#### CS6115: Structure versus Hardness in Cryptography

Lecture 4 : Quadratic Fully Homomorphic Encryption from LWE

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In this lecture, we will be studying the quadratic homomorphic encryption (qFHE) in the secret key setting of [BV11].

## **1** Symmetric Key Encryption

Let *n* be the security parameter, *q* be the modulus and  $\chi$  be the error distribution for the scheme. Let SKE = {keygen, enc, dec} be a symmetric key encryption scheme described below.

- \*  $sk \leftarrow \text{SKE.keygen}(1^n)$ . On receiving the security parameter *n* as input, it samples  $\mathbf{s} \leftarrow \mathbb{Z}_q^n$  and sets  $sk = \mathbf{s}$ .
- \*  $(\mathbf{a}, b) \leftarrow SKE.enc(sk, m)$ . It takes input as a secret key sk, a message  $m \in \{0, 1\}$  and performs the following steps.
  - 1. sample  $\mathbf{a} \in \mathbb{Z}_q^n$  and  $e \in \chi$ ,
  - 2. compute  $b = < \mathbf{a}, \mathbf{s} > +e + m(\frac{q+1}{2})$ ,
  - 3. output the ciphertext  $ct = (\mathbf{a}, b)$ .
- \*  $m \leftarrow \text{SKE.dec}(sk, ct)$ . It computes  $w = b \langle \mathbf{a}, \mathbf{s} \rangle$ . Note that  $\mathbf{s} = sk$ .
  - 1. If  $\frac{q}{2} \le |w| \le q$  then output 1.
  - 2. Otherwise output 0.

Note that the correctness of this algorithm follows provided  $|e| < \frac{q}{4}$ . The security of SKE relies on the hardness of learning with errors problem.

## Homomorphic Operations on the Above Symmetric Key Encryption SKE.

Let  $c_1, c_2$  be two ciphertexts such that  $c_i = (\mathbf{a}_i, b = \langle \mathbf{a}_i, \mathbf{s} \rangle + e_i + m_i(\frac{q+1}{2}))$  for i = 1, 2.

• It is easy to see that the scheme is *additively homomorphic* as explained below.

If we set  $c = c_1 + c_2 \mod q$  defined by

$$c = (\mathbf{a}, b) = \left(\mathbf{a}_1 + \mathbf{a}_2, < \mathbf{a}_1 + \mathbf{a}_2, \mathbf{s} > +(e_1 + e_2) + (m_1 + m_2)\left(\frac{q+1}{2}\right)\right)$$

then

$$b - \langle \mathbf{a}_1 + \mathbf{a}_2, \mathbf{s} \rangle = (e_1 + e_2) + (m_1 + m_2) \left(\frac{q+1}{2}\right)$$

Thus, if we set the parameters in such a way that the accumulated noise  $e_1 + e_2$  remains bounded above by  $\frac{q}{4}$  then we get a valid encryption of  $m_1 + m_2$ .

• We now understand the ideas of [BV11] to get the above scheme SKE to be *multiplicatively homomorphic*. If we look from the other way, we actually want is the encryption of  $m_1 \cdot m_2$ , given the encryption of  $m_1$  and  $m_2$ . In other words, we want the encoding of  $m_1m_2(\frac{q+1}{2})$ . We already have

$$b_1-<\mathbf{a}_1,\mathbf{s}>\approx m_1\bigl(\frac{q+1}{2}\bigr),$$

$$b_2 - \langle \mathbf{a}_2, \mathbf{s} \rangle \approx m_2 \left( \frac{q+1}{2} \right).$$

That is  $2(b_1 - \langle \mathbf{a}_1, \mathbf{s} \rangle)(b_2 - \langle \mathbf{a}_2, \mathbf{s} \rangle) \approx m_1 m_2 \left(\frac{q+1}{2}\right) \mod q.$ 

Let us recall the tensor product and the product of two inner products.

- 1. Let  $\mathbf{w} = (w_1, w_2, \dots, w_s) \in \mathbb{Z}^s$  and  $\mathbf{z} = (z_1, z_2, \dots, z_t) \in \mathbb{Z}^t$  then  $\mathbf{w} \otimes \mathbf{z} = (w_i z_j)_{(i,j) \in [s][t]} \in \mathbb{Z}^{st}$ .
- 2.  $< \mathbf{u}_1, \mathbf{v}_1 > < \mathbf{u}_2, \mathbf{v}_2 > = < \mathbf{u}_1 \otimes \mathbf{u}_2, \mathbf{v}_1 \otimes \mathbf{v}_2 >.$

Let  $\mathbf{t} = (-\mathbf{s}, 1)$ . There are two things that needs to be noted. Firstly,

$$< c_1, \mathbf{t} > < c_2, \mathbf{t} > = (< -\mathbf{a}_1, \mathbf{s} > +b_1)(< -\mathbf{a}_2, \mathbf{s} > +b_2) = (b_1 - < \mathbf{a}_1, \mathbf{s} >)(b_2 - < \mathbf{a}_2, \mathbf{s} >).$$

Secondly, from the above definition we get  $\langle c_1, \mathbf{t} \rangle \langle c_2, \mathbf{t} \rangle = \langle c_1 \otimes c_2, \mathbf{t} \otimes \mathbf{t} \rangle$ . Also,

$$2 < c_1 \otimes c_2, \mathbf{t} \otimes \mathbf{t} > = 2 < c_1, \mathbf{t} > < c_2, \mathbf{t} >$$
  
=  $2\left(e_1 + m_1\left(\frac{q+1}{2}\right)\right)\left(e_2 + m_2\left(\frac{q+1}{2}\right)\right)$   
=  $2e_1e_2 + (m_1e_2 + m_2e_1) + m_1m_2\left(\frac{q+1}{2}\right)$  (1)

Thus,  $2 < c_1 \otimes c_2$ ,  $\mathbf{t} \otimes \mathbf{t} >= 2 < c_1$ ,  $\mathbf{t} >< c_2$ ,  $\mathbf{t} >= m_1 m_2 \left(\frac{q+1}{2}\right) + noise$ .

The above explanation says that  $2c_1 \otimes c_2$  is the new ciphertext w.r.t the new secret key  $\mathbf{t} \otimes \mathbf{t}$ . From the definition of tensor product, it is clear that the size of the ciphertext and noise grows rapidly. More specifically, dimension *n* changes to  $n^2$ . Following this way will limit the expressiveness of the multiplicative circuit. That is, if we take the multiplicative operation *i* times then the dimension increases to  $n^{2i}$ . In other words, the max depth the multiplicative circuit can support is log log *n*. Also, if we look at Equation (1) we notice that the growth in the error is quadratic. Next, our job is to bring the dimension  $n^2$  back to *n*. In [BV11], the technique of reducing the dimension is called DIMENSION REDUCTION.

Let  $C_{mult} = 2c_1 \otimes c_2$  and the new secret key =  $\mathbf{t} \otimes \mathbf{t}$ .

### **Dimension Reduction**

We want to reduce the complexity of the decryption without decreasing the homomorphic capacity. We therefore provide some "extra stuff" that lets us bring the dimension  $n^2$  (after one multiplication) back down to n. The idea here is to apply a transformation  $\mathbf{B} \in \mathbb{Z}^{n \times n^2}$  (publicly known) to  $C_{mult}$  such that the high dimension  $C_{mult}$  (wrt  $\mathbf{t}_1 \otimes \mathbf{t}_1$ ) comes down to a low dimensional  $C_{new}$  (wrt  $\mathbf{t}_2$ ). Roughly speaking we encrypt  $\mathbf{t}_1 \otimes \mathbf{t}_1$  under  $\mathbf{t}_2$  with a relatively larger modulus. We know that

 $< \mathbf{t}_{1} \otimes \mathbf{t}_{1}, 2c_{1} \otimes c_{2} > \approx m_{1}m_{2}\left(\frac{q+1}{2}\right) \text{ i.e., } (\mathbf{t}_{1} \otimes \mathbf{t}_{1})^{T}C_{mult} \approx m_{1}m_{2}\left(\frac{q+1}{2}\right). \text{ Let } \mathbf{B}^{T} \cdot \mathbf{t}_{2} = \mathbf{t}_{1} \otimes \mathbf{t}_{1} \text{ then } (\mathbf{B}^{T} \cdot \mathbf{t}_{2})^{T} \cdot C_{mult} = \mathbf{t}_{2}^{T} \cdot C_{new} \text{ where } C_{new} = \mathbf{B} \cdot C_{mult}.$ 

Next, we need to specify the matrix **B** and the new secret key  $\mathbf{t}_2$  such that  $\mathbf{B}^T \mathbf{t}_2 \approx \mathbf{t}_1 \otimes \mathbf{t}_1$ . Let us sample  $\mathbf{s}_2 \leftarrow \mathbb{Z}_q^n$  and set  $\mathbf{t}_2 = (-\mathbf{s}_2, 1)$ . Let  $\psi_{ij}$  for all  $i, j \in [n]$  be the columns of **B** such that

$$\psi_{ij} = Enc(t_{1i}, t_{1j}) = (\mathbf{a}_{ij}, \langle \mathbf{a}_{ij}, \mathbf{s}_2 \rangle + e_{ij} + t_{1i}t_{1j})$$

Roughly speaking, the entries of the matrix **B** can be seen as the encryptions of old long key  $s_1 \otimes s_1$ under the new short key  $s_2$ . Observe that

$$\langle \psi_{ij}, \mathbf{t}_2 \rangle \approx \mathbf{t}_{1i} \mathbf{t}_{1j}$$
 (2)

Thus, the way we have specified  $\psi_{ij}$  and from Equation (2), we see that  $\mathbf{B}^T \mathbf{t}_2 \approx \mathbf{t}_1 \otimes \mathbf{t}_1$ .

In the next lecture, we will continue our discussion on dimension reduction techniques. Observe that  $C_{new} = \mathbf{B} \cdot C_{mult}$  is low dimensional and decrypts correctly. However the noise grows hugely due to the large normed vector  $2c_1 \otimes c_2$ . In next class, we will see how the bit decomposition technique helps us to reduce the error growth and allows us to take the depth of the circuit to be log *n* which was log log *n* earlier.

# References

[BV11] Z. Brakerski and V. Vaikuntanathan, Efficient fully homomorphic encryption from (standard) LWE, Foundations of Computer Science (FOCS), 2011 IEEE 52nd Annual Symposium on, 2011, pp. 97–106