked=fc>
- <http://dl.acm.org/citation.cfm?id=1040331&CFID=39111111>
- <http://dl.acm.org/citation.cfm?id=1480917&CFID=39111111>
- <http://dl.acm.org/citation.cfm?id=1855759&CFID=39111111>
- <http://dl.acm.org/citation.cfm?id=1081721&CFID=39111111>