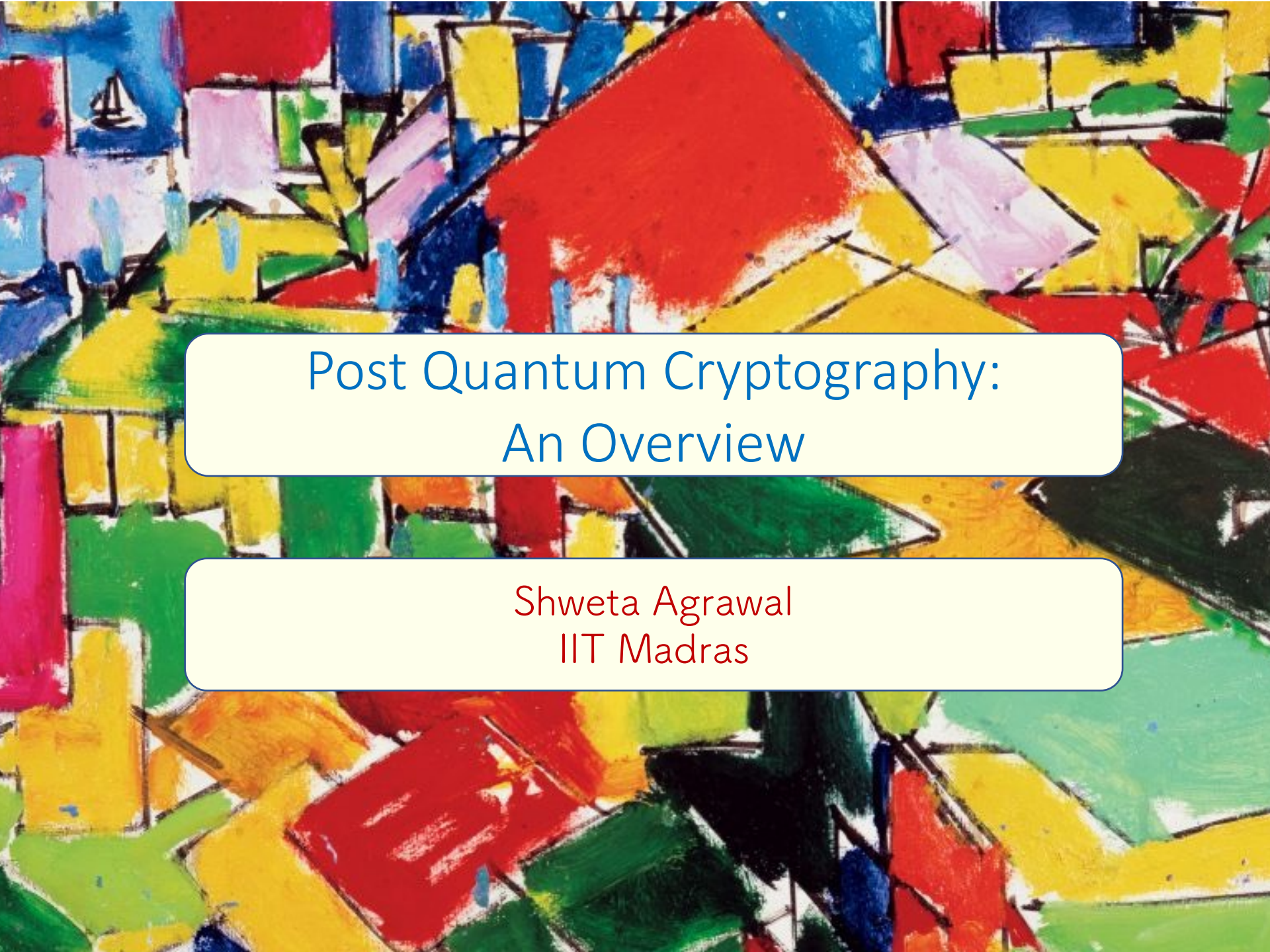


An abstract painting of a cityscape, likely by Piet Mondrian, featuring a dense arrangement of colorful rectangular blocks in red, yellow, blue, and green, separated by black lines. A small white sailboat is visible in the upper left corner.

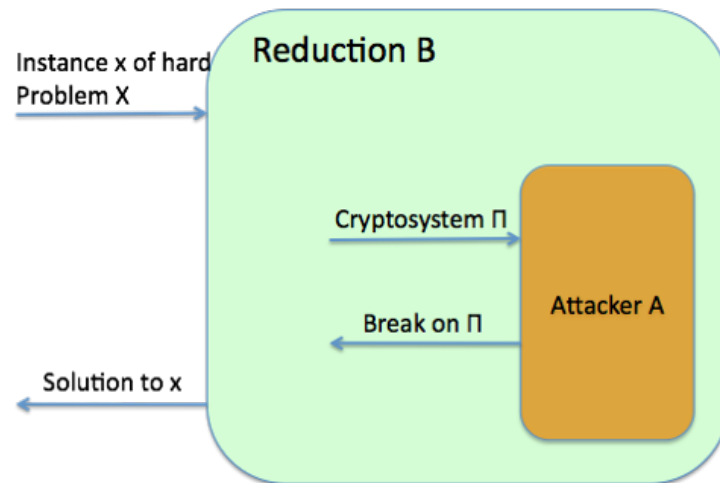
Post Quantum Cryptography: An Overview

Shweta Agrawal
IIT Madras

Cryptography

The Art of Secret Keeping

Cryptography guarantees that breaking a cryptosystem is at least as hard as solving some **difficult** mathematical problem.



Difficult for who?

The Cryptographic Adversary

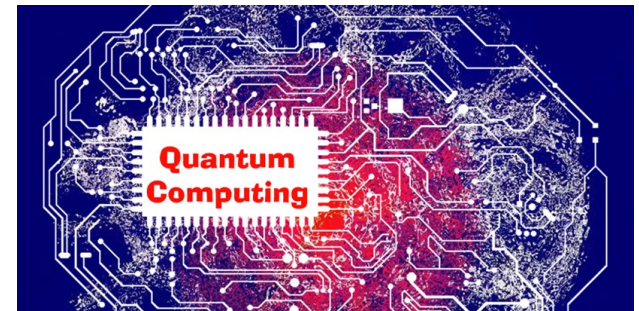
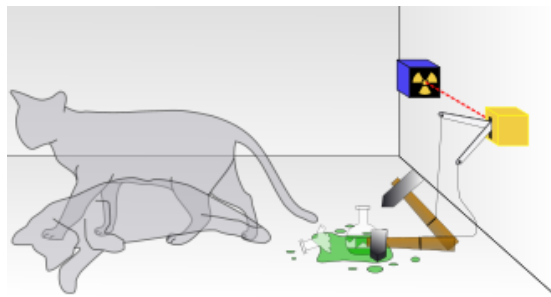


- Adversary in cryptography normally modeled by a **classical computer**.
- Typical guarantee is that unless the adversary can solve hard problem, attack takes **more than age of universe** (in CPU cycles)
- Robust to type of computer (mobile/laptop/supercomputer)
- What if the attacker is **quantum**?

Quantum Computers

Fundamentally New Paradigm of Computing!

- Computers that use laws of **quantum rather than classical physics**
- Allow transformation of memory to **quantum superposition** of all possible classical states
- May allow **exponential** speedups
- **Most current cryptography** relies on hardness of factoring, discrete log: **broken** if quantum computers are realized



Is this threat real?

In short: YES!

- National Institute of Standards and Technology (NIST), initiated a process to solicit, evaluate, and standardize one or more quantum-resistant public-key crypto algorithms
- Significant global research effort

Google claims it has finally reached quantum supremacy

PHYSICS 23 September 2019

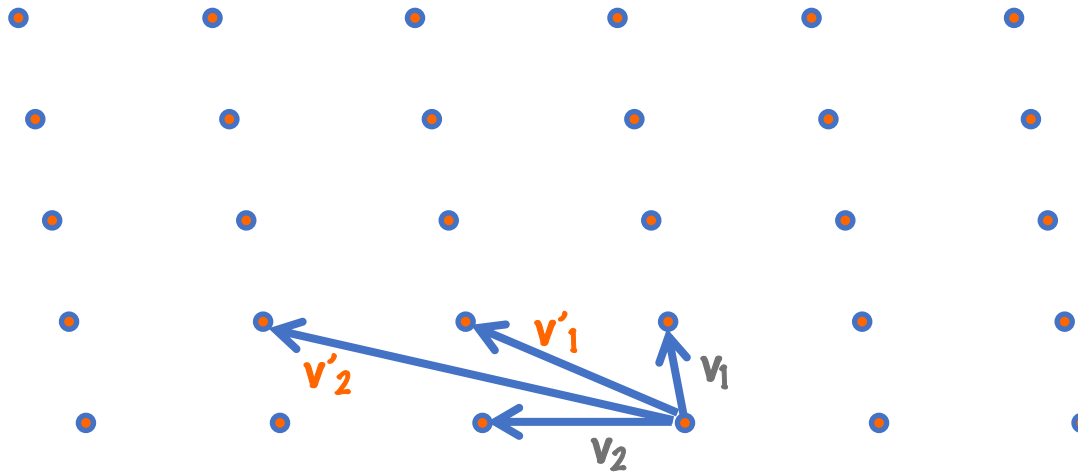
By Chelsea Whyte



Google's demonstration reportedly involved checking a series of binary numbers were truly random
iStock / Getty Images Plus

Post Quantum Cryptography?

- Base hardness on mathematical problems for which quantum computers offer no advantage
- Most promising: problems in high dimensional lattices.



Cryptography from Lattices

- **Post quantum secure**: quantum computers do not seem to break lattice based constructions (so far)
 - Quantum algorithms do not effectively use geometry of problem
 - Need way to solve non-commutative version of HSP
- **Strong security**: breaking cryptosystem implies ability to solve hard problems in the worst case
- Efficient operations, **parallelizable**
- Enables **cryptography for big data**

Cryptography from Lattices

- Redo old cryptography:
 - build **post-quantum versions of existing** functionalities
- Build new functionalities
 - **not realizable before**



Caveat: currently at cost
of efficiency

An abstract painting with a vibrant, textured surface. The composition is dominated by bold, expressive brushstrokes in a variety of colors including deep blues, bright yellows, rich reds, and lush greens. The overall effect is one of dynamic energy and complex visual information, with no discernible figures or objects.

Exciting New Applications

Encrypted Computation

Personalised Medicine

“The dream for tomorrow’s medicine is to understand the links between DNA and disease — and to tailor therapies accordingly. But scientists have a problem: how to keep genetic data and medical records secure while still enabling the massive, cloud-based analyses needed to make meaningful associations.”

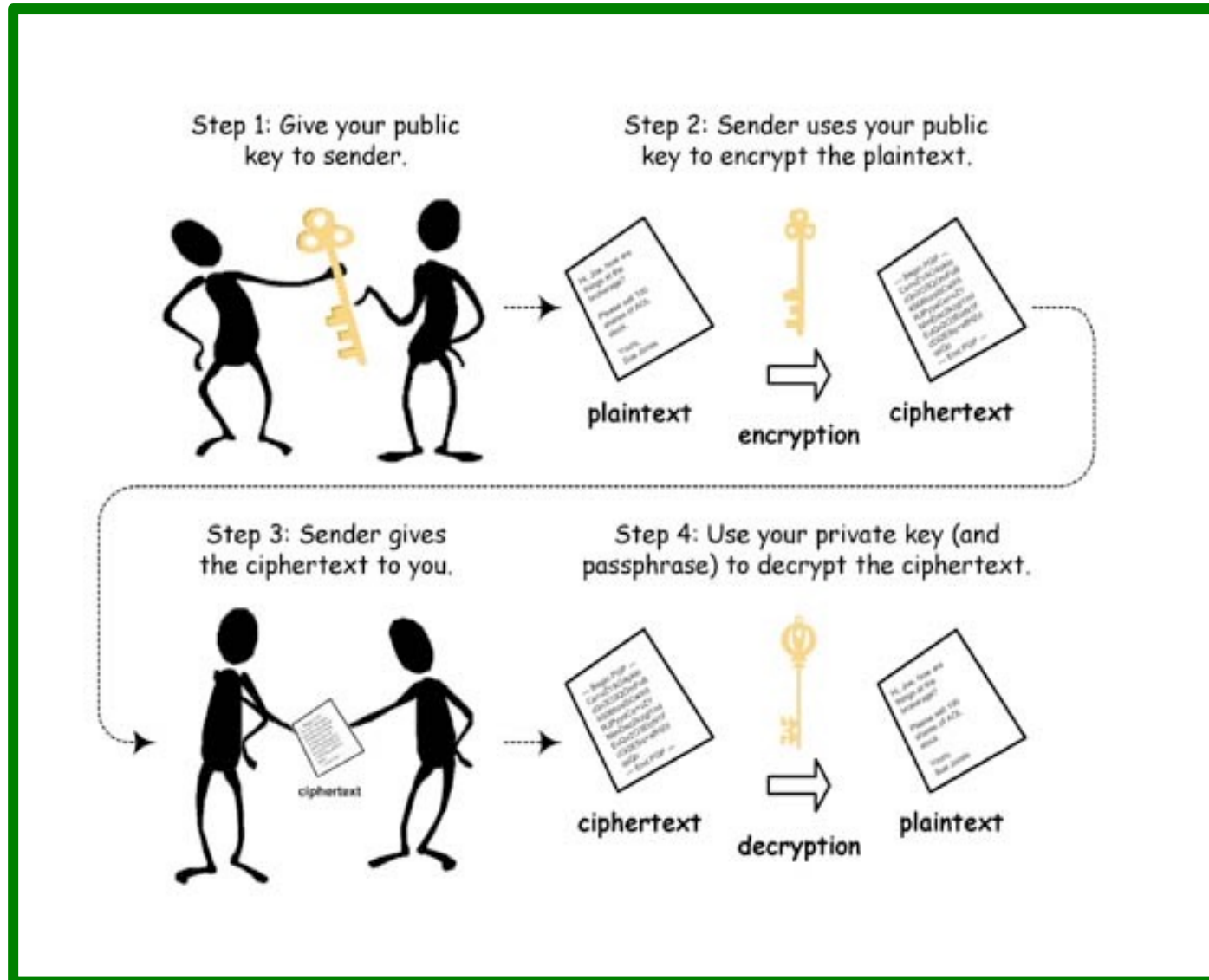
Check Hayden, E. (2015). *Nature*, 519, 400-401.



“You don’t look anything like the long haired, skinny kid I married 25 years ago. I need a DNA sample to make sure it’s still you.”

Can Cryptography solve this?

Public Key Encryption



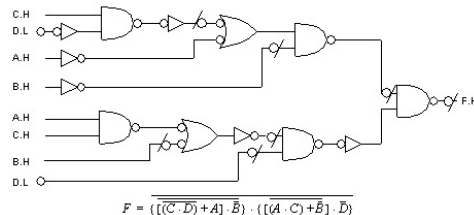
PKE does not suffice!

- Secret keys correspond to users
- Encrypt for each user?
- All or nothing access
 - Genomic data (for instance) is too sensitive to share
 - May be willing to participate in study which reveals output (result of study) without revealing input (personal data)

More Expressive Encryption

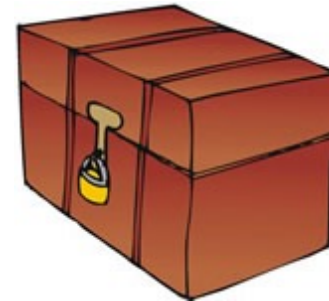
Functional Encryption!

Secret Keys
for functions F



+

Ciphertexts
for inputs x



Decryption recovers $F(x)$

F : Age distribution of people with lung cancer

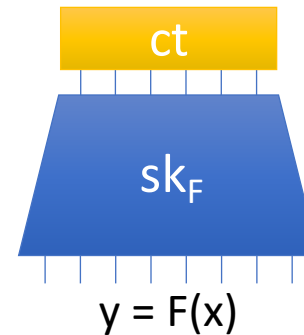
X : particular user's disease profile

Encryption with Partial Decryption Keys

Encrypt (x):



Decrypt (sk_F, ct):



Keygen(F):



Security:

Adversary possessing keys for multiple circuits F_i cannot distinguish $\text{Enc}(x_0)$ from $\text{Enc}(x_1)$ unless $F_i(x_0) \neq F_i(x_1)$

Functional Encryption [SW05,BSW11]

Personalized Medicine?

Encrypt

input = genomic data of users

ct(Anil)

ct(Prabha)

ct(Vaibhav)

ct(Shweta)

Keygen

input: some medical research algo



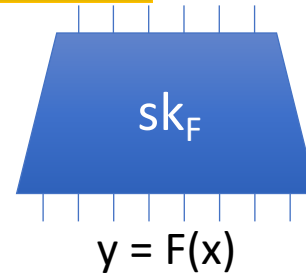
Decrypt (sk_F , ct):

ct(Anil)

ct(Prabha)

ct(Vaibhav)

ct(Shweta)

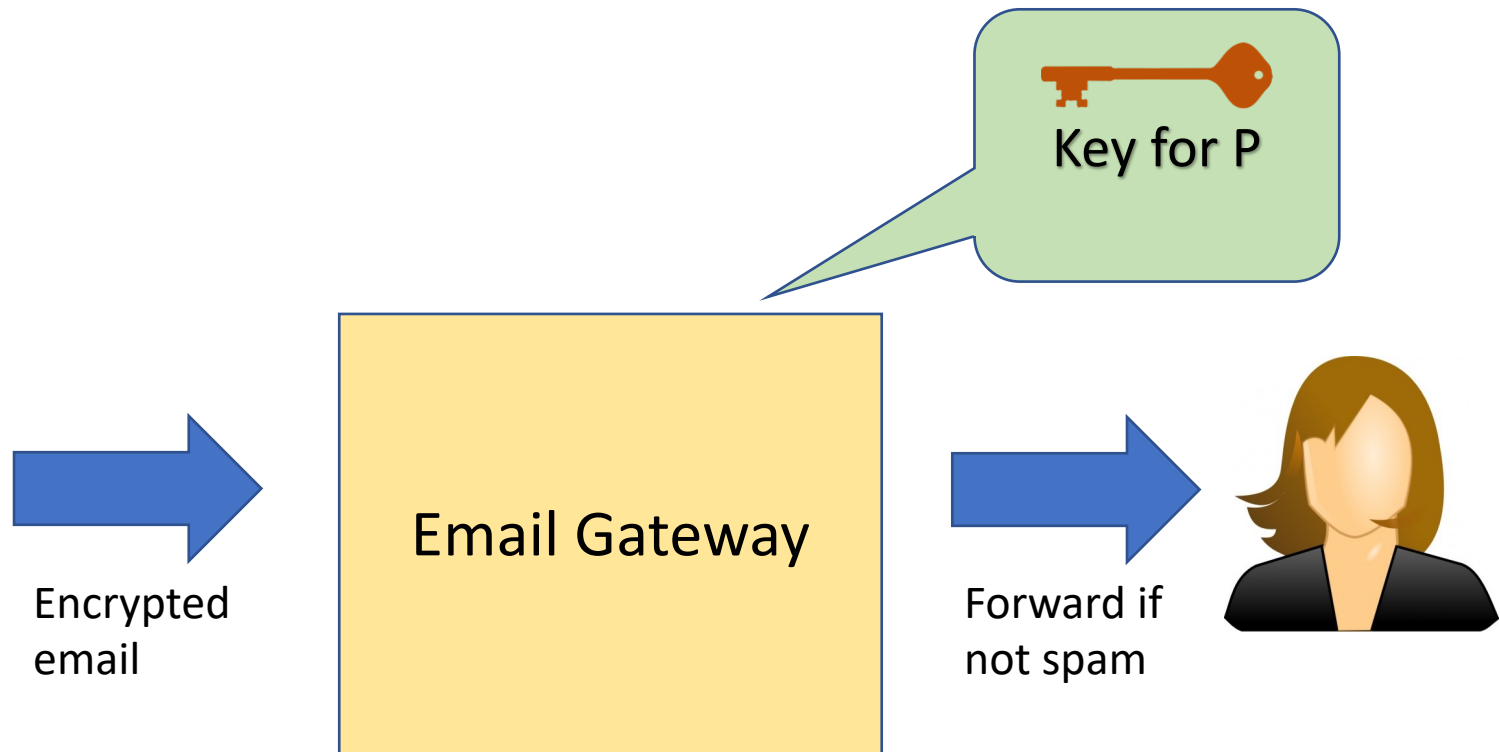


Security: No one's personal genomic data is leaked!

Functional Encryption [SW05,BSW11]

Spam Detection on Encrypted Email

Say we have a program P to detect spam on unencrypted email.



Attribute based Encryption

[SW05, GPSW06]



File 1



File 2

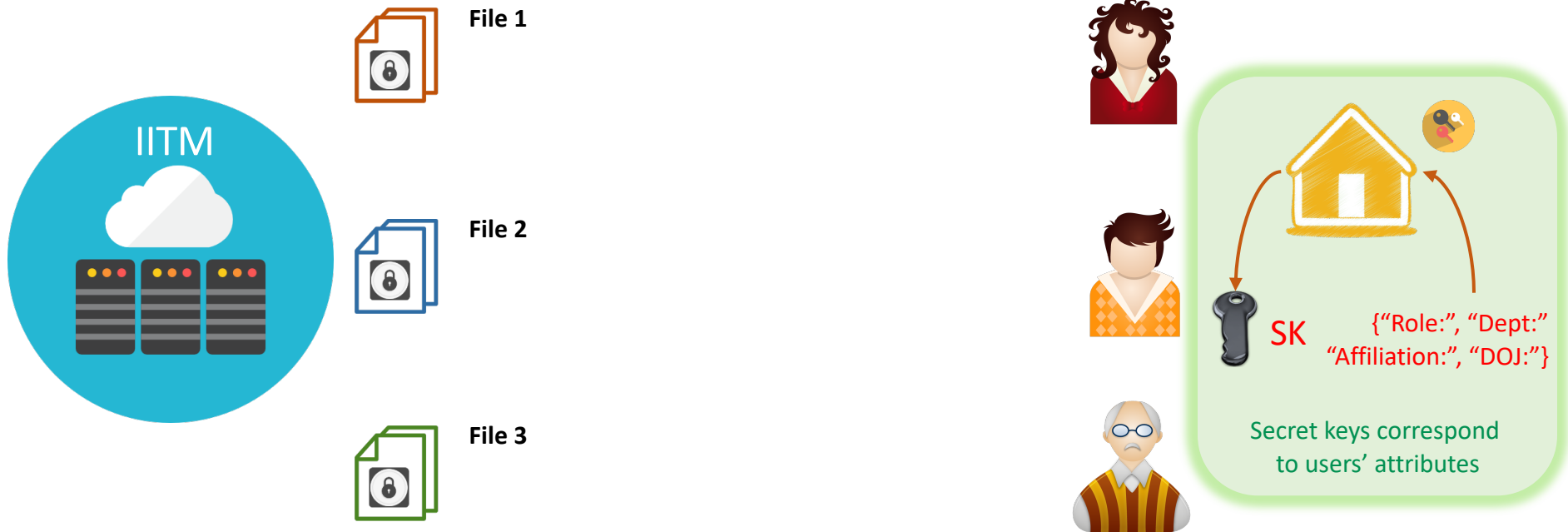


File 3



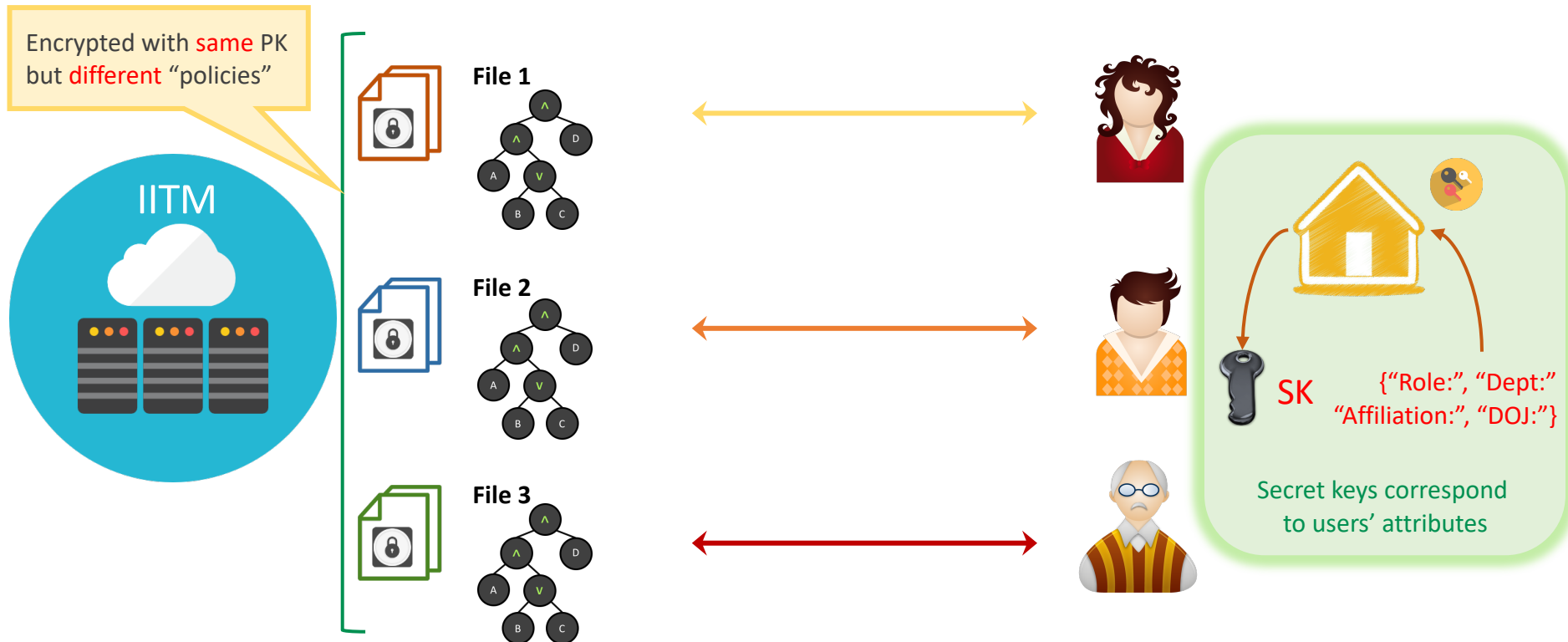
Attribute based Encryption

[SW05, GPSW06]



Attribute based Encryption

[SW05, GPSW06]



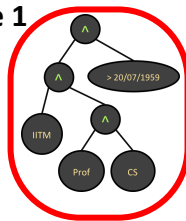
Attribute based Encryption

[SW05, GPSW06]

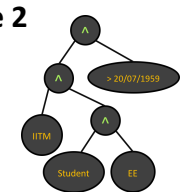
Encrypted with **same** PK
but **different** “policies”



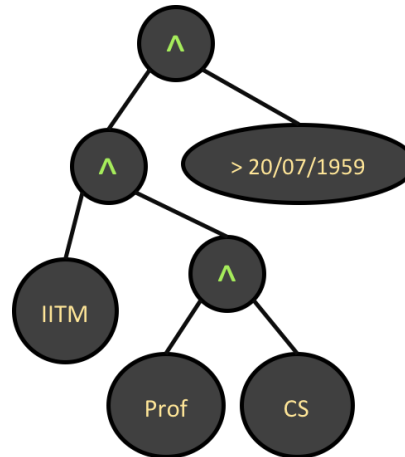
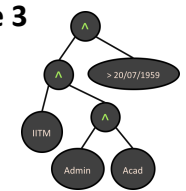
File 1



File 2



File 3



SK_{Prof}

“Role:
Professor”
“Dept: CS”
“Affiliation:
IITM”
“DOJ: 01/01/95”

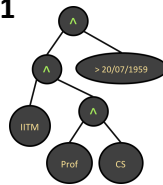
Attribute based Encryption

[SW05, GPSW06]

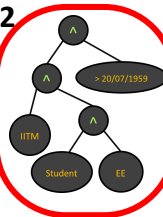
Encrypted with **same** PK
but **different** “policies”



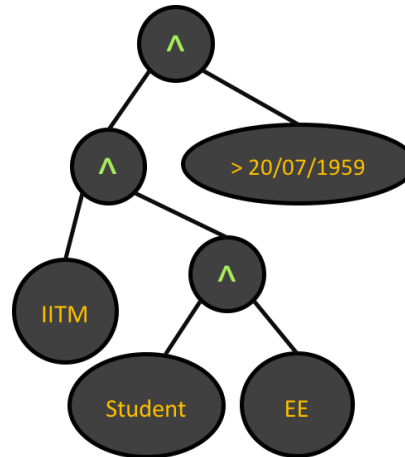
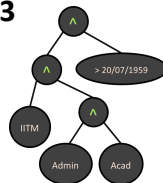
File 1



File 2



File 3



SK_{Stud}

“Role: Student”
“Dept: EE”
“Affiliation:
IITM”
“DOJ: 14/07/15”

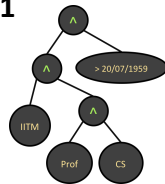
Attribute based Encryption

[SW05, GPSW06]

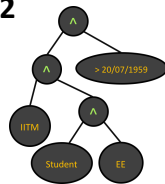
Encrypted with **same** PK
but **different** “policies”



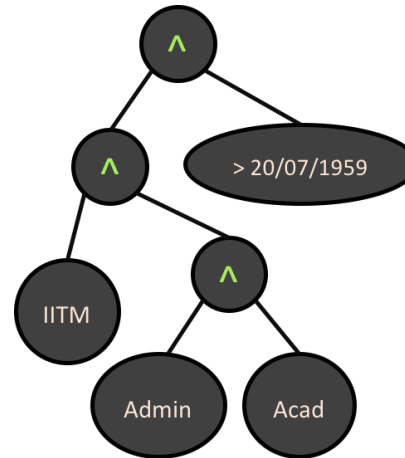
File 1



File 2



File 3

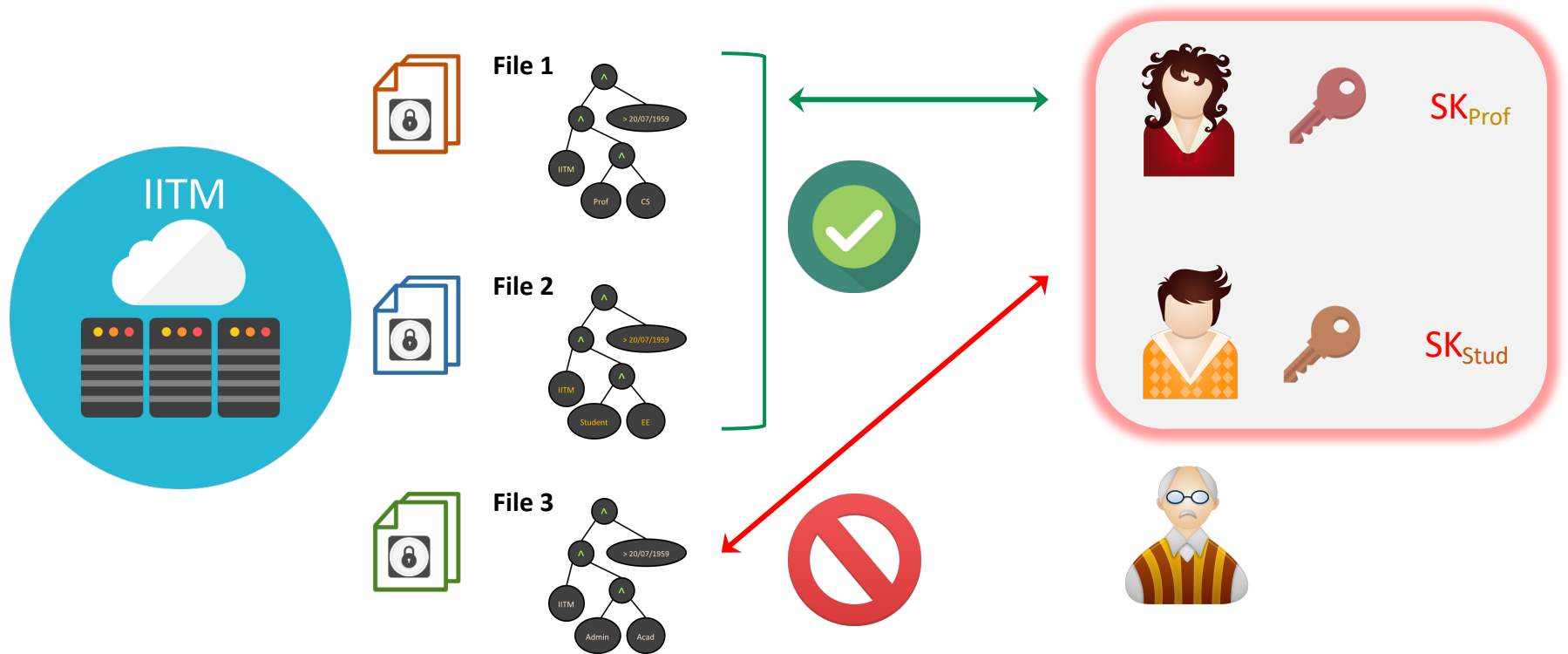


SK_{Admin}

“Role: Admin”
“Dept: Acad”
“Affiliation:
IITM”
“DOJ: 28/02/14”

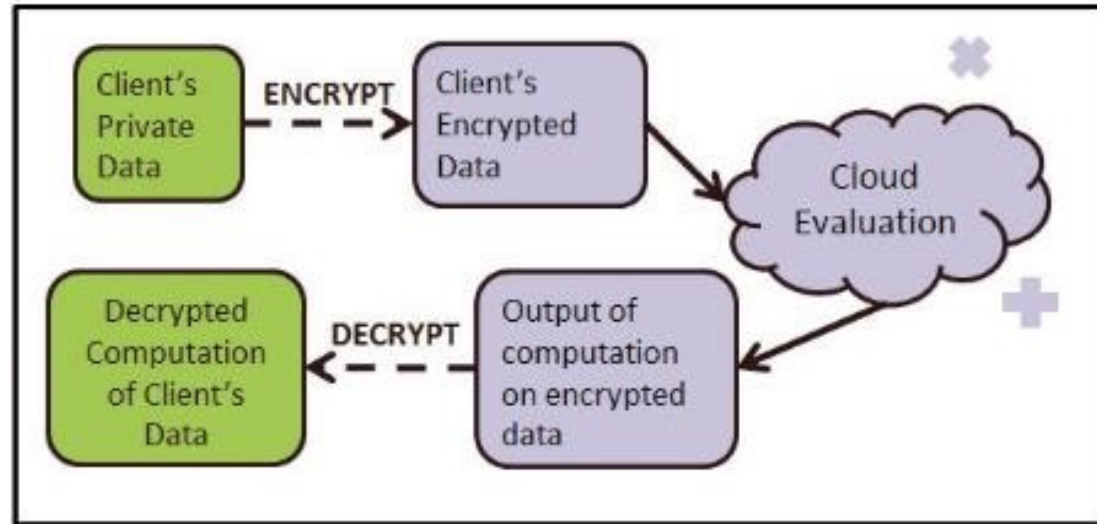
Attribute based Encryption

[SW05, GPSW06]



Fully Homomorphic Encryption

[G09, BV11, BGV12, GSW13...]



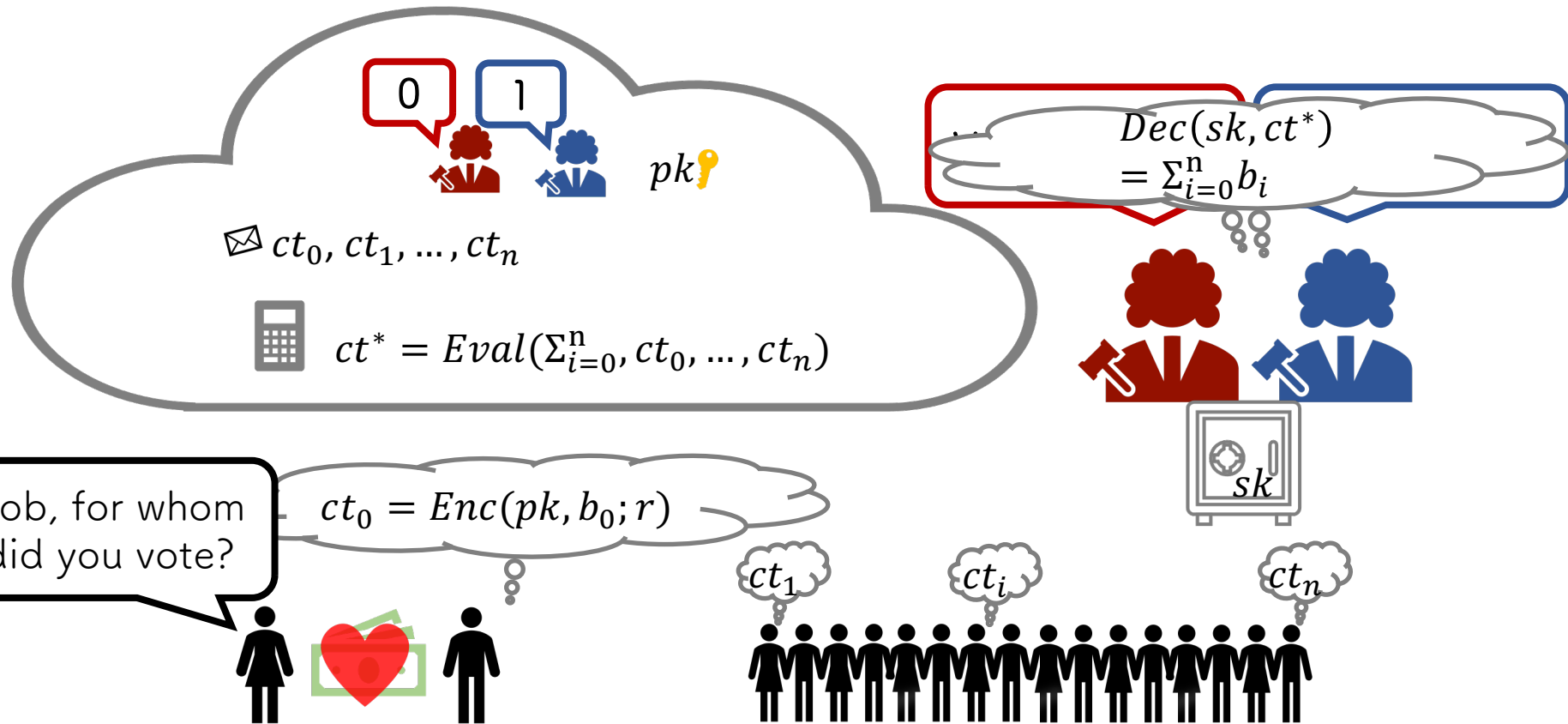
Expressive
Functionality:
Supports arbitrary
circuits

Compact ciphertext,
independent of
circuit size

Encryption and
function evaluation
commute!
 $\text{Enc}(f(x)) \approx f(\text{Enc}(x))$

* : roughly

Deniable FHE [AGM21]



Deniable FHE [AGM21]



$$ct_0 = \text{Enc}(pk, b_0; r) = \text{Enc}(pk, \bar{b}_0; r')$$

$$\{pk, \text{Enc}(pk, b_0; r), \bar{b}_0, r'\} \approx_c \{pk, \text{Enc}(pk, \bar{b}_0; r), \bar{b}_0, r\}$$

"Fake" Distribution

"Honest" Distribution

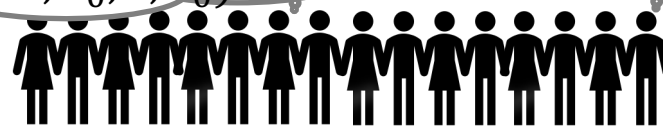
Bob, for whom
did you vote?

$$ct_0 = \text{Enc}(pk, b_0; r)$$

r'

$$\leftarrow \text{Fake}(pk, b_0, r, \bar{b}_0)$$

$\bar{b}_0, r'$

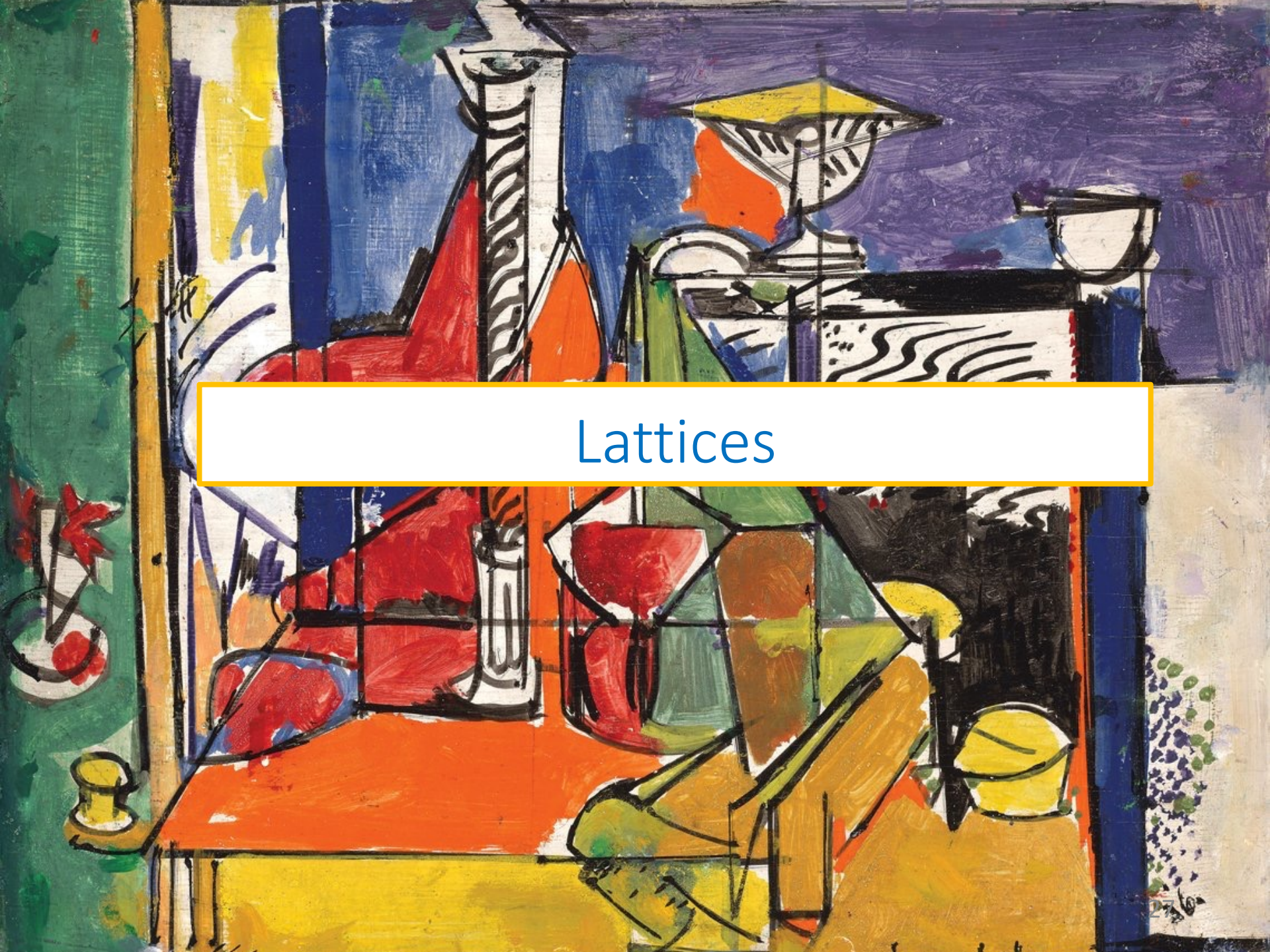


$ct^*)$

i

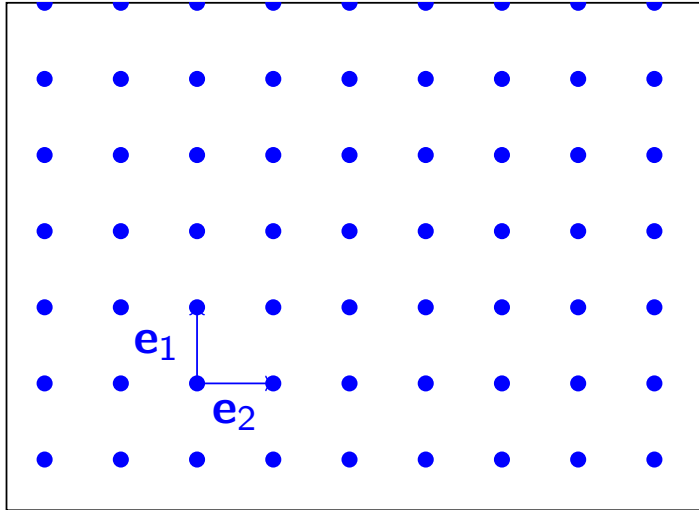


ct_n



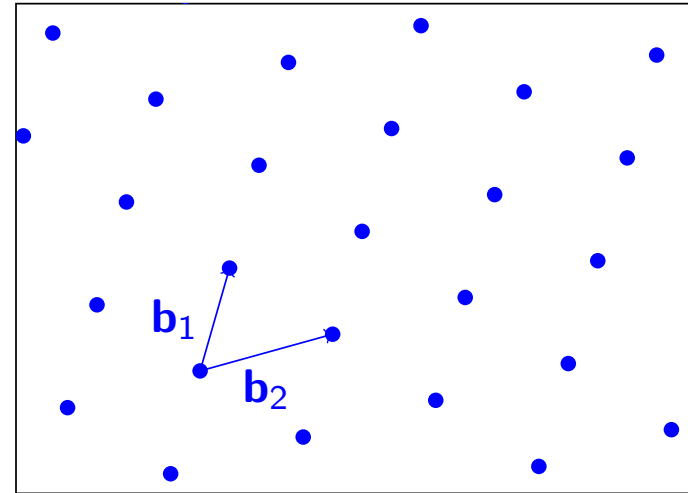
Lattices

What is a lattice?



The simplest lattice in n -dimensional space is the integer lattice

$$\Lambda = \mathbb{Z}^n$$



Other lattices are obtained by applying a linear transformation

$$\Lambda = \mathbf{B}\mathbb{Z}^n \quad (\mathbf{B} \in \mathbb{R}^{d \times n})$$

A set of points with periodic arrangement

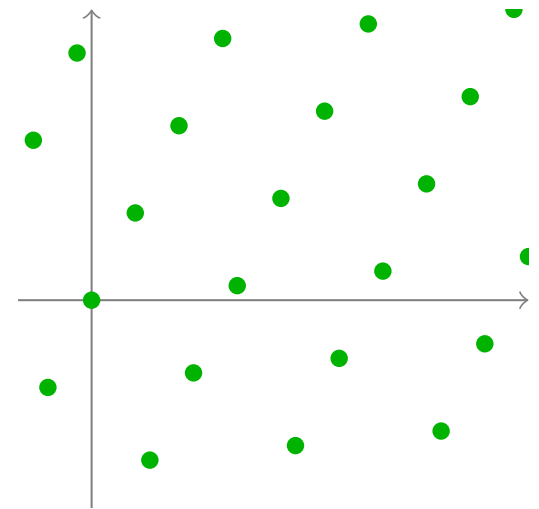
Lattices and Bases

A lattice is the set of all **integer** linear combinations of (linearly independent) **basis** vectors $\mathbf{B} = \{\mathbf{b}_1, \dots, \mathbf{b}_n\} \subset \mathbb{R}^n$:

$$\mathcal{L} = \sum_{i=1}^n \mathbf{b}_i \cdot \mathbb{Z} = \{\mathbf{B}\mathbf{x} : \mathbf{x} \in \mathbb{Z}^n\}$$

The same lattice has many bases

$$\mathcal{L} = \sum_{i=1}^n \mathbf{c}_i \cdot \mathbb{Z}$$



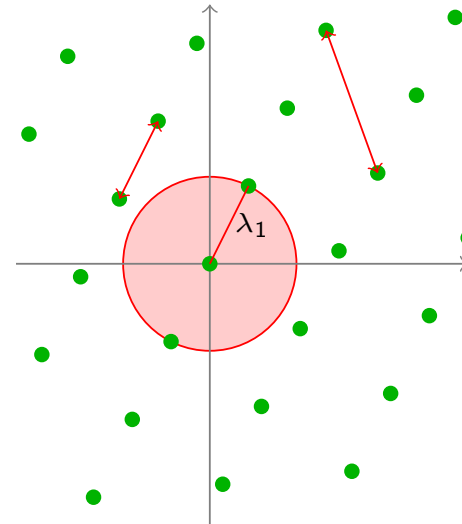
Minimum Distance and Successive Minima

- Minimum distance

$$\begin{aligned}\lambda_1 &= \min_{\mathbf{x}, \mathbf{y} \in \mathcal{L}, \mathbf{x} \neq \mathbf{y}} \|\mathbf{x} - \mathbf{y}\| \\ &= \min_{\mathbf{x} \in \mathcal{L}, \mathbf{x} \neq \mathbf{0}} \|\mathbf{x}\|\end{aligned}$$

- Successive minima ($i = 1, \dots, n$)

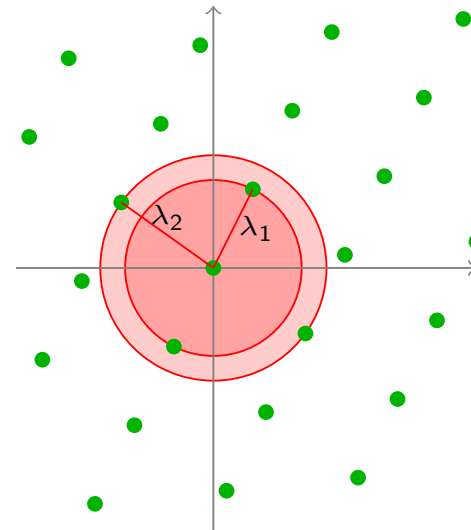
$$\lambda_i = \min\{r : \dim \text{span}(\mathcal{B}(r) \cap \mathcal{L}) \geq i\}$$



Minimum Distance and Successive Minima

- Minimum distance

$$\begin{aligned}\lambda_1 &= \min_{\mathbf{x}, \mathbf{y} \in \mathcal{L}, \mathbf{x} \neq \mathbf{y}} \|\mathbf{x} - \mathbf{y}\| \\ &= \min_{\mathbf{x} \in \mathcal{L}, \mathbf{x} \neq \mathbf{0}} \|\mathbf{x}\|\end{aligned}$$



- Successive minima ($i = 1, \dots, n$)

$$\lambda_i = \min\{r : \dim \text{span}(\mathcal{B}(r) \cap \mathcal{L}) \geq i\}$$

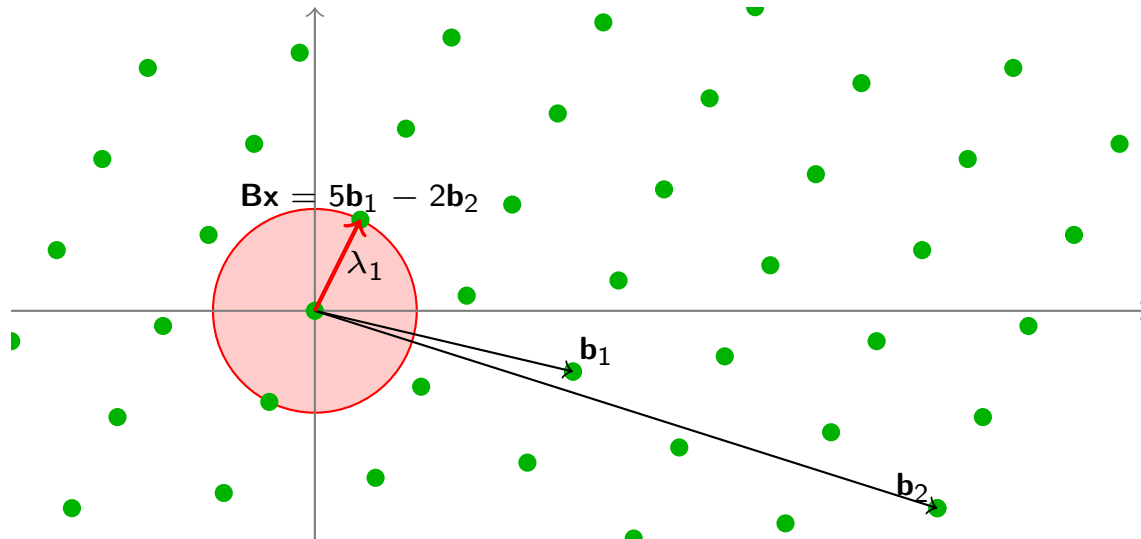
- Examples

- $\mathbb{Z}^n$: $\lambda_1 = \lambda_2 = \dots = \lambda_n = 1$
- Always: $\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n$

Shortest Vector Problem

Definition (Shortest Vector Problem, SVP)

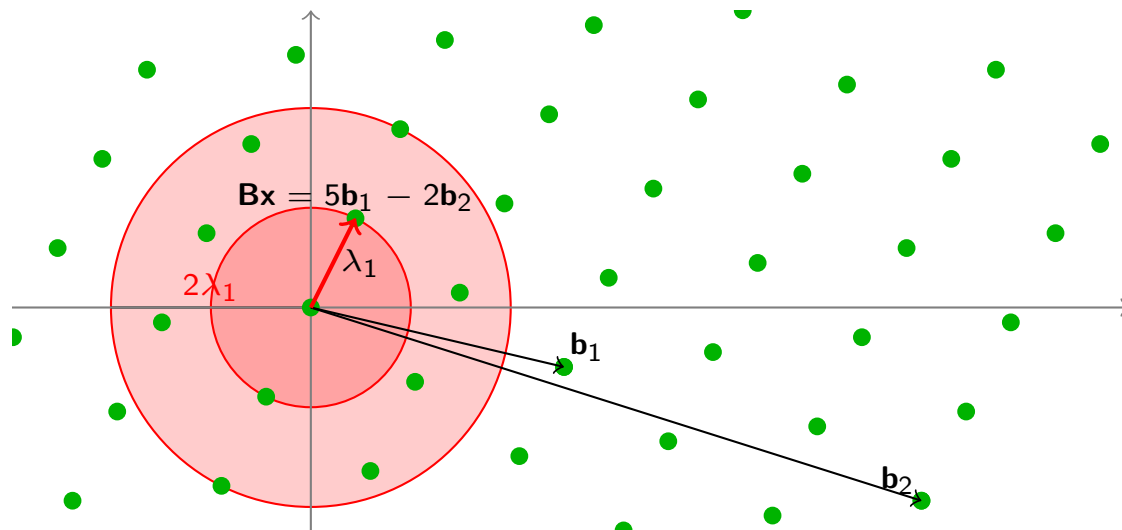
Given a lattice $\mathcal{L}(\mathbf{B})$, find a (nonzero) lattice vector $\mathbf{B}\mathbf{x}$ (with $\mathbf{x} \in \mathbb{Z}^k$) of length (at most) $\|\mathbf{B}\mathbf{x}\| \leq \lambda_1$



Approximate Shortest Vector Problem

Definition (Shortest Vector Problem, SVP_γ)

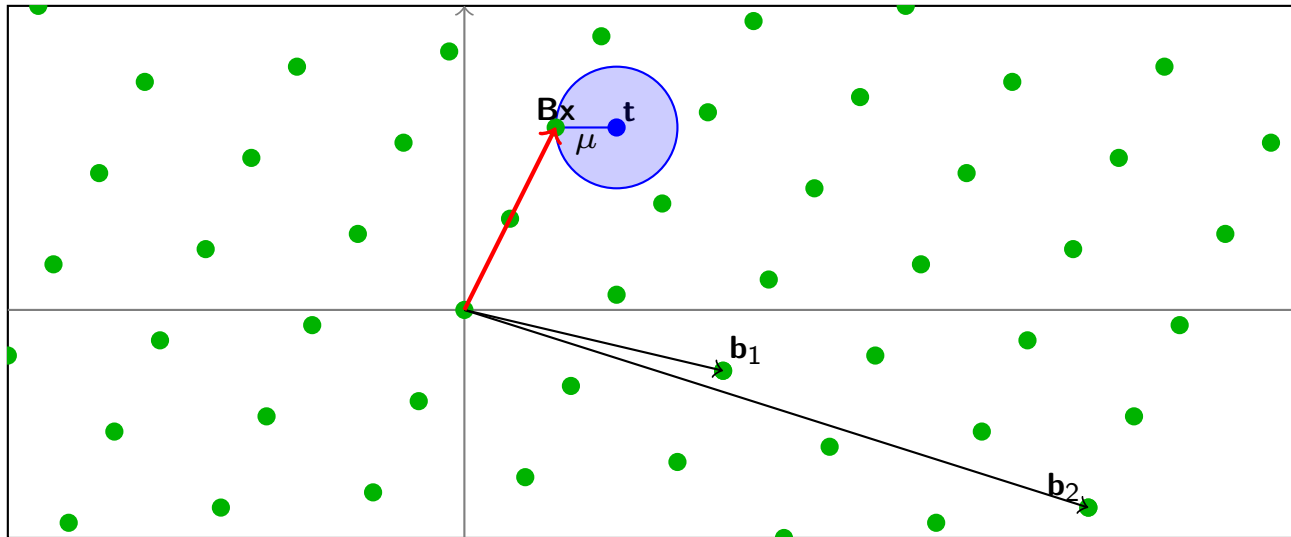
Given a lattice $\mathcal{L}(\mathbf{B})$, find a (nonzero) lattice vector $\mathbf{Bx}$ (with $\mathbf{x} \in \mathbb{Z}^k$) of length (at most) $\|\mathbf{Bx}\| \leq \gamma \lambda_1$



Closest Vector Problem

Definition (Closest Vector Problem, CVP)

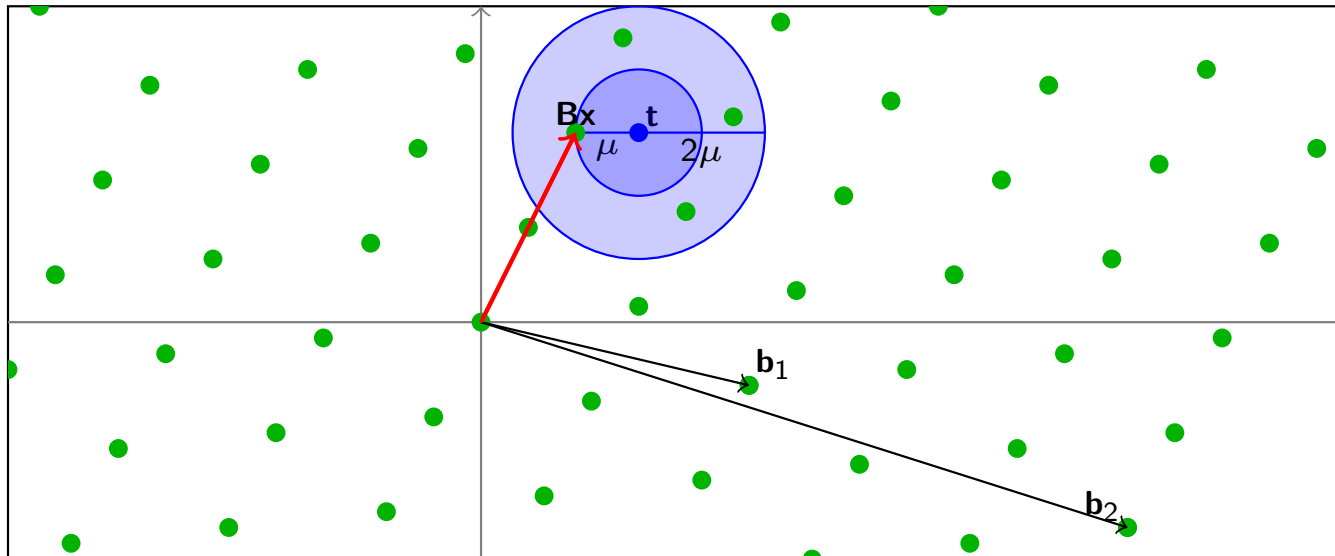
Given a lattice $\mathcal{L}(\mathbf{B})$ and a target point $\mathbf{t}$, find a lattice vector $\mathbf{Bx}$ within distance $\|\mathbf{Bx} - \mathbf{t}\| \leq \mu$ from the target



Approximate Closest Vector Problem

Definition (Closest Vector Problem, CVP_γ)

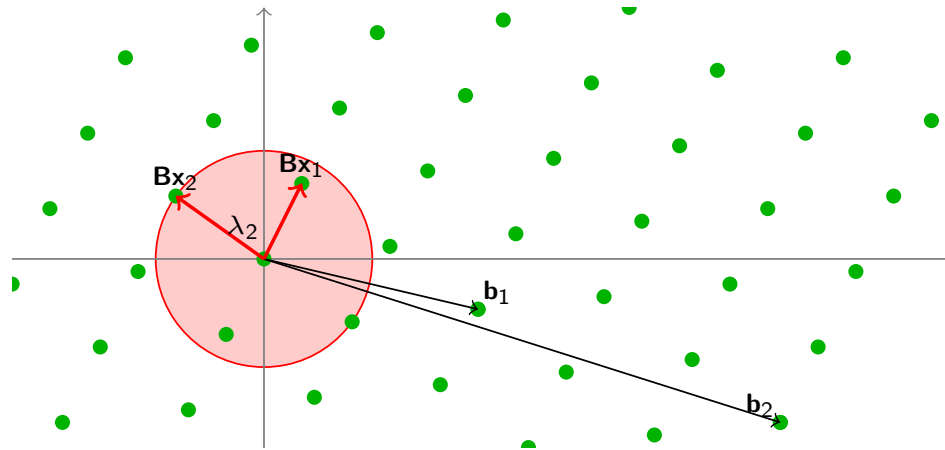
Given a lattice $\mathcal{L}(\mathbf{B})$ and a target point $\mathbf{t}$, find a lattice vector $\mathbf{Bx}$ within distance $\|\mathbf{Bx} - \mathbf{t}\| \leq \gamma\mu$ from the target



Shortest Independent Vectors Problem

Definition (Shortest Independent Vectors Problem, SIVP)

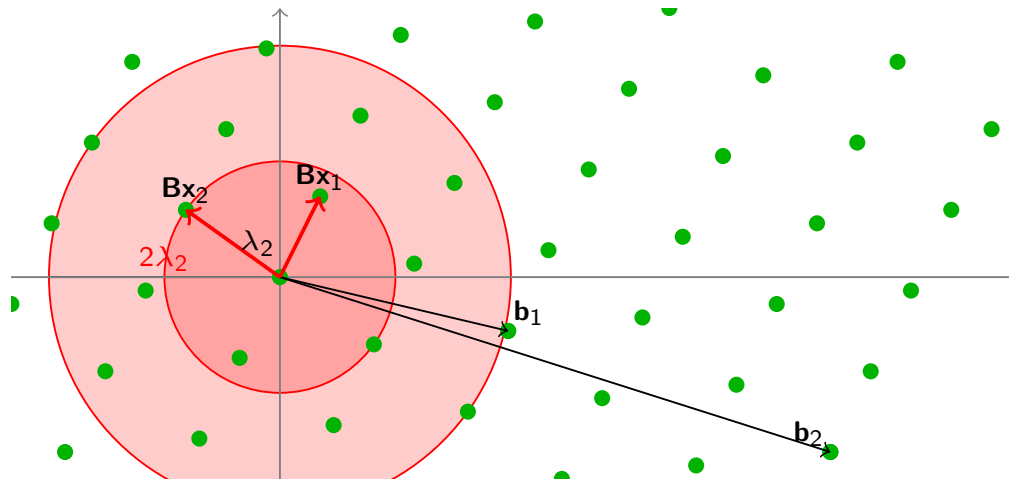
Given a lattice $\mathcal{L}(\mathbf{B})$, find n linearly independent lattice vectors $\mathbf{B}\mathbf{x}_1, \dots, \mathbf{B}\mathbf{x}_n$ of length (at most) $\max_i \|\mathbf{B}\mathbf{x}_i\| \leq \lambda_n$



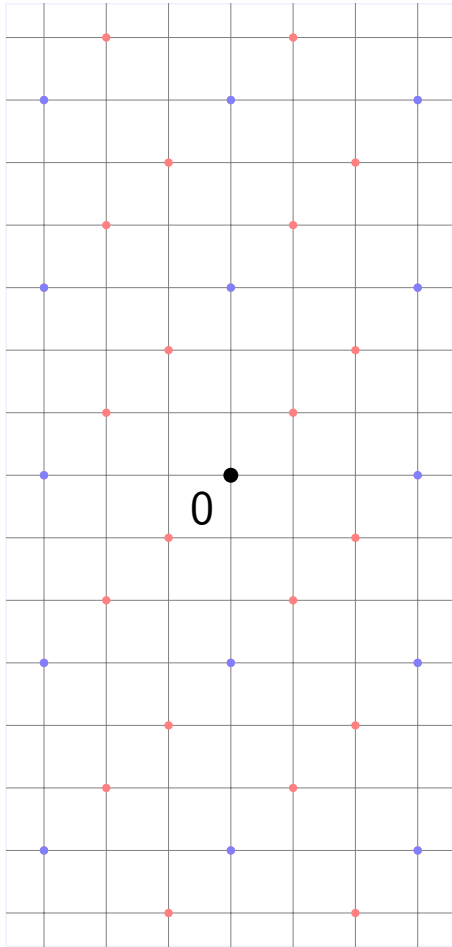
Approximate Shortest Independent Vectors Problem

Definition (Shortest Independent Vectors Problem, SIVP_γ)

Given a lattice $\mathcal{L}(\mathbf{B})$, find n linearly independent lattice vectors $\mathbf{Bx}_1, \dots, \mathbf{Bx}_n$ of length (at most) $\max_i \|\mathbf{Bx}_i\| \leq \gamma \lambda_n$



Random Lattices in Cryptography



- Cryptography typically uses (random) lattices Λ such that
 - $\Lambda \subseteq \mathbb{Z}^d$ is an integer lattice
 - $q\mathbb{Z}^d \subseteq \Lambda$ is periodic modulo a small integer q .
- Cryptographic functions based on q -ary lattices involve only arithmetic modulo q .

Definition (q -ary lattice)

Λ is a q -ary lattice if $q\mathbb{Z}^n \subseteq \Lambda \subseteq \mathbb{Z}^n$

Examples (for any $\mathbf{A} \in \mathbb{Z}_q^{n \times d}$)

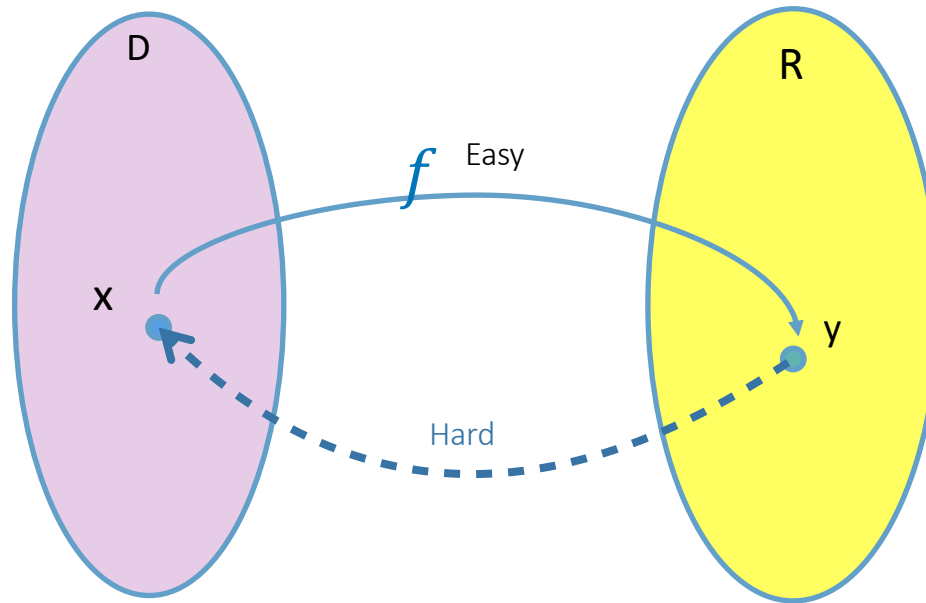
- $\Lambda_q(\mathbf{A}) = \{\mathbf{x} \mid \mathbf{x} \bmod q \in \mathbf{A}^T \mathbb{Z}_q^n\} \subseteq \mathbb{Z}^d$
- $\Lambda_q^\perp(\mathbf{A}) = \{\mathbf{x} \mid \mathbf{A}\mathbf{x} = \mathbf{0} \bmod q\} \subseteq \mathbb{Z}^d$

The background is a vibrant, abstract composition of thick, textured brushstrokes in a wide array of colors including yellow, orange, red, green, blue, and pink. The strokes are layered and overlapping, creating a sense of depth and movement. In the center, a white rectangular box with a thin orange border contains the text "Building Cryptography" in a blue, sans-serif font.

Building Cryptography

One Way Functions

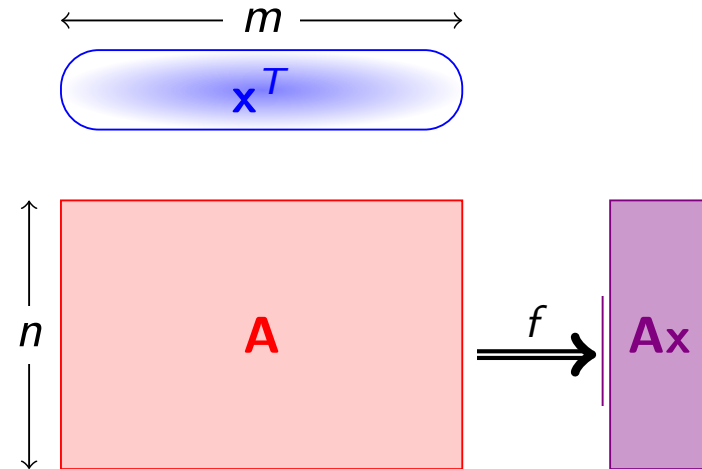
$f: D \rightarrow R$, One Way



Most basic “primitive” in cryptography!

Ajtai's One Way Function

- Parameters: $m, n, q \in \mathbb{Z}$
- Key: $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$
- Input: $\mathbf{x} \in \{0, 1\}^m$
- Output: $f_{\mathbf{A}}(\mathbf{x}) = \mathbf{Ax} \bmod q$

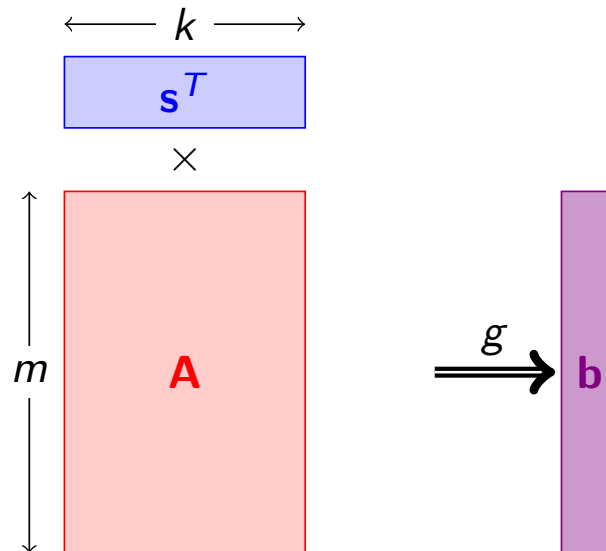


Theorem (A'96)

For $m > n \lg q$, if lattice problems (SIVP) are hard to approximate in the worst-case, then $f_{\mathbf{A}}(\mathbf{x}) = \mathbf{Ax} \bmod q$ is a one-way function.

Regev's One Way Function

- $\mathbf{A} \in \mathbb{Z}_q^{m \times k}$, $\mathbf{s} \in \mathbb{Z}_q^k$, $\mathbf{e} \in \mathcal{E}^m$.
- $g_{\mathbf{A}}(\mathbf{s}) = \mathbf{A}\mathbf{s} \pmod q$

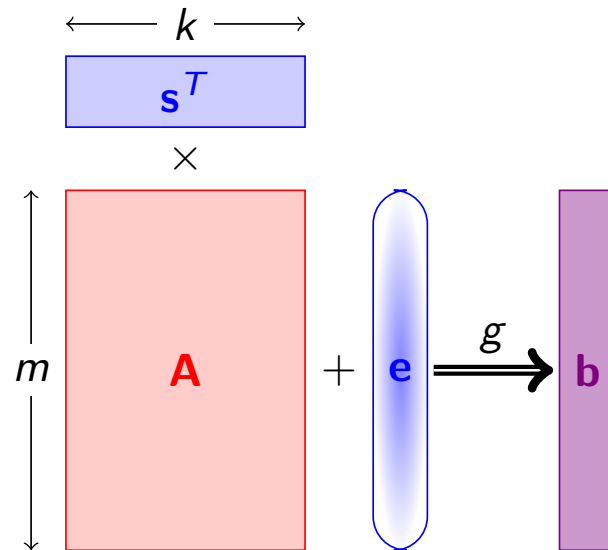


Regev's One Way Function

- $\mathbf{A} \in \mathbb{Z}_q^{m \times k}$, $\mathbf{s} \in \mathbb{Z}_q^k$, $\mathbf{e} \in \mathcal{E}^m$.
- $g_{\mathbf{A}}(\mathbf{s}; \mathbf{e}) = \mathbf{A}\mathbf{s} + \mathbf{e} \bmod q$
- Learning with Errors: Given $\mathbf{A}$ and $g_{\mathbf{A}}(\mathbf{s}, \mathbf{e})$, recover $\mathbf{s}$.

Theorem (R'05)

The function $g_{\mathbf{A}}(\mathbf{s}, \mathbf{e})$ is hard to invert on the average, assuming SIVP is hard to approximate in the worst-case.

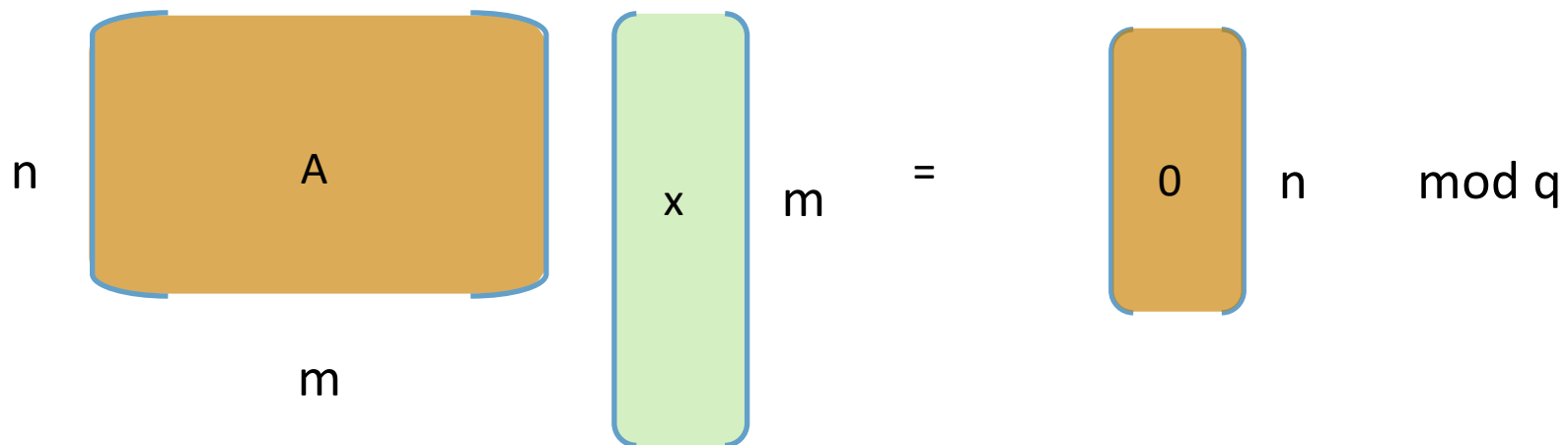


Short Integer Solution Problem

Let $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$, $q = \text{poly}(n)$, $m = \Omega(n \log q)$

Given matrix $\mathbf{A}$, find “short” (low norm) vector $\mathbf{x}$ such that

$$\mathbf{Ax} = 0 \pmod{q} \in \mathbb{Z}_q^n$$



Learning With Errors Problem

Distinguish “noisy inner products” from uniform

Fix uniform $s \in \mathbb{Z}_q^n$

$$\begin{array}{l} a_1, b_1 = \langle a_1, s \rangle + e_1 \\ a_2, b_2 = \langle a_2, s \rangle + e_2 \\ \vdots \\ a_m, b_m = \langle a_m, s \rangle + e_m \end{array}$$

VS

$$\begin{array}{l} a'_1, b'_1 \\ a'_2, b'_2 \\ \vdots \\ a'_m, b'_m \end{array}$$

$a_i \text{ uniform} \in \mathbb{Z}_q^n, e_i \sim \phi \in \mathbb{Z}_q$

$a_i \text{ uniform} \in \mathbb{Z}_q^n, b_i \text{ uniform} \in \mathbb{Z}_q$

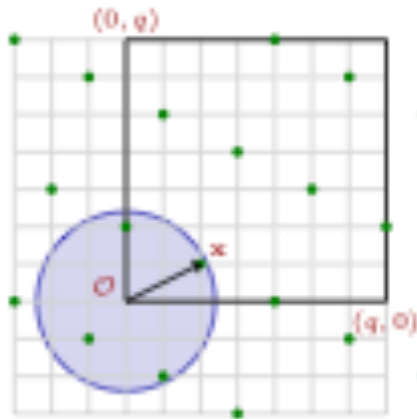
Recap:Lattice Based One Way Functions

Public Key $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$, $q = \text{poly}(n)$, $m = \Omega(n \log q)$

Based on SIS

$$f_{\mathbf{A}}(\mathbf{x}) = \mathbf{Ax} \bmod q \in \mathbb{Z}_q^n$$

- Short $\mathbf{x}$, surjective
- CRHF if SIS is hard



Based on LWE

$$g_{\mathbf{A}}(\mathbf{s}, \mathbf{e}) = \mathbf{s}^t \mathbf{A} + \mathbf{e}^t \bmod q \in \mathbb{Z}_q^m$$

- Very short $\mathbf{e}$, injective
- OWF if LWE is hard [Reg05...]

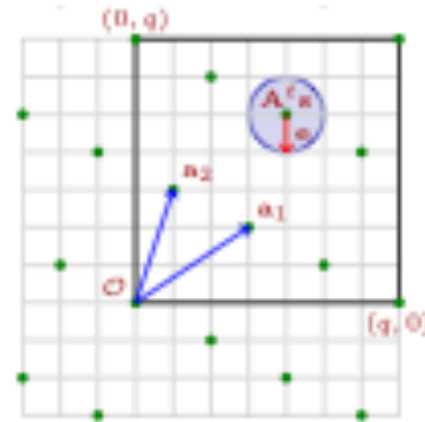
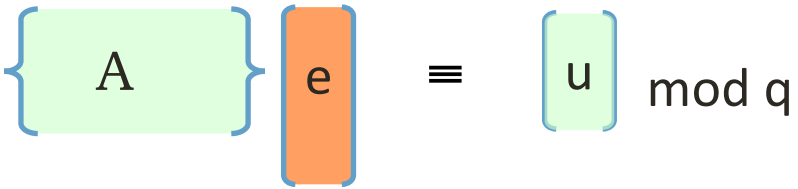


Image Credit: MP12 slides

Public Key Encryption [Regev05]

- ❖ Recall $A(e) = u \bmod q$ hard to invert

- ❖ Secret: e , Public : A, u  $\equiv$ $u \bmod q$

- ❖ Encrypt (A, u) :

- ❖ Pick random vector s

- ❖ $c_0 = A^T s + \text{noise}$

- ❖ $c_1 = u^T s + \text{noise} + \text{msg}$

- ❖ Decrypt (e) :

- ❖ $e^T c_0 - c_1 = \text{msg} + \text{noise}$

Small only
if e is small

Public Key Encryption [Regev05]

❖ Recall $A(e) = u \bmod q$ hard to invert

❖ Secret: e , Public : A, u $\left\{ \begin{array}{c} A \\ \end{array} \right\} e \equiv \left[\begin{array}{c} u \\ \end{array} \right] \bmod q$

❖ By SIS problem, hard to find short e

❖ By LWE problem, ciphertext appears random

❖ $c_0 = A^T s + \text{noise}$, looks like random

❖ $c_1 = u^T s + \text{noise} + \text{msg}$, looks like random + msg

❖ Hence hides message “msg”

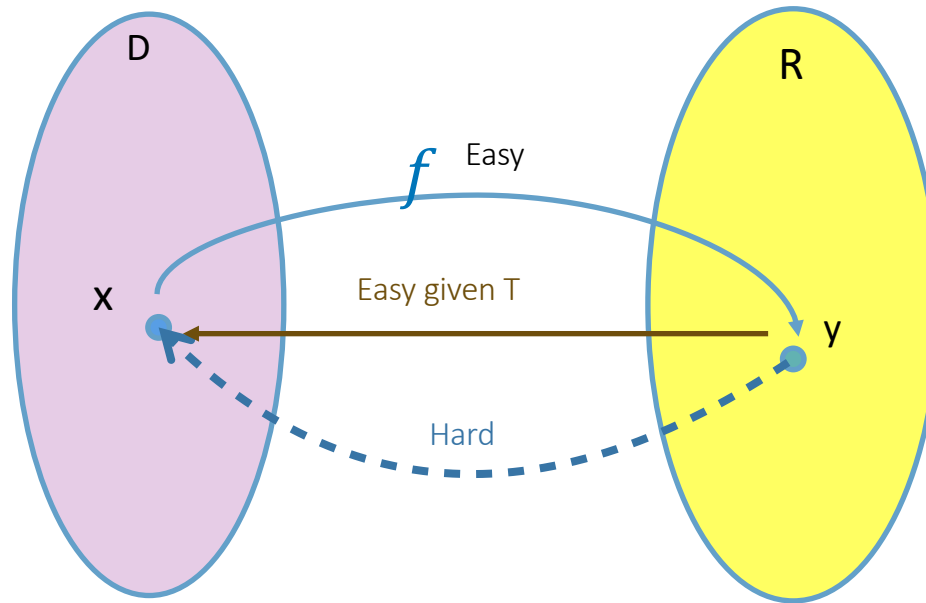
An abstract painting featuring a complex arrangement of rectangular blocks in primary colors: red, yellow, green, and blue. The blocks are of various sizes and are layered, creating a sense of depth. The brushstrokes are visible, giving the painting a textured, hand-painted appearance. A white rectangular box with a yellow border is superimposed over the center of the painting, containing the text.

For Signatures, need
Lattice Trapdoors

Trapdoor Functions

Generate (f, T)

$f: D \rightarrow R$, One Way



We will construct trapdoor functions from two lattice problems

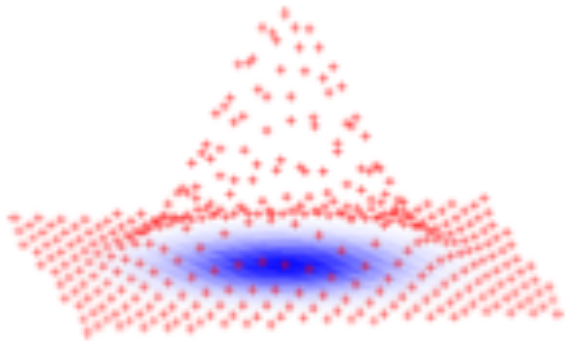
Inverting functions for Crypto

- Given $\mathbf{u} = f_{\mathbf{A}}(\mathbf{x}) = \mathbf{Ax} \bmod q$

- Sample

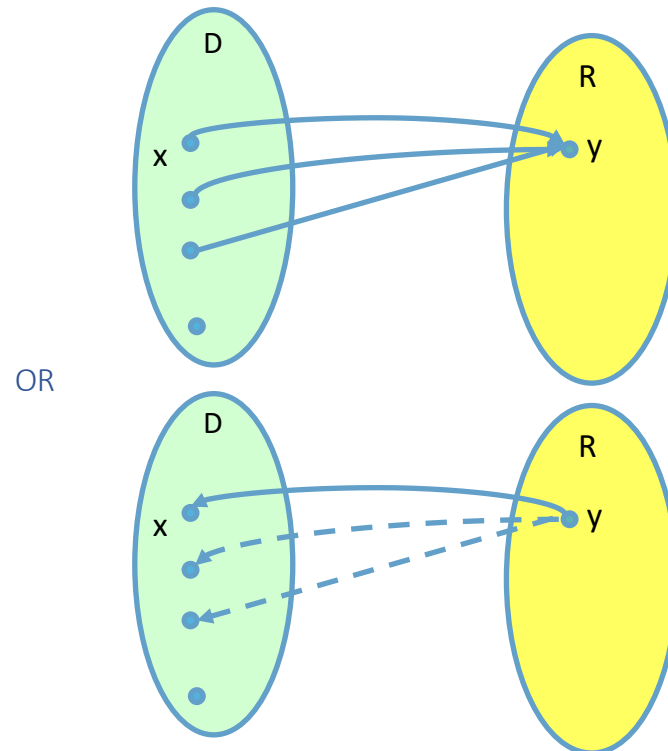
$$\mathbf{x}' \leftarrow f_{\mathbf{A}}^{-1}(\mathbf{u})$$

with prob $\propto \exp(-\|\mathbf{x}'\|^2/\sigma^2)$



Preimage Sampleable Trapdoor Functions!

Generate (x, y) in two equivalent ways

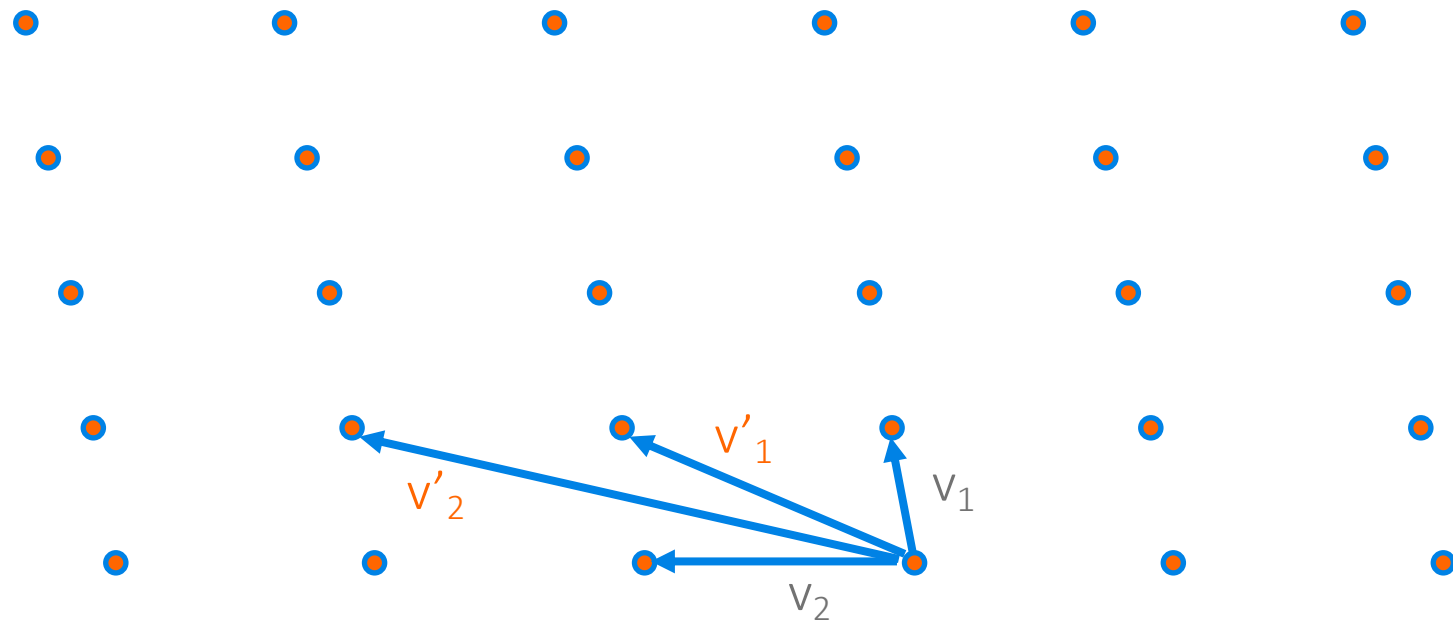


Same Distribution (Discrete Gaussian, Uniform) !51

Latter distribution needs
lattice trapdoors!

$\bmod q$

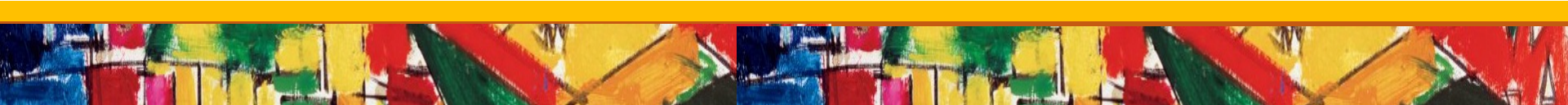
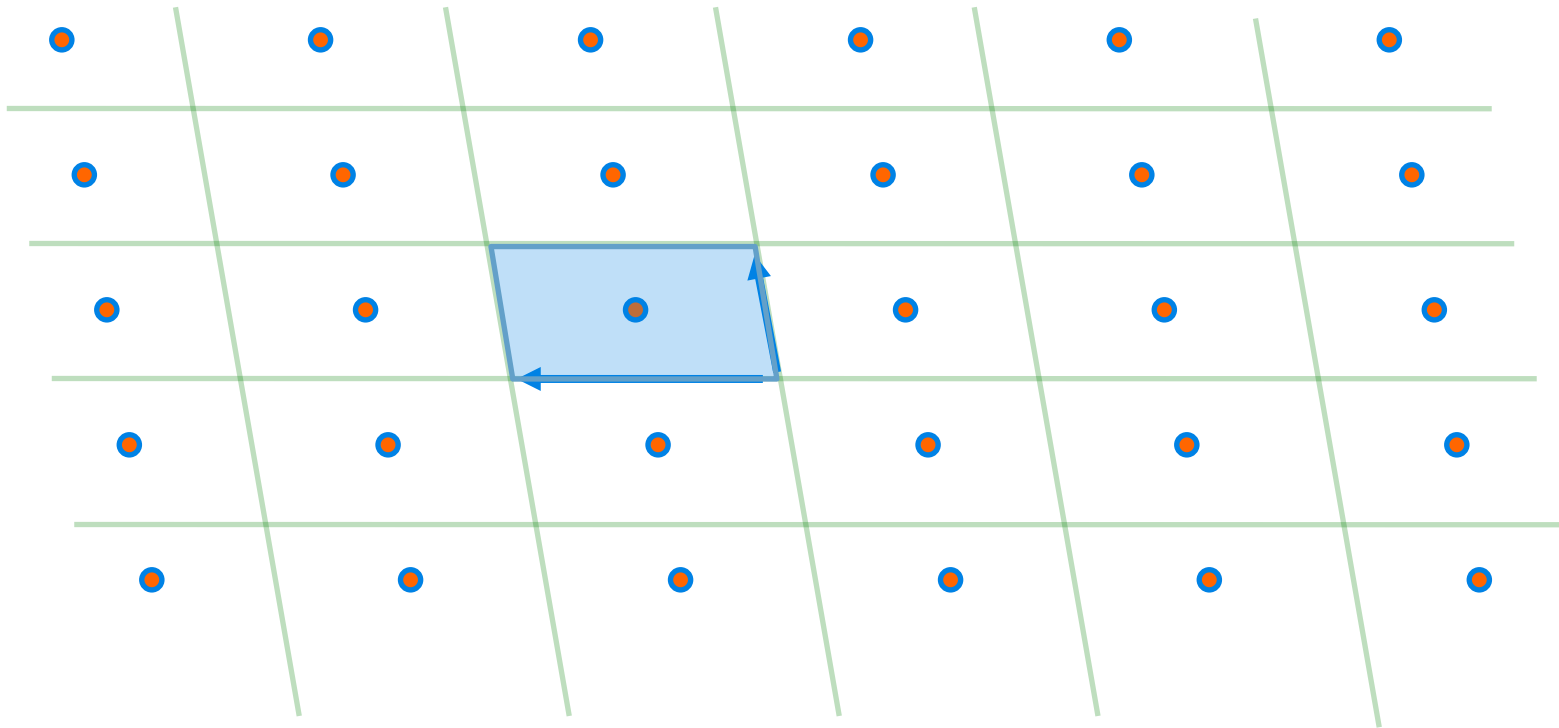
Lattice Trapdoors: Geometric View



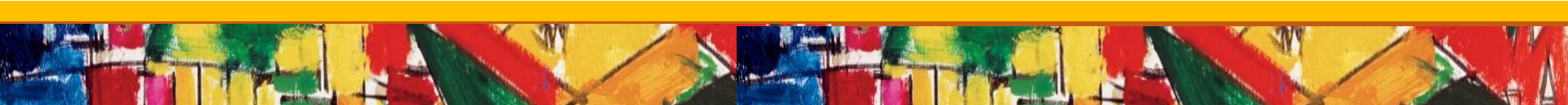
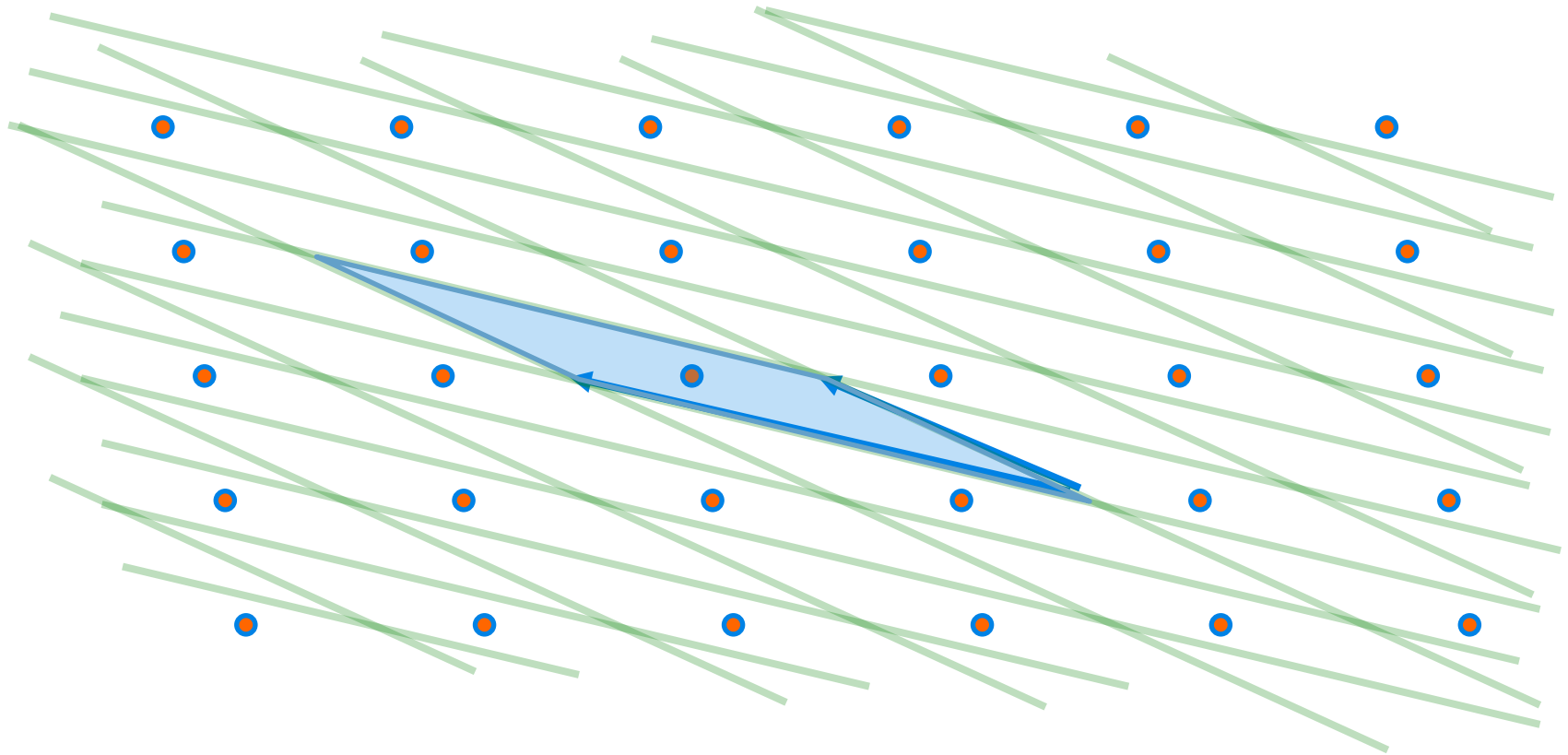
Multiple Bases



Parallelopipeds

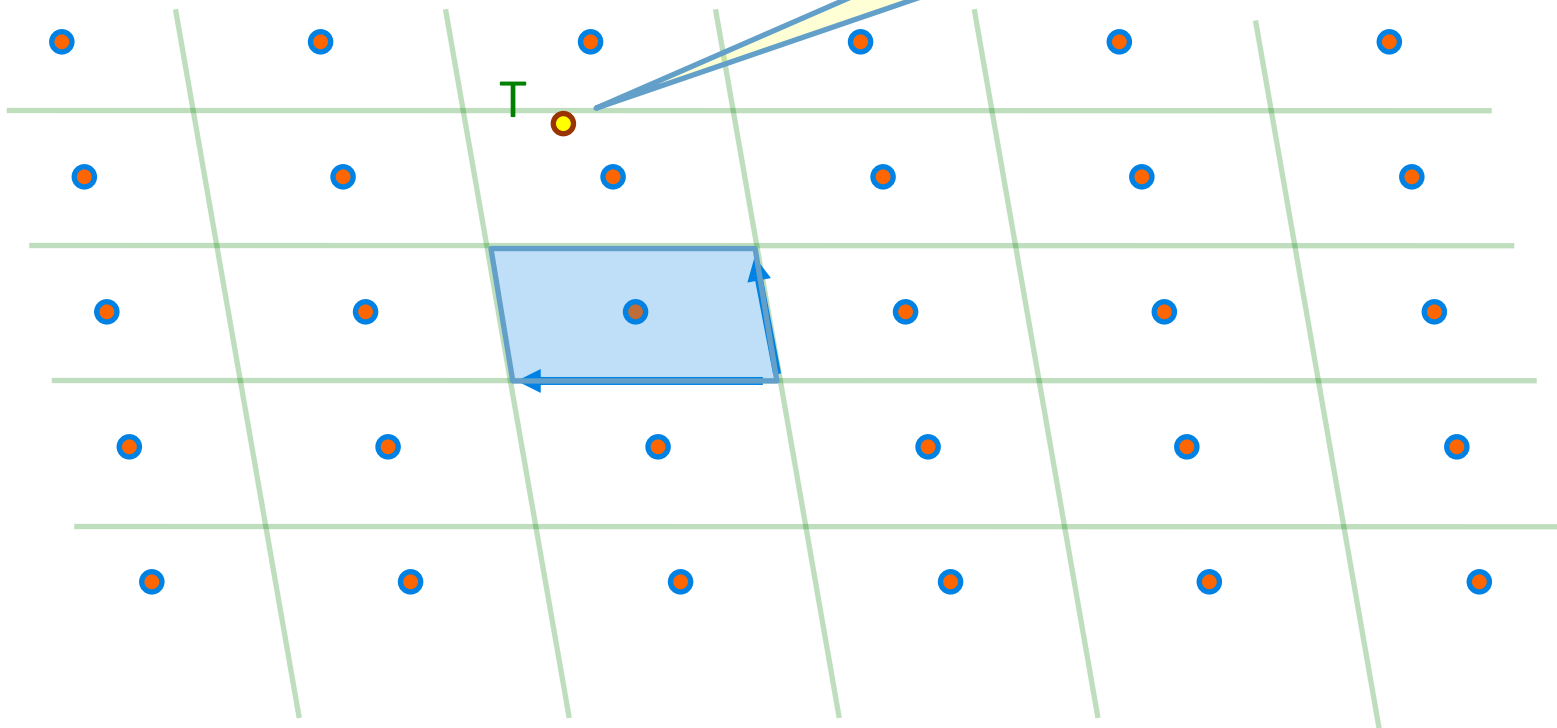


Parallelopipeds



Good Basis

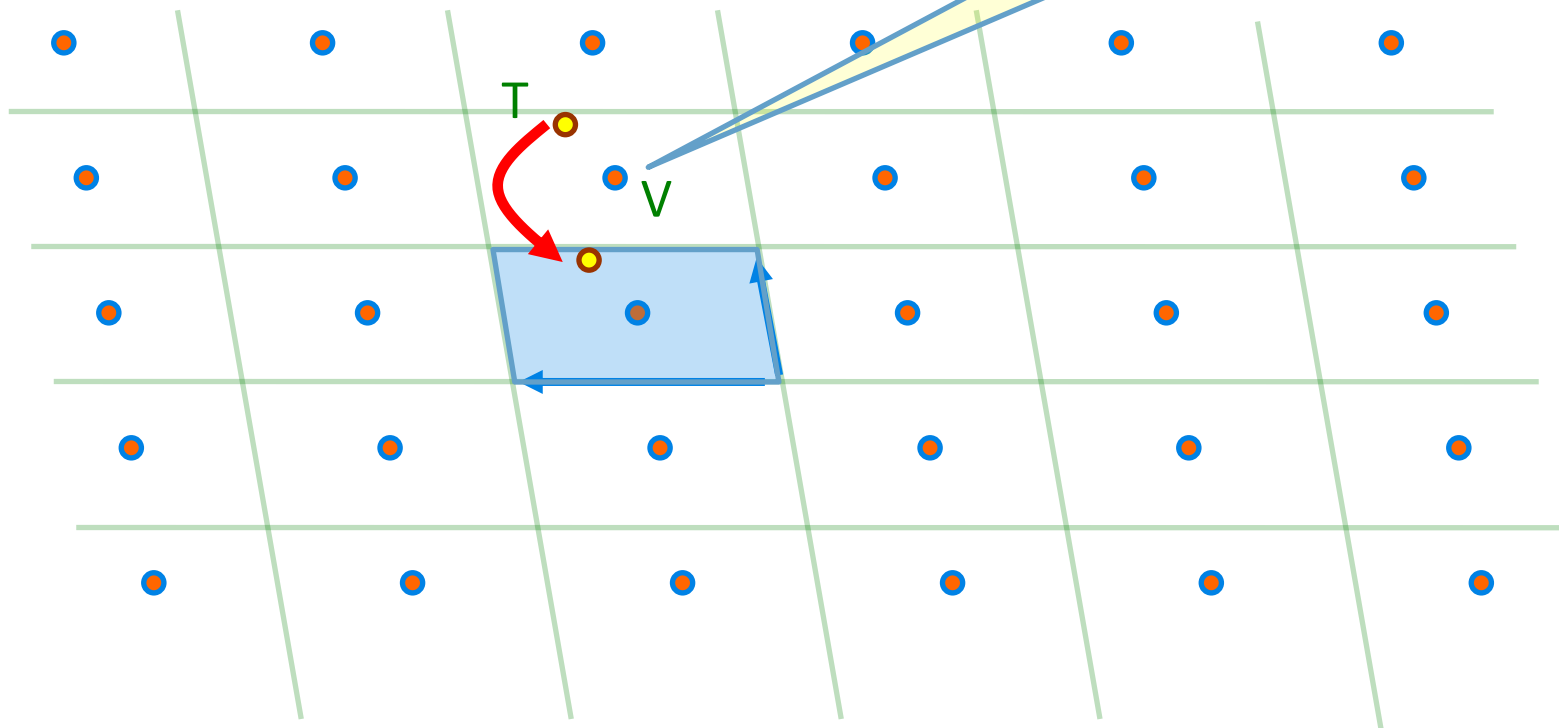
What's my
closest lattice
point?



“Quite short” and “nearly orthogonal”

Good Basis

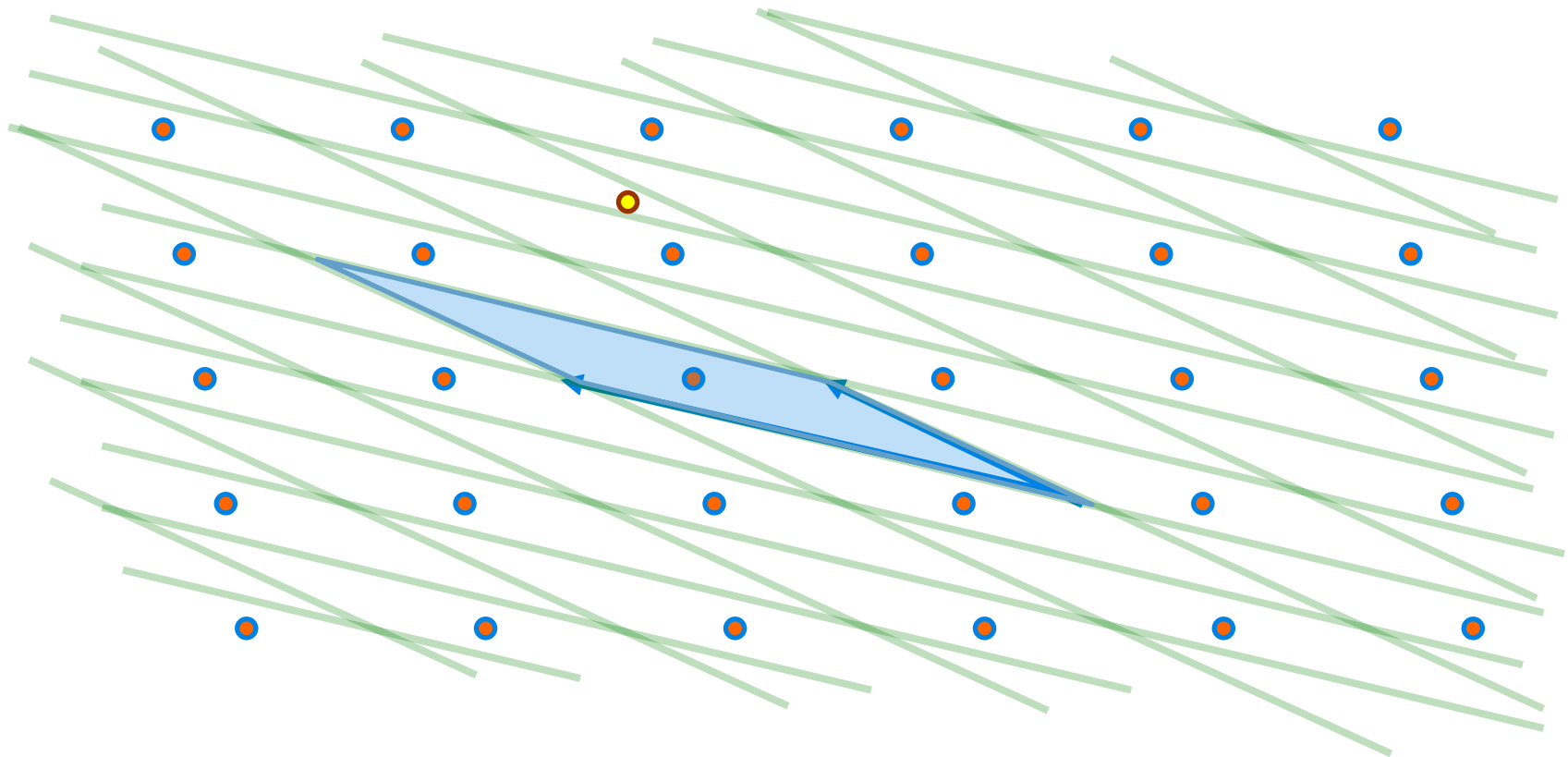
Declared
closest point



Output center of parallelogrid containing T

Pretty Accurate...

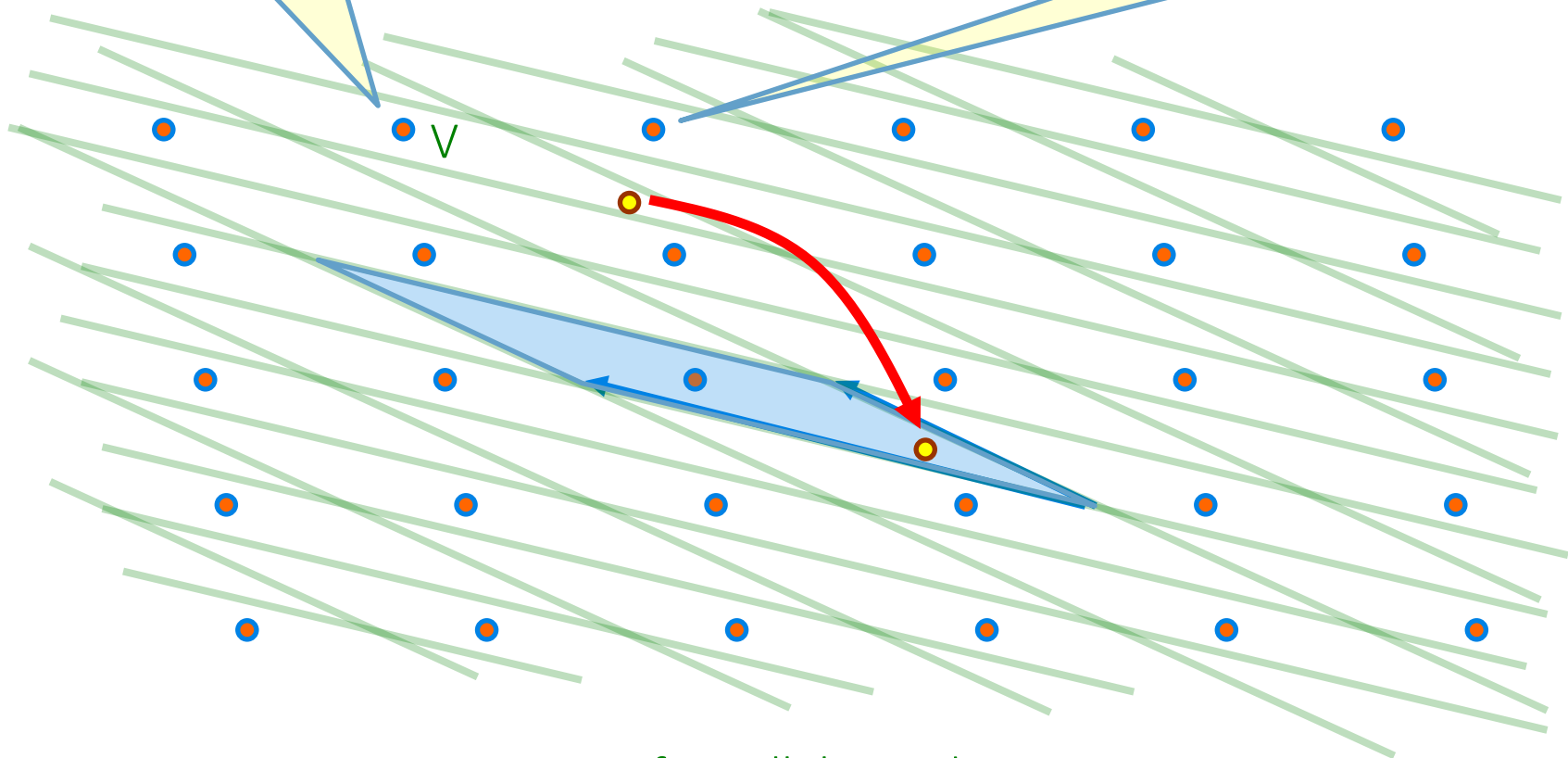
Bad Basis



Bad Basis

Declared
closest point

Closer Lattice
point



Output center of parallelopiped containing T

Not So Accurate...

Basis quality and Hardness

- SVP, CVP, SIS (...) **hard** given arbitrary (bad) basis
- Some hard lattice problems are **easy** given a good basis
- Will exploit this **asymmetry**

Use Short Basis as Cryptographic Trapdoor!



Lattice Trapdoors

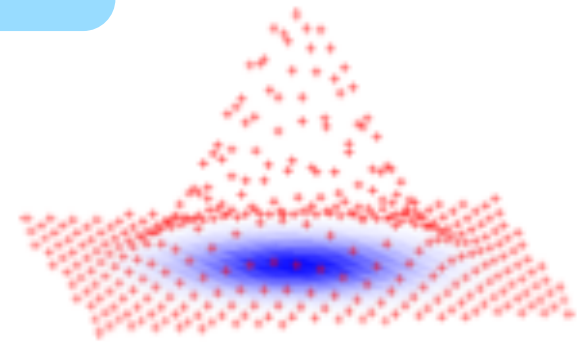
Inverting Our Function

Recall $\mathbf{u} = f_{\mathbf{A}}(\mathbf{x}) = \mathbf{A} \mathbf{x} \bmod q$

Want

$$\mathbf{x}' \leftarrow f_{\mathbf{A}}^{-1}(\mathbf{u})$$

with prob $\propto \exp(-\|\mathbf{x}'\|^2/\sigma^2)$

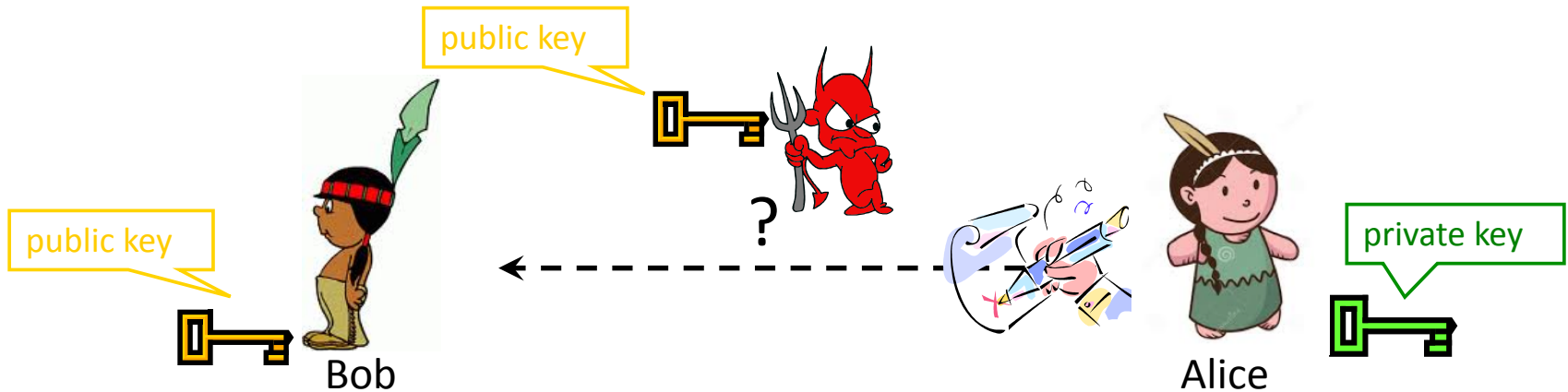


The Lattice

$$\Lambda = \{\mathbf{x}: \mathbf{A}\mathbf{x} = 0 \bmod q\} \subseteq \mathbb{Z}_q^m$$

Short basis for Λ lets us sample from $f_{\mathbf{A}}^{-1}(\mathbf{u})$
with correct distribution!

Digital Signatures



Everybody knows Alice's **public key**

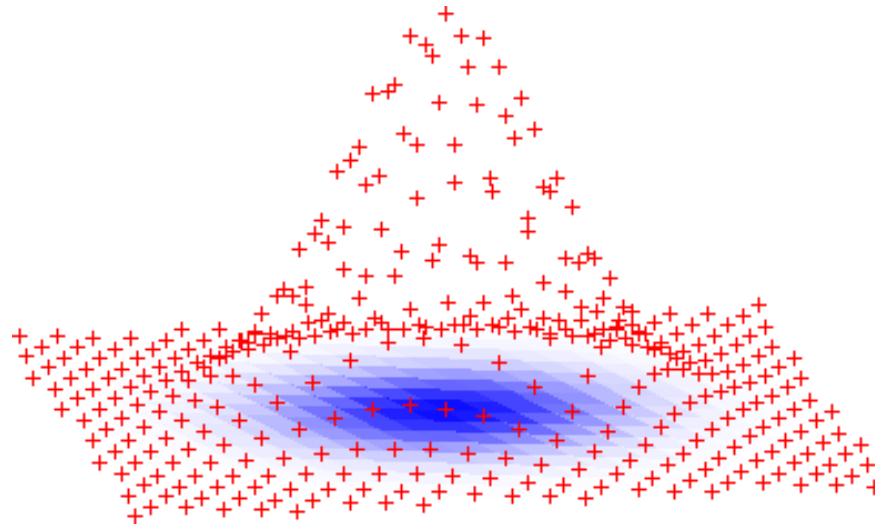
Only Alice knows the corresponding **private key**

Goal: Alice sends a “digitally signed” message

1. To compute a signature, must know the private key
2. To verify a signature, only the public key is needed

Digital Signatures from Lattices

- ▶ Generate uniform $vk = \mathbf{A}$ with secret 'trapdoor' $sk = \mathbf{T}$.
- ▶ $\text{Sign}(\mathbf{T}, \mu)$: use $\mathbf{T}$ to **sample** a **short** $\mathbf{z} \in \mathbb{Z}^m$ s.t. $\mathbf{Az} = H(\mu) \in \mathbb{Z}_q^n$.
Draw $\mathbf{z}$ from a distribution that **reveals nothing** about secret key:



- ▶ $\text{Verify}(\mathbf{A}, \mu, \mathbf{z})$: check that $\mathbf{Az} = H(\mu)$ and $\mathbf{z}$ is sufficiently short.
- ▶ Security: forging a signature for a new message μ^* requires finding short $\mathbf{z}^*$ s.t. $\mathbf{Az}^* = H(\mu^*)$. This is SIS: hard!

Summary

- Post Quantum Crypto: Applications
- Basics of Lattices
- Hard Problems on Lattices
- Public Key Encryption
- Lattice Trapdoors
- Digital Signatures



Thank You

Images Credit: Hans Hoffman

Slides Credit: Daniele
Micciancio, Chris Peikert

