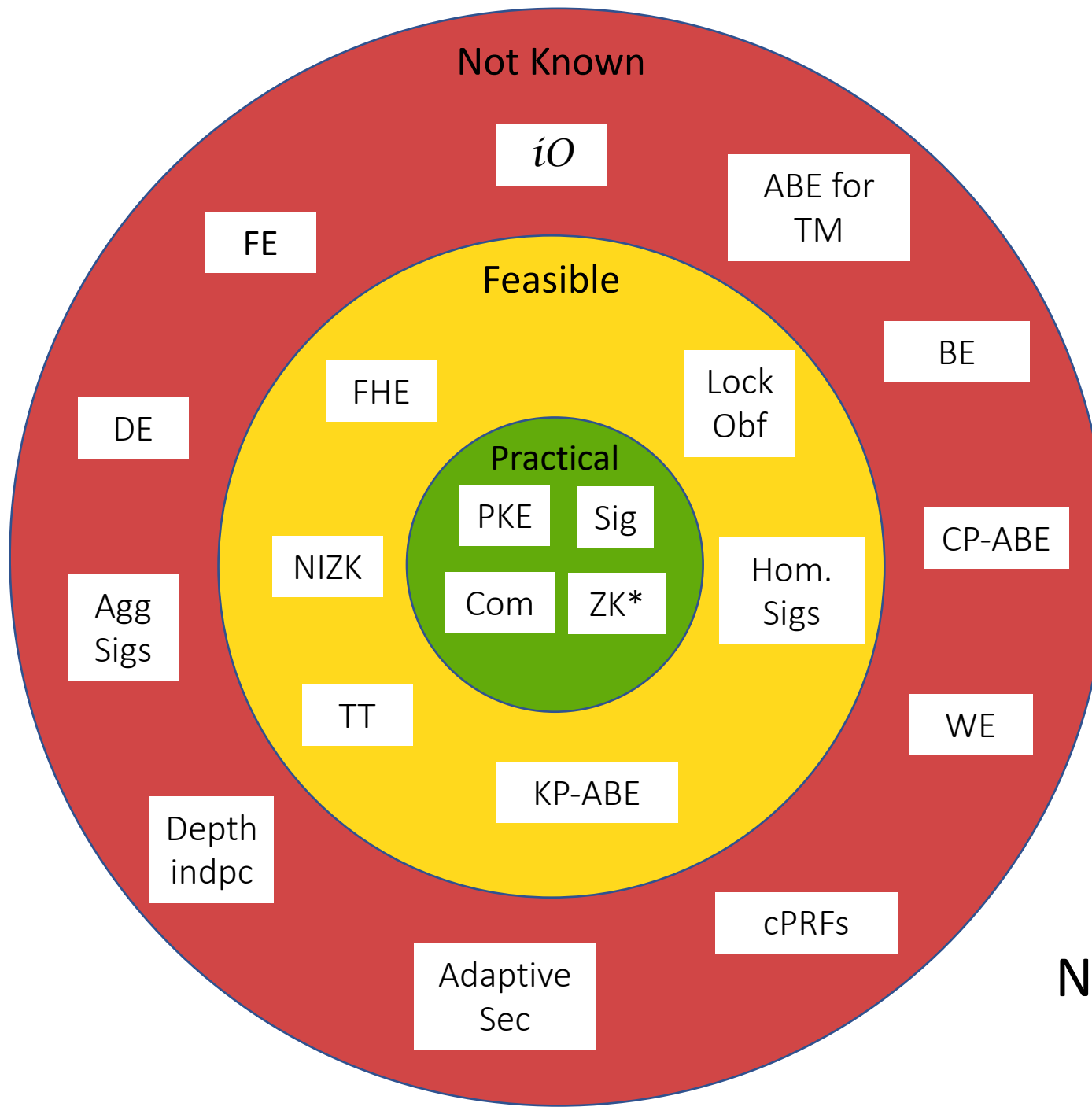


An abstract painting with a vibrant, multi-colored palette including red, blue, yellow, green, and purple. The style is expressive, with visible brushstrokes and a collage-like composition of geometric and organic shapes. A small sailboat is visible in the upper left quadrant.

Recent Progress & Challenges in Lattice Based Cryptography

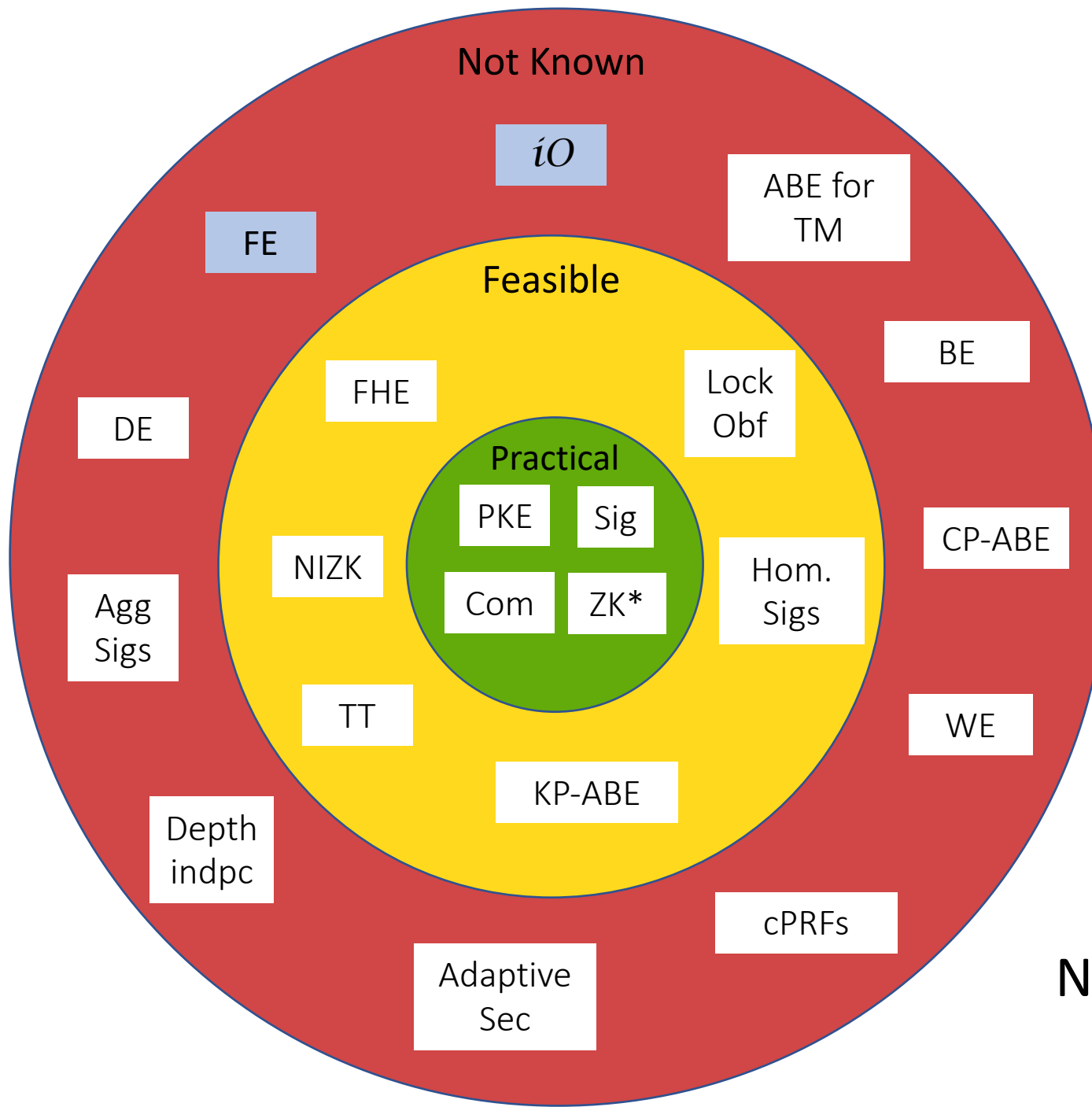
Shweta Agrawal
IIT Madras

\mathcal{L} ATTICE CRYPTO



Not Exhaustive!

\mathcal{L} ATTICE CRYPTO



Not Exhaustive!

Road Map

A scenic landscape featuring a dirt road that splits into two paths, leading through a lush green field with wildflowers. In the background, there are rolling hills and a dense line of trees under a clear blue sky with a few wispy clouds.

- Obfuscation
- Functional Encryption
- Overview of Progress
- Challenges from Lattices
- Open Problems

Obfuscation

I know a better algorithm to factor numbers!

Win best paper award at Crypto?

Keep algorithm secret and sell functionality.



code

O
B
F
U
S
C
A
T
O
R

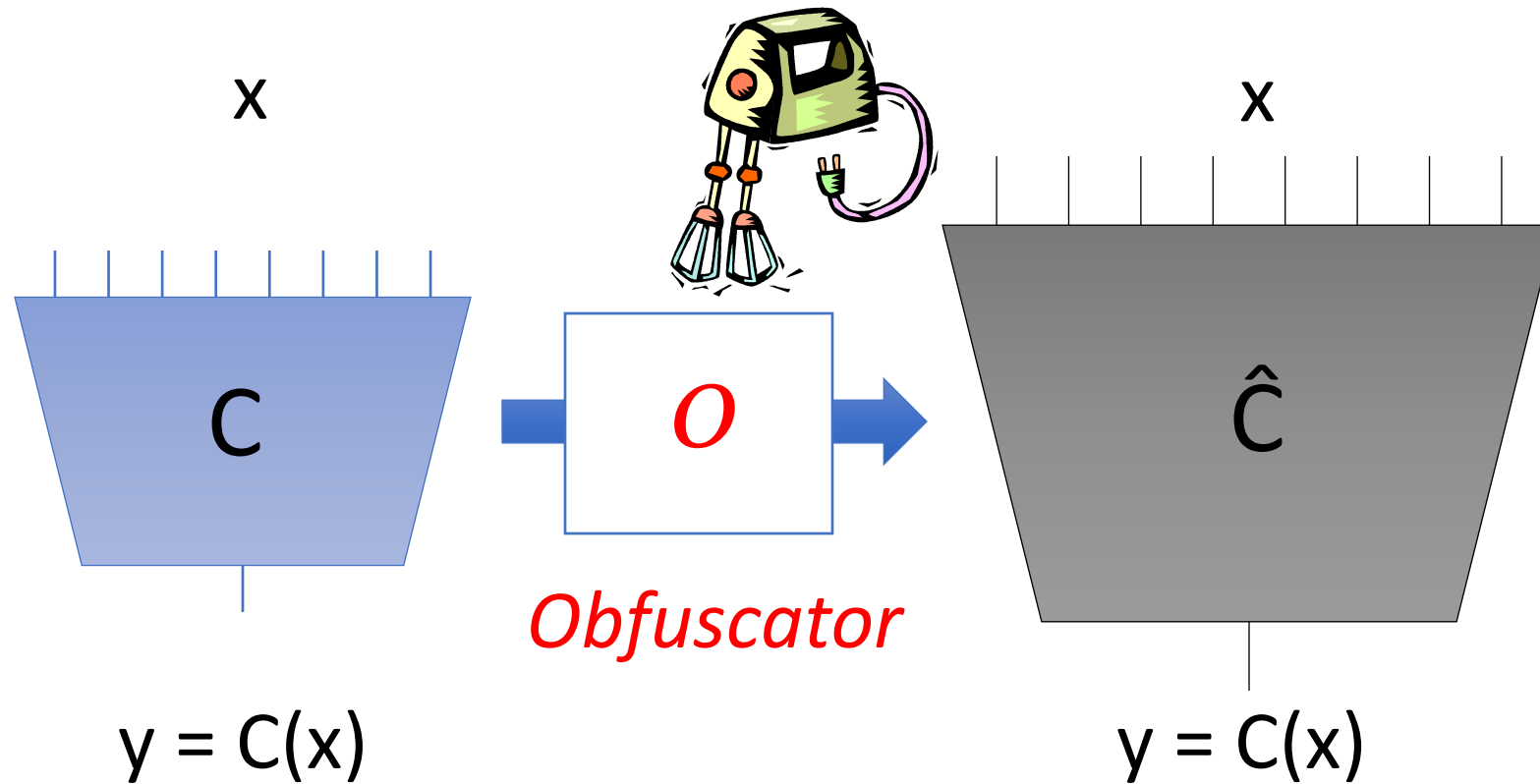
Obfuscated code

```
#include<stdio.h> #include<string.h>
main(){char*O,l[999]="''acgo\177~|xp .
-\OR^8)NJ6%K40+A2M(*OID57$3G1FBL";
while(O=fgets(l+45,954,stdin)){*l=O[
strlen(O)[O-1]=0,strupn(O,l+11)];
while(*O)switch((*l&&isalnum(*O))-!*l)
{case-1:{char*I=(O+=strupn(O,l+12)
+1)-2,O=34;while(*I&3&&(O=(O-16<<1)+
*I---'-')<80);putchar(O&93?*I
&8||!( I=memchr( l , O , 44 ) ) ?'?'':
I-1+47:32); break; case 1: ;}*l=
(*O&31)[1-15+(*O>61)*32];while(putchar
(45+*l%2),(*l=*l+32>>1)>35); case 0:
putchar((++O ,32));}putchar(10);}}
```

- Produces correct output
- Impossible to reverse engineer

Obfuscation

Compile a circuit C into one \hat{C} that *preserves functionality*,
and is *unintelligible* (resistant to reverse engineering)

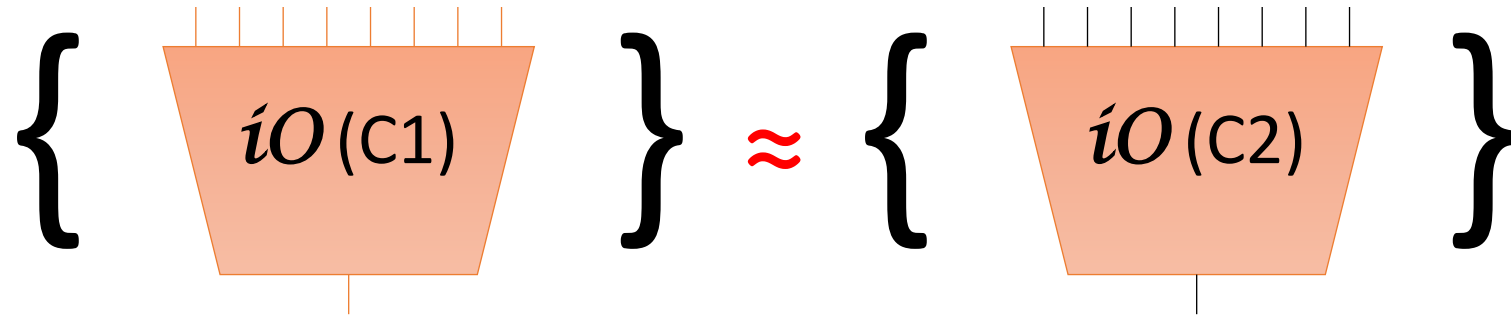


Indistinguishability Obfuscator iO [BGI+01]

“Which one of two equivalent circuits $C_1 \equiv C_2$ is obfuscated?”

$C_1 \equiv C_2$, meaning

- Same size $|C_1| = |C_2|$
- Same truth table $TB(C_1) = TB(C_2)$



Trivial if efficiency is not a concern

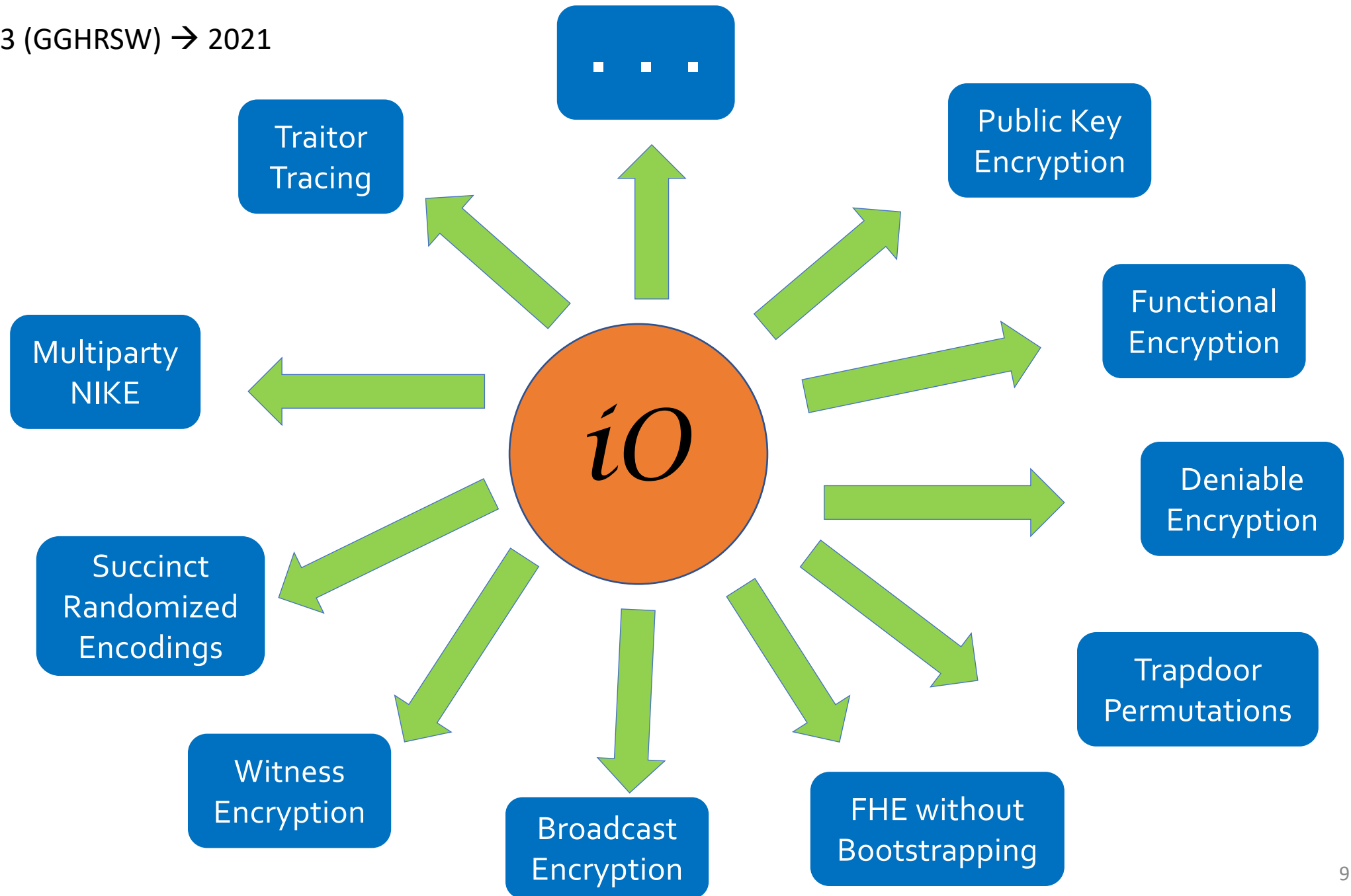
Goal: Find an efficient compiler iO

Before we proceed... why do we care?

- Seemingly useless definition
- We already know both circuits are equivalent. Does it matter what is the particular representation?
- Unclear if there are applications

“Theorem” (GGHRSW13,SW13...) : iO is (almost?) crypto-complete

2013 (GGHRSW) → 2021



Constructing iO : Broadly Two Approaches

- **Direct** Constructions
 - All based on “multilinear maps” [GGH13,CLT13,GGH15]
 - Constructed from lattices
 - Many attacks, fixes, repeat: hard to understand security
- **Bootstrapping** based constructions

Recap: Bilinear Maps

- Cryptographic bilinear map
 - Groups G_1 and G_2 of order p with generators g_1, g_2 and a bilinear map $e : G_1 \times G_1 \rightarrow G_2$ such that

$$\forall a, b \in \mathbb{Z}_p^*, \quad e(g_1^a, g_1^b) = g_2^{ab}$$

- Hardness (Bilinear Diffie Hellman): Can compute degree 2 “in the exponent”, degree 3 looks like random.
- Efficient Instantiation: Weil or Tate pairings over elliptic curves.
- Tremendously useful for crypto!

Multilinear Maps: Classical Notion

- Cryptographic n-multilinear map (for groups)
 - Groups G_1, \dots, G_n of order p with generators g_1, \dots, g_n
 - Family of maps:

$$e_{i,k}: G_i \times G_k \rightarrow G_{i+k} \text{ for } i + k \leq n, \text{ where}$$

- $e_{i,k}(g_i^a, g_k^b) = g_{i+k}^{ab} \quad \forall a, b \in \mathbb{Z}_p$.
- Hardness: at least “discrete log” in each G_i is “hard”.
 - And hopefully the [generalization of Bilinear DH](#)

Multilinear Maps

- Applications described by Boneh and Silverberg in 2003
 - Pessimistic about existence in realm of algebraic geometry
- First candidate construction by Garg, Gentry, Halevi, 2013
 - Based on ideal lattices, ideas inspired by NTRU
- Immensely useful, can be used to build iO (and much more!).

Where are we with this?

Multilinear Maps



We still **don't** have candidates of
"clean multi-linear" maps

Noisy multilinear maps:

[Garg-Gentry-Halevi13, Garg-Gentry-Halevi-Raykova-Sahai-Waters13, Coron-Lepoint-Tibouchi13, Gentry-Gurbonov-Halevi15, Coron-Lepoint-Tibouchi15,...]



**Linearization
attacks**

All broken!

: [Miles-Sahai-Zhandry16, Apon-DGargM17, Cheon-Han-Lee-Ryu-Stehle15,
Coron-Gentry-Halevi-Lepoint-Maji-Miles-Raykova-Sahai-Tibouchi15]

Multilinear Maps

[Badninarayanan-Miles-Sahai-Zhandry16, Garg-Miles-Mukherjee-Sahai-Srinivasan-Zhandry16]:

IO assuming Weak MMAPs

Not broken
(yet...)

Noisy multilinear maps:

[Garg-Gentry-Halevi13, Garg-Gentry-Halevi-Raykova-Sahai-Waters13, Coron-Lepoint-Tibouchi13, Gentry-Gurbonov-Halevi15, Coron-Lepoint-Tibouchi15,...]

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Multilinear Maps

[Badninarayanan-Miles-Sahai-Zhandry16, Garg-Miles-Mukherjee-Sahai-Srinivasan-Zhandry16]:

IO assuming Weak MMAPs

Not broken
(yet...)

Generation 1 iO (poly degree maps)

[GGHRSW13, BGKPS14, BR14, PST14, AGIS14, BMSZ16, CLT13, CLT15, GGH15, MSZ16, GMMSSZ16]

Open #1: Improve security from lattices

Boostrapping Based Constructions: Reduce, Reduce, Reduce

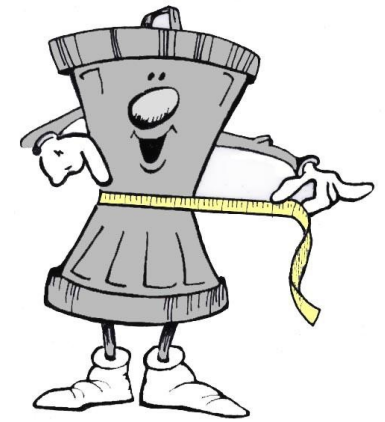


- What is the **minimum functionality** needed for *iO*?
- How much can we “clean up” **assumptions**?
- Sequence of works reduced degree from poly to constant

Generation 2 *iO* (constant degree maps)

Lin16, LV16, AS17, LT17

Bootstrapping Based Constructions: Reduce, Reduce, Reduce



Generation 3 iO (maps ≤ 2 , small heuristic component)

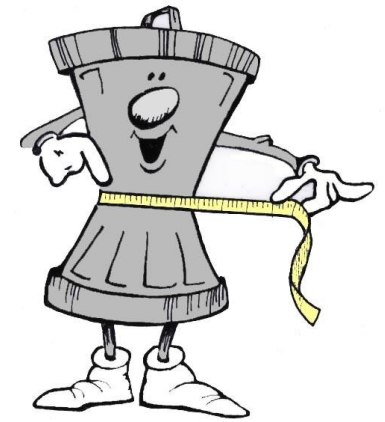
AJLMS 19, A19, JLMS19, JLS19, AP20, GJLS20

Generation 4 iO (maps ≤ 2 + additional assumptions)

JLS21, GP21, BDGM21, WW21, DQVWW21

JLS21: **standard** assumptions (SXDH, LWE, LPN, PRG in NC0)
Others: some nonstandard-ness (eg LWE w/ circularity)

Bootstrapping Based Constructions: Reduce, Reduce, Reduce



Generation 4 iO (maps ≤ 2 + additional assumptions)

JLS21, GP21, BDGM21, WW21, DQVWW21

JLS21: **standard** assumptions (SXDH, LWE, LPN, PRG in NC0)
Others: some nonstandard-ness (eg LWE w/ circularity)

Open #2: Post quantum iO from standard assumptions

Post quantum iO (with provable security)

What approaches do we have?

- Functional Encryption
- Functional Encodings (or succinct randomized encodings) (WW21, DQVWW21)
- Circularity assumptions on FHE (BDGM21, GP21)

Most open, will focus on this

Functional Encryption

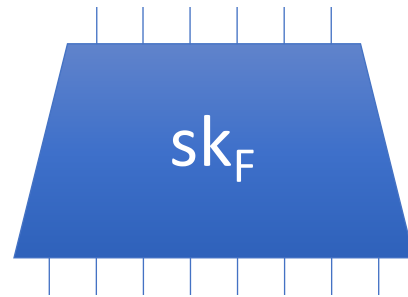
Encryption with Partial Decryption Keys

$(\text{mpk}, \text{msk}) \leftarrow \text{Setup}(1^n)$

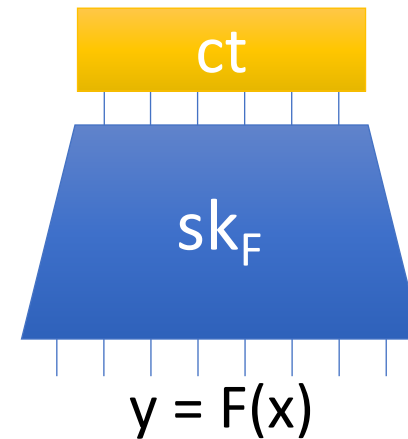
$\text{Encrypt}(\text{mpk}, x):$



$\text{Keygen}(\text{msk}, F):$



$\text{Decrypt}(\text{sk}_F, \text{ct}):$



Security:

Adversary possessing keys for multiple circuits F_i cannot distinguish $\text{Enc}(x_0)$ from $\text{Enc}(x_1)$ unless $F_i(x_0) \neq F_i(x_1)$

Functional Encryption [SW05,BSW11]

$$FE \rightarrow iO \quad [AJ15, BV15, Lin16, LV16, AS16]$$

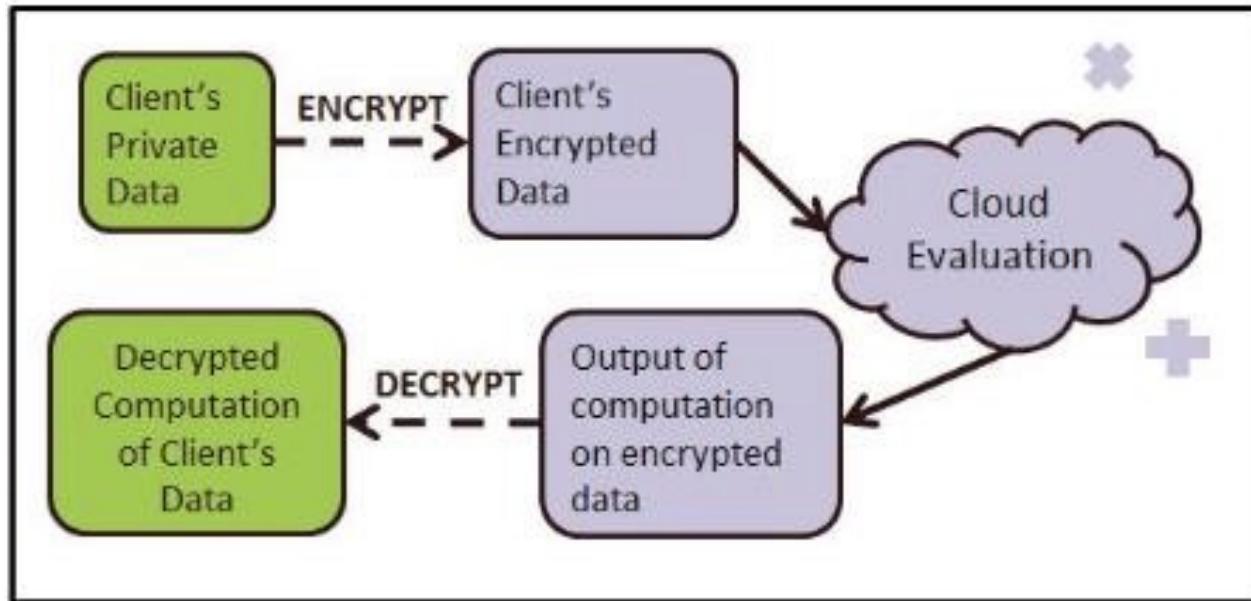
The following FE suffices for iO :

- Single key for a function with long output $f : \{0, 1\}^n \rightarrow \{0, 1\}^m$
- $|CT|$ is sublinear in output length m
- Supporting function class NC^0

How to build it?

Natural Idea: Use LWE

-- Recall: LWE *only* assumption yielding FHE



Expressive
Functionality:
Supports
arbitrary circuits

Compact
ciphertext,
independent of
circuit size

Perfect:
Encrypted
computation with
All or Nothing
Decryption

* : up to minor variations

LWE → Leakage on Partial Decryption

- Using LWE, can support all polynomial sized circuits for FE
- But only for restricted security games
 - Adversary sees limited number of queries [GVW12, GKPVZ13, AR17], restricted types of queries [GVW15], combination of these [A17]
- Attacks against scheme when adversary violates security game [A17]

Causes of Attack and Ways to Overcome them?

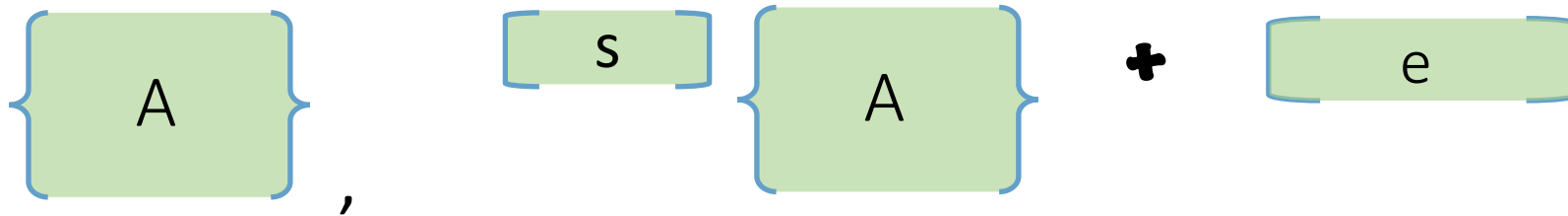
The background is a complex abstract painting. It features a dense composition of thick, expressive brushstrokes in a variety of colors including deep blue, bright red, ochre yellow, black, and white. The strokes are both curved and straight, creating a sense of dynamic movement and energy. There are also some smaller, more delicate lines and dots scattered throughout the composition. The overall effect is one of a highly textured and visually stimulating artwork.

Challenge: Leaky LWE Keys

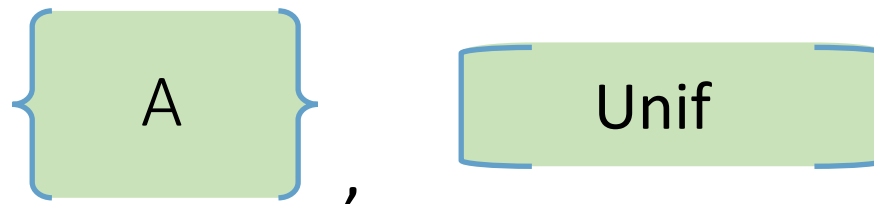
In Most LWE Based FE Constructions

Learning With Errors → Ciphertext

Distinguish “noisy inner products” from uniform



versus



In Most LWE Based FE Constructions

SIS Problem → Secret Key

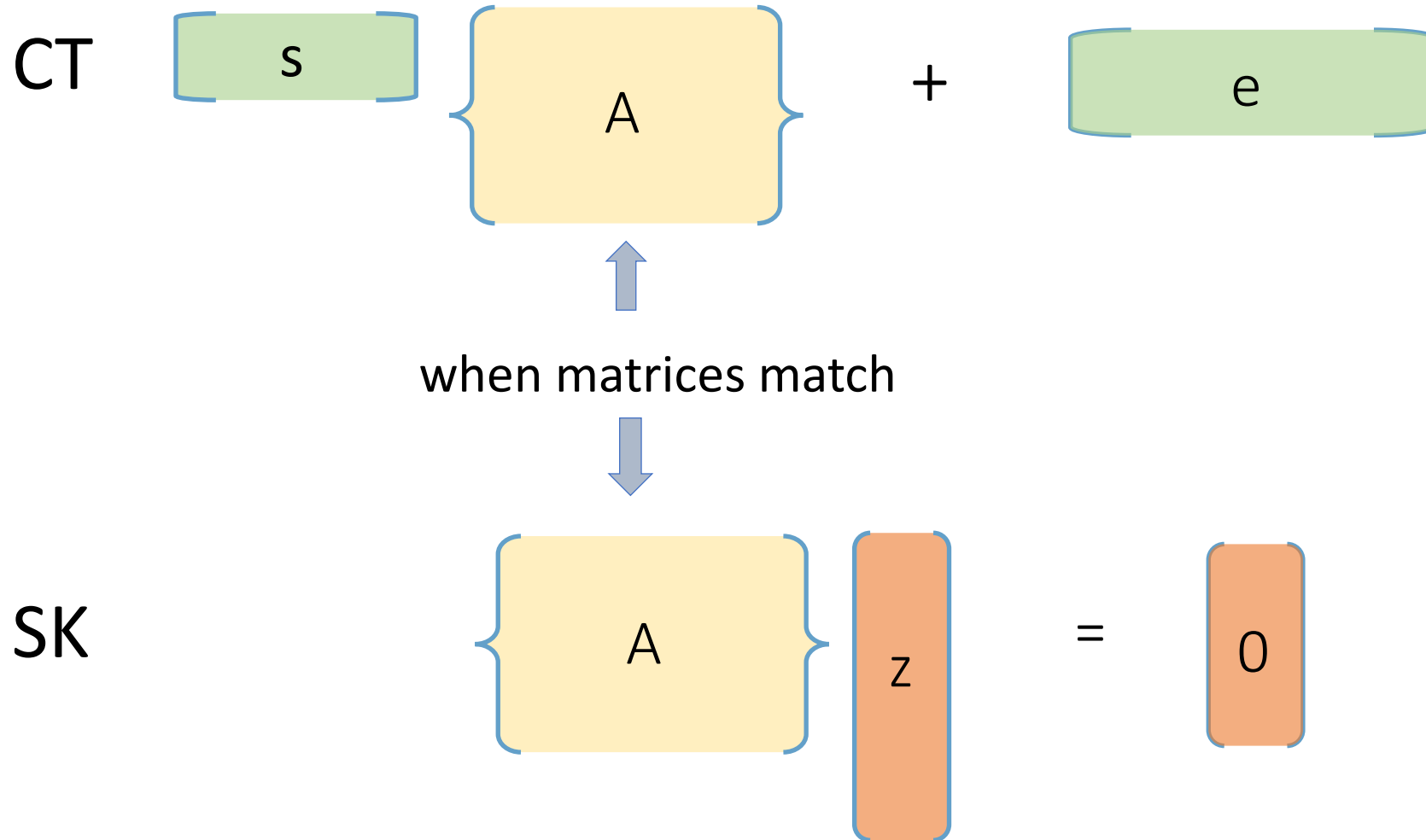
Given matrix A , find “short” integer z such that

$$Az = 0 \bmod q$$

A diagram illustrating the equation $Az = 0 \bmod q$. It consists of three orange boxes with blue outlines. The first box is a horizontal rectangle containing the letter 'A'. To its right is a vertical rectangle containing the letter 'z'. To the right of 'z' is an equals sign '='. To the right of the equals sign is another vertical rectangle containing the number '0'. To the right of the '0' box is the text 'mod q'.

Many short vectors form a **trapdoor** for A
Can be used to break LWE with matrix A

Decryption works



We need: Partial Decryption Capability

Encrypt (mpk, x):

LWE encodings
of x

$c_1 =$ A_1, x_1 $c_n =$ A_n, x_n

$c_0 =$ $A, 0$

We need: Partial Decryption Capability

BGG+14 showed homomorphic evaluation algorithms eval_{ct} and eval_{pk} such that:

Encrypt (mpk, x):

LWE encodings
of x

$$c_1 = \boxed{A_1, x_1} \quad \dots \quad c_n = \boxed{A_n, x_n}$$
$$c_0 = \boxed{A, 0}$$

1. Compute $\text{ct}^* = \text{Eval}_{\text{ct}}(c_1 \dots c_n, f)$

$$\text{ct}^* = \boxed{[A \mid A_f], f(x)}$$

1. Compute $A_f = \text{Eval}_{\text{pk}}(A_1 \dots A_n, f)$

We need: Partial Decryption Capability

BGG+14 showed homomorphic evaluation algorithms eval_{ct} and eval_{pk} such that:

Encrypt (mpk, x):

LWE encodings
of x

$$c_1 = [A_1, x_1] \quad \dots \quad c_n = [A_n, x_n]$$
$$c_0 = [A, 0]$$

Decrypt (sk_f, ct) \rightarrow f(x)

1. Compute $\text{ct}^* = \text{Eval}_{\text{ct}}(c_1 \dots c_n, f)$

$$\text{ct}^* = [A \parallel A_f] , f(x)$$

Keygen(msk, f):

1. Compute $A_f = \text{Eval}_{\text{pk}}(A_1 \dots A_n, f)$
2. Compute short vector z such that

$$\begin{bmatrix} A & A_f \end{bmatrix} \begin{bmatrix} z \end{bmatrix} = \begin{bmatrix} 0 \end{bmatrix}$$

We need: Partial Decryption Capability

BGG+14 showed homomorphic evaluation algorithms eval_{ct} and eval_{pk} such that:

Encrypt (mpk, x):

LWE encodings
of x

$$c_1 = \boxed{A_1, x_1} \quad \dots \quad c_n = \boxed{A_n, x_n}$$

$$c_0 = \boxed{A, 0}$$

Keygen(msk, f):

1. Compute $A_f = \text{Eval}_{\text{pk}}(A_1 \dots A_n, f)$
2. Compute short vector z such that

$$\left\{ \boxed{A \mid A_f} \right\} \boxed{z} = \boxed{0}$$

Decrypt (sk_f, ct) $\rightarrow f(x)$

1. Compute $\text{ct}^* = \text{Eval}_{\text{ct}}(c_1 \dots c_n, f)$

$$\text{ct}^* = \boxed{[A \mid A_f], f(x)}$$

Matrices in ct^* and key match, can
recover $f(x)$!

Catch: x is not hidden

We need: Partial Decryption Capability

GVW15 showed how to hide x in restricted security game

Encrypt (mpk, x): Use FHE to encrypt x_i
denote by \hat{x}_i

$$c_1 = \boxed{A_1, \hat{x}_1} \quad \dots\dots\dots c_n = \boxed{A_n, \hat{x}_n}$$

$$c_0 = \boxed{A, 0} \quad c_{sk} = \boxed{\text{FHE.sk}}$$

Keygen(msk, f): Let $f' = \text{FHE.Dec} \circ f$

We need: Partial Decryption Capability

GVW15 showed how to hide x in restricted security game

Encrypt (mpk, x): Use FHE to encrypt x_i
denote by \hat{x}_i

$$c_1 = [A_1, \hat{x}_1] \quad \dots \quad c_n = [A_n, \hat{x}_n]$$

$$c_0 = [A, 0] \quad c_{sk} = [FHE.sk]$$

Keygen(msk, f): Let $f' = FHE.Dec \circ f$

1. Compute $A_{f'} = Eval_{PK}(A_1 \dots A_n, f')$
2. Compute short vector z such that

$$\begin{bmatrix} A & A_{f'} \end{bmatrix} \begin{bmatrix} z \end{bmatrix} = \begin{bmatrix} 0 \end{bmatrix}$$

Decrypt (sk_f , ct) $\rightarrow f(x)$

1. Compute $ct^* = Eval_{ct}(c_1 \dots c_n, f')$

$$ct^* = [A | A_{f'}], f(x)$$

OK to reveal \hat{x}_i
Need work to argue that FHE.sk is hidden

Can be done in restricted security game,
where Adv may not request any keys such
that $f(x) = 1$

Attacks Outside Game[A17]

- Request keys for linearly dependent vectors
- Combine keys to get short vectors, **hence trapdoor** in certain lattice A^*
- Manipulate challenge CT to get LWE sample with matrix B^*
- A^* and B^* only match for keys where $f(x)=1$
- Lessons: ***Inherent vulnerability*** for “attribute hiding” scheme with this structure of keys



How do pairings help [GJS20]?

Can build FE for quadratic functions from pairings [Lin16,BCFG17,G20,Wee20]

$(\text{mpk}, \text{msk}) \leftarrow \text{Setup}(1^n)$

$\text{Encrypt}(\text{mpk}, x = (x_1 \dots x_n)):$

ct

$\text{Keygen}(\text{msk}, C = (c_{11} \dots c_{nn})):$

sk_C

$\text{Decrypt}(\text{sk}_C, \text{ct})$ outputs

$$\sum_{i,j} c_{ij} x_i x_j$$

No restrictions in the security game!

How do pairings help [GJLS20]?

- Compute $ct^* = [A|A_{f'}], f(x)$ as before using evaluation algorithm

- Looking more closely at structure of ct^* :

$$ct^* = [A|A_f]^T s + f(x) + noise$$

- Encryptor knows $(s, noise)$ and can provide Linear FE ciphertext for vector $(s, noise)$
- Key generator knows $[A|A_f]$ and can provide Linear FE key for vector $[A|A_f]^T, 1$
- Decryption recovers inner product $[A|A_f]^T s + noise$, which can be subtracted from ct^* to recover $f(x)$ (upto rounding).

Using Pairing based FE to implement Quadratic (hence Linear) FE prevents the leakage created by LWE secret keys

Doing Without Pairings?

- Linear FE exists from LWE [ABDP15, ALS16] but does not suffice : same key structure
- There are other approaches [A19, AP20], but all suffer from *unsimulatable* key structure –
 - No known attacks but do not admit proof

Challenge: Construct LWE based FE with more secure keys

The background is a complex abstract painting. It features a dense arrangement of thick, expressive brushstrokes in a variety of colors including deep blue, bright red, ochre yellow, black, and white. The composition is non-representational, with swirling lines and bold, gestural marks that create a sense of movement and energy. A central white rectangular box with a thin yellow border contains the text.

Challenge: leakage via FHE noise

Say we have secure keys...

- Pairings let us have secure keys.. are we done?
- Recall, challenge CT

$$ct^* = [A|A_f]^T s + f(x) + noise$$

- Decryption lets us get $f(x) + noise$
- Noise leaks too much information about x

Idea (AR17,A19,AJLMS19): flood noise to wipe out leakage

How to add flooding noise?

- **Problem**: Noise is too long! FE will not be compact ☹️
- **Idea**: Use PRG – use seed in encrypt, expand during decrypt
- **Problem**: Need PRG in degree 2 to use with pairings, but degree 2 PRG insecure – LV18,BBKK18,BHJKS19
- Can we **flatten the degree** of computation so *public computation is high degree and private computation low degree ($\text{deg} \leq 2$)*?
 - Idea used in FE before – GVW12, GVW15, AR17

Deep, public computation done publicly, shallow private computation, done using linear/quadratic Functional Encryption

Degree Flattening

Given: LWE encoding of input x (encoding may vary).

Want: to compute a “deep” (say NC_1) circuit f on x , to obtain an encoding of $f(x)$

Can represent deep computation f as equivalent function f' such that f' has public computation of high degree and private computation of low degree

Can build

- FE for quadratic functions from pairings [Lin16,BCFG17,G20,Wee20]
- FE for linear functions from LWE, DCR, DDH [ABDP15, ALS16]

Linear Functional Enc [ABDP15, ALS16]

$(\text{mpk}, \text{msk}) \leftarrow \text{Setup}(1^n)$

$\text{Encrypt}(\text{mpk}, x = (x_1 \dots x_n)):$

ct

$\text{Keygen}(\text{msk}, y = (y_1 \dots y_n)):$

sk_y

$\text{Decrypt}(\text{sk}_y, \text{ct})$ outputs

$$\sum_{i \in [n]} x_i y_i$$

No restrictions in the security game

More than n key requests
→ MSK leaked

Symmetric key FHE for Quadratic Polynomials [BV11a]

s: secret key

Encrypt (s, x_1, x_2):

Sample u_1, u_2 randomly in ring. Sample err_1, err_2 .

Compute :

$$c_1 = u_1 s + err_1 + x_1$$

$$c_2 = u_2 s + err_2 + x_2$$

Evaluate ($c_1, c_2, f = x_1 x_2$):

Want: Use c_1, c_2 to compute product ciphertext c_{12}
that encrypts $x_1 x_2$

FHE Evaluation

We may write:

$$x_1 \approx c_1 - u_1 s$$

$$x_2 \approx c_2 - u_2 s$$

$$\therefore x_1 x_2 \approx c_1 c_2 - (c_1 u_2 + c_2 u_1) s + u_1 u_2 s^2$$

$$\text{Let } c^{\text{mult}} = (c_1 c_2, \quad c_1 u_2 + c_2 u_1, \quad u_1 u_2)$$

$$\text{Decryption } x_1 x_2 \approx \langle (c_1 c_2, (c_1 u_2 + c_2 u_1), u_1 u_2) ; (1, -s, s^2) \rangle$$

Degree Flattening [AR17]

- Recall FHE decryption equation:

$$x_1 x_2 \approx c_1 c_2 - (c_1 u_2 + c_2 u_1) s + u_1 u_2 s^2$$

- What if we group the terms differently?

$$\therefore x_1 x_2 \approx c_1 c_2 - ($$

Known to
encryptor

+

Known to
Key
Generator

Decryption

$$x_1 x_2 \approx c_1 c_2 + \langle (c_1 s, c_2 s, s^2); (-u_2, -u_1, u_1 u_2) \rangle$$

Degree Flattening [AR17]

Encryptor provides c_1, \dots, c_n as well as **Linear FE** encryption of vector
 $(c_1s, c_2s, \dots, c_ns, s^2)$

Key Generator provides **Linear FE** key for vector
 $(-u_2, -u_1, 0, \dots, 0, u_1u_2)$

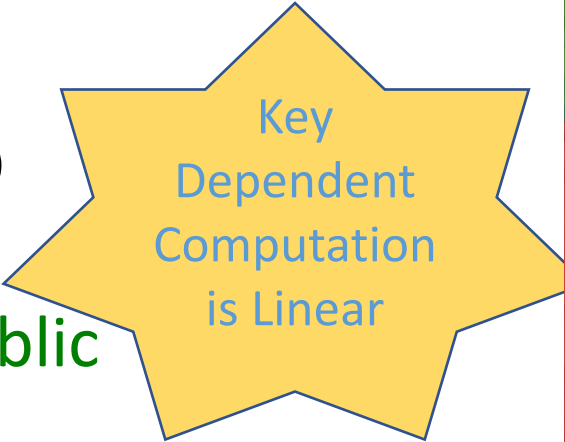
Computing c_1c_2 herself, decryptor can recover :

$$x_1x_2 \approx c_1c_2 - u_2(c_1s) - u_1(c_2s) + u_1u_2(s^2)$$

Key Insight: Quadratic terms are $c_i c_j$ which are public
Only $2n$ ciphertexts instead of n^2



Deep
Computation
is on public
encodings



Key
Dependent
Computation
is Linear

Can be generalized to NC_1 [AR17]

Use to generate noise?

- Last slide: Degree reduction to linear (LWE/DDH...)
 - Adversary sees exact linear equations in secrets
 - Too much leakage!
- GJLMS19: Degree reduction to quadratic (pairings)
 - Adversary sees quadratic equations in secrets
 - May be secure (aka MQ assumption for some distribution)
 - “Weak LWE with Leakage”
- JLS21: Use LPN (!!) to resolve leakage

Open #3: Quadratic FE from LWE?



Summary: Three Nuggets for Thought

How to
Strengthen
LWE keys

How to
generate
smudging
noise?

Can we
leverage fact
that noise can
be only
approximately
correct?

Open Problems

- Replace pairings with some **weaker structure** that can be built from LWE?
- New, **simpler, plausible assumptions from lattices**? Chart territory between LWE and multilinear map assumptions?
- **Improve** lattice based multilinear maps or *iO*?
- Build **post quantum FE** and base applications on this?
- Use **LWE for applications of *iO*** ? Eg. Deniable Encryption.



Thank You

Images Credit:
Hans Hoffman
Jackson Pollock