

# OPERATING SYSTEMS

## CS3500 – CHAP - 2.

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<https://sites.google.com/smail.iitm.ac.in/3500-os/>

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# OPERATING-SYSTEM SERVICES

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# OUTLINE

- Operating System Services
- User and Operating System-Interface
- System Calls
- Linkers and Loaders
- Why Applications are Operating System Specific
- Design and Implementation

# OPERATING SYSTEM SERVICES

- Operating systems provide an environment for execution of programs and services to programs and users
- One set of operating-system services provides functions that are helpful to the user:
  - **User interface** - Almost all operating systems have a user interface (**UI**).
    - Varies between **Command-Line (CLI)**, **Graphics User Interface (GUI)**, **touch-screen**, **Batch**
  - **Program execution** - The system must be able to load a program into memory and to run that program, end execution, either normally or abnormally (indicating error)
  - **I/O operations** - A running program may require I/O, which may involve a file or an I/O device
  - **File-system manipulation** - The file system is of particular interest. Programs need to read and write files and directories, create and delete them, search them, list file Information, permission management.

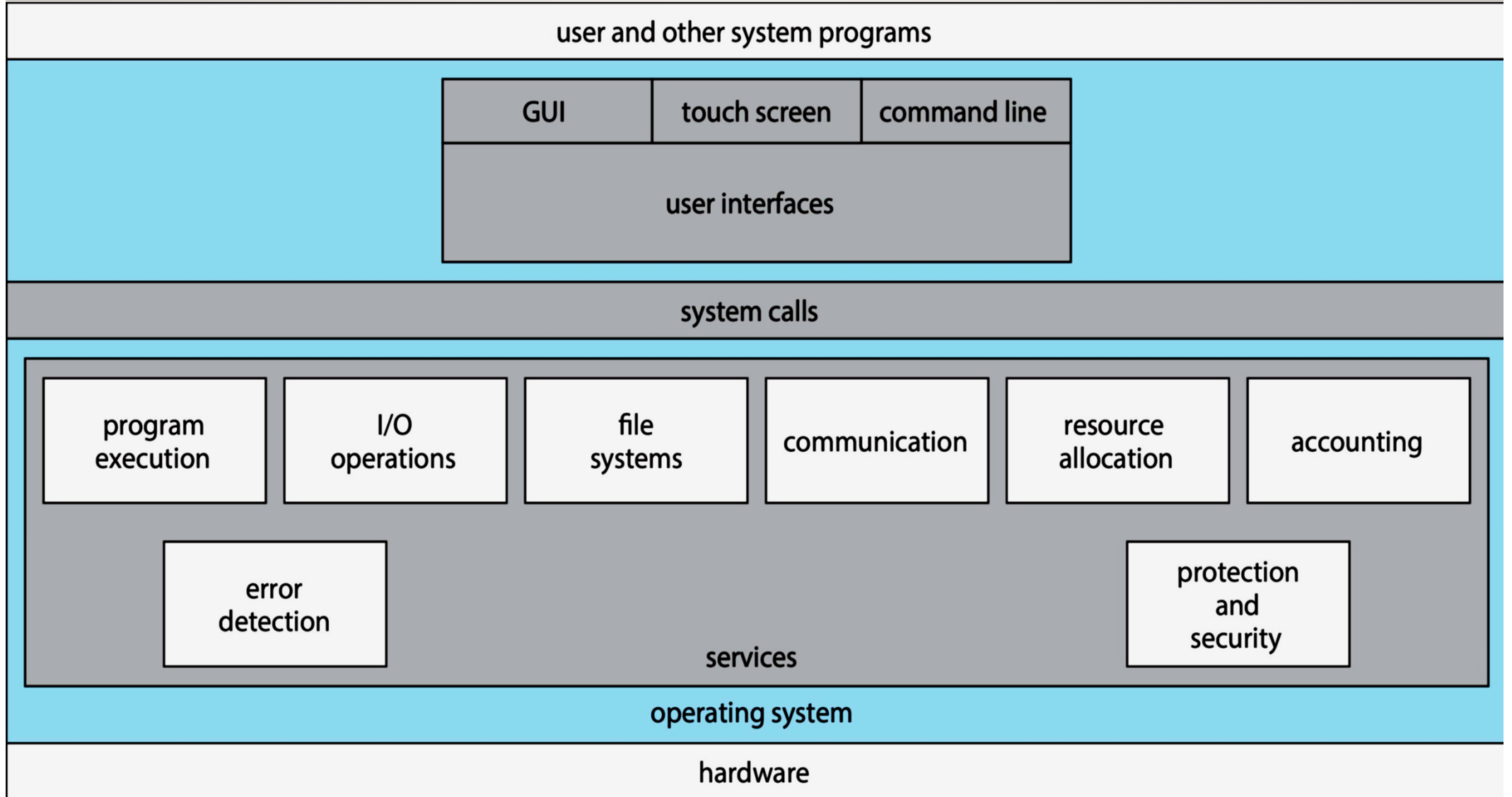
# OPERATING SYSTEM SERVICES (CONT.)

- One set of operating-system services provides functions that are helpful to the user (Cont.):
  - **Communications** – Processes may exchange information, on the same computer or between computers over a network
    - Communications may be via shared memory or through message passing (packets moved by the OS)
  - **Error detection** – OS needs to be constantly aware of possible errors
    - May occur in the CPU and memory hardware, in I/O devices, in user program
    - For each type of error, OS should take the appropriate action to ensure correct and consistent computing
    - Debugging facilities can greatly enhance the user's and programmer's abilities to efficiently use the system

# OPERATING SYSTEM SERVICES (CONT.)

- Another set of OS functions exists for ensuring the efficient operation of the system itself via resource sharing
  - **Resource allocation** - When multiple users or multiple jobs running concurrently, resources must be allocated to each of them
    - Many types of resources - CPU cycles, main memory, file storage, I/O devices.
  - **Logging** - To keep track of which users use how much and what kinds of computer resources
  - **Protection and security** - The owners of information stored in a multiuser or networked computer system may want to control use of that information, concurrent processes should not interfere with each other
    - **Protection** involves ensuring that all access to system resources is controlled
    - **Security** of the system from outsiders requires user authentication, extends to defending external I/O devices from invalid access attempts

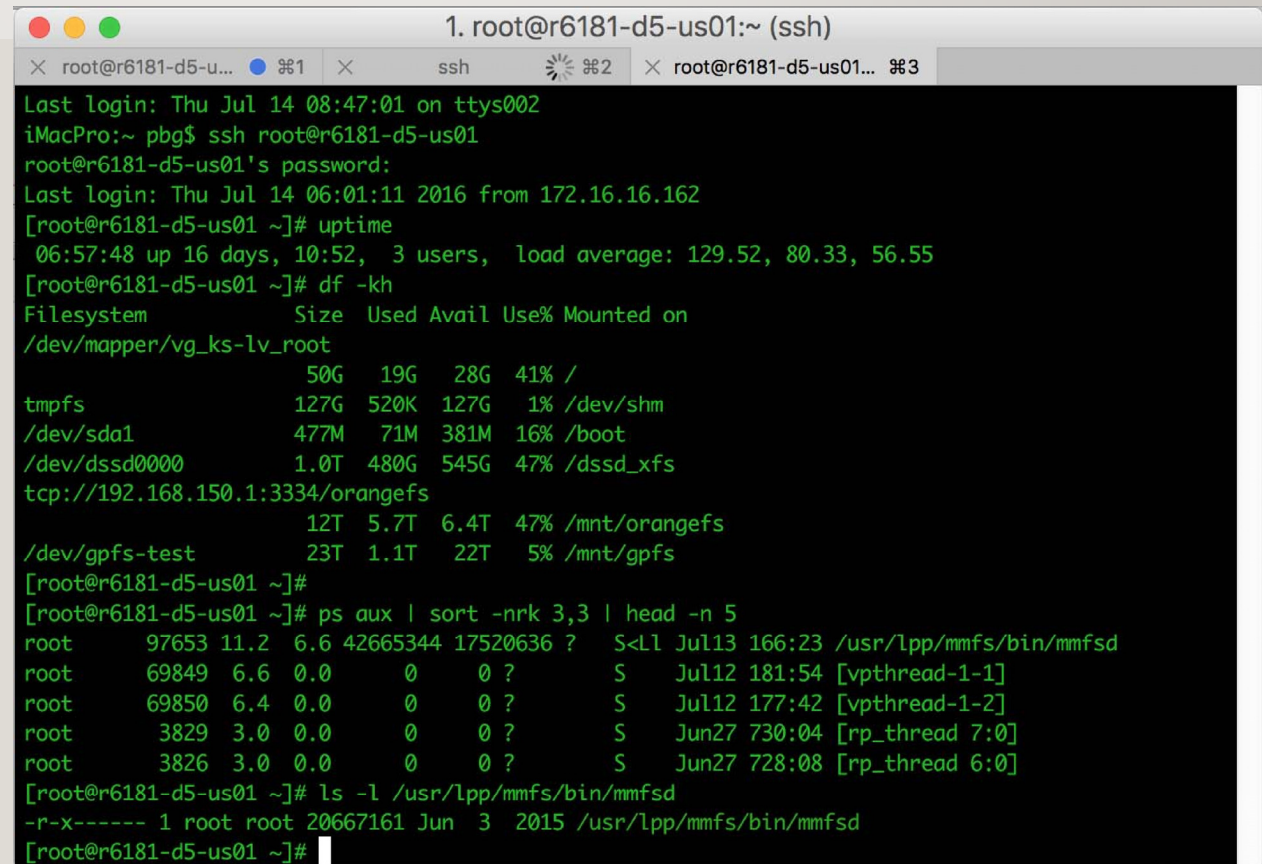
# A VIEW OF OPERATING SYSTEM SERVICES



# COMMAND LINE INTERPRETER

## Bourne Shell Command Interpreter

- CLI allows direct command entry
- Sometimes implemented in kernel, sometimes by systems program
- Sometimes multiple flavors implemented – **shells**
- Primarily fetches a command from user and executes it
- Sometimes commands built-in, sometimes just names of programs
  - If the latter, adding new features doesn't require shell modification



```
1. root@r6181-d5-us01:~ (ssh)
root@r6181-d5-u... ㉿1  ssh ㉿2  root@r6181-d5-us01... ㉿3
Last login: Thu Jul 14 08:47:01 on ttys002
iMacPro:~ pbg$ ssh root@r6181-d5-us01
root@r6181-d5-us01's password:
Last login: Thu Jul 14 06:01:11 2016 from 172.16.16.162
[root@r6181-d5-us01 ~]# uptime
 06:57:48 up 16 days, 10:52,  3 users,  load average: 129.52, 80.33, 56.55
[root@r6181-d5-us01 ~]# df -kh
Filesystem      Size  Used Avail Use% Mounted on
/dev/mapper/vg_ks-lv_root
                  50G   19G   28G   41% /
tmpfs            127G  520K  127G   1% /dev/shm
/dev/sda1        477M   71M  381M  16% /boot
/dev/dssd0000    1.0T  480G  545G  47% /dssd_xfs
tcp://192.168.150.1:3334/orangefs
                  12T   5.7T   6.4T  47% /mnt/orangefs
/dev/gpfs-test   23T   1.1T   22T   5% /mnt/gpfs
[root@r6181-d5-us01 ~]#
[root@r6181-d5-us01 ~]# ps aux | sort -nrk 3,3 | head -n 5
root    97653 11.2  6.6 42665344 17520636 ?    S<Ll  Jul13 166:23 /usr/lpp/mmfs/bin/mmfdsd
root    69849  6.6  0.0    0    0 ?    S    Jul12 181:54 [vpthread-1-1]
root    69850  6.4  0.0    0    0 ?    S    Jul12 177:42 [vpthread-1-2]
root    3829   3.0  0.0    0    0 ?    S    Jun27 730:04 [rp_thread 7:0]
root    3826   3.0  0.0    0    0 ?    S    Jun27 728:08 [rp_thread 6:0]
[root@r6181-d5-us01 ~]# ls -l /usr/lpp/mmfs/bin/mmfdsd
-r-x----- 1 root root 20667161 Jun  3 2015 /usr/lpp/mmfs/bin/mmfdsd
[root@r6181-d5-us01 ~]#
```



```
osta@osta-VirtualBox:~$ uptime
 15:52:12 up 29 min,  2 users,  load average: 0.08, 0.30, 0.33
osta@osta-VirtualBox:~$ df -kh
Filesystem      Size  Used Avail Use% Mounted on
udev            3.9G   0    3.9G   0% /dev
tmpfs           796M  1.6M  794M   1% /run
/dev/sda5       50G   8.8G   39G  19% /
tmpfs           3.9G   0    3.9G   0% /dev/shm
tmpfs           5.0M  4.0K  5.0M   1% /run/lock
tmpfs           3.9G   0    3.9G   0% /sys/fs/cgroup
/dev/loop1     128K  128K   0 100% /snap/bare/5
osta@osta-VirtualBox:~$ ps aux | sort -nrk 3,3 | head -n 5
osta      4457  3.7  3.7 3655680 303392 ?        Ssl  15:48   0:09 /usr/bin/gnome-shell
meena     2782  2.1  4.0 3688244 333404 ?        Ssl  15:29   0:30 /usr/bin/gnome-shell
osta      4287  1.2  0.8 254984 72040 tty3    Sl+  15:48   0:03 /usr/lib/xorg/Xorg v
t3 -displayfd 3 -auth /run/user/1000/gdm/Xauthority -background none -noreset -keeptty
-verbose 3
osta      4753  0.8  0.6 814616 51284 ?        Ssl  15:48   0:01 /usr/libexec/gnome-t
erminal-server
osta      4481  0.6  0.4 278020 33576 ?        Sl   15:48   0:01 /usr/libexec/ibus-ex
tension-gtk3
osta@osta-VirtualBox:~$ ls -l /usr/bin/gnome-shell
-rwxr-xr-x 1 root root 23168 May 19  2021 /usr/bin/gnome-shell
osta@osta-VirtualBox:~$ █
```

## **df -kh**

**df** : used to display information related to file systems about total space and available space

The '-h' option displays the disc space in human-readable format. It will display the size in powers of 1024, appending G for gigabytes, M for megabytes, and B for bytes.

-k: equivalent to --block-size=1K ; scale sizes by SIZE here 1K before printing them.

## **ps aux:**

To monitor processes running on your Linux system.

## **sort -nrk 3,3**

-n : to sort according to string numerical value

-r : reverses your results

-k : to sort according to particular columns

## **head -n 5**

show the specified number of lines from the output

**ls -l** : The -l option signifies the long list format ; displays the file permissions, the number of links, owner name, owner group, file size, time of last modification, and the file or directory name

## COMMON UBUNTU Commands

**cat**

**lp**

**ls**

**df**

**sudo**

**firewall-cmd**

**dig/nslookup**

**chmod, chown**

**id, ip, du, lsof, netstat**

**top, env, ps, grep, tail, curl, dm**

**find, awk, traceroute, tar, history, sestatus**

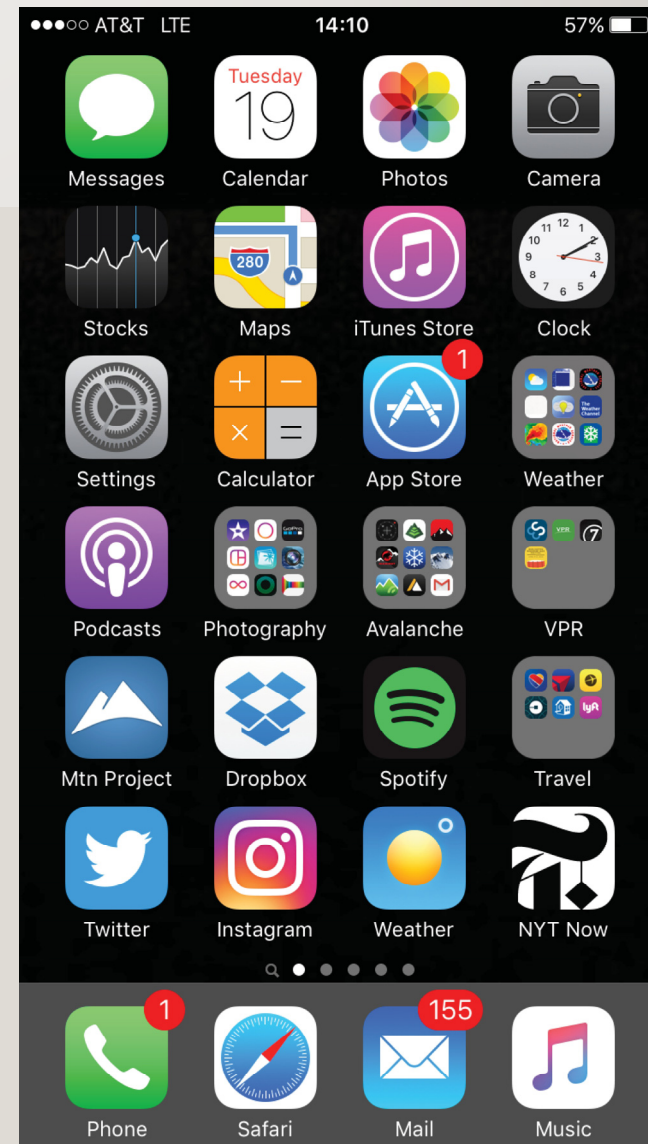
**rsync, strace, tac, rev, sed, awk, cut, watch, diff**

# USER OPERATING SYSTEM INTERFACE - GUI

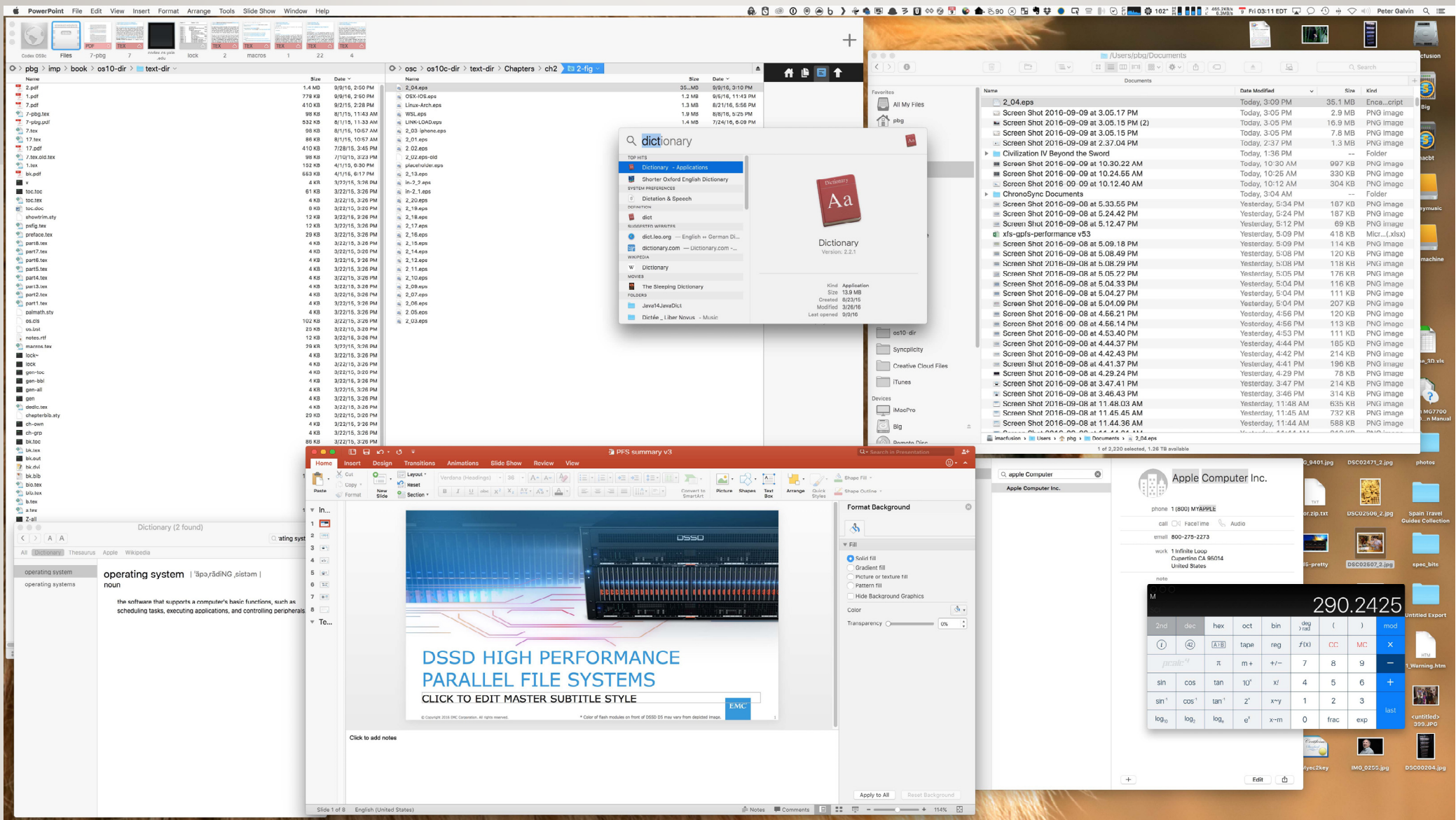
- User-friendly **desktop** metaphor interface
  - Usually mouse, keyboard, and monitor
  - **Icons** represent files, programs, actions, etc
  - Various mouse buttons over objects in the interface cause various actions (provide information, options, execute function, open directory (known as a **folder**))
  - Invented at Xerox PARC
- Many systems now include both CLI and GUI interfaces
  - Microsoft Windows is GUI with CLI “command” shell
  - Apple Mac OS X is “Aqua” GUI interface with UNIX kernel underneath and shells available
  - Unix and Linux have CLI with optional GUI interfaces (CDE, KDE, GNOME)

# TOUCHSCREEN INTERFACES

- Touchscreen devices require new interfaces
  - Mouse not possible or not desired
  - Actions and selection based on gestures
  - Virtual keyboard for text entry
- Voice commands



# THE MAC OS X GUI



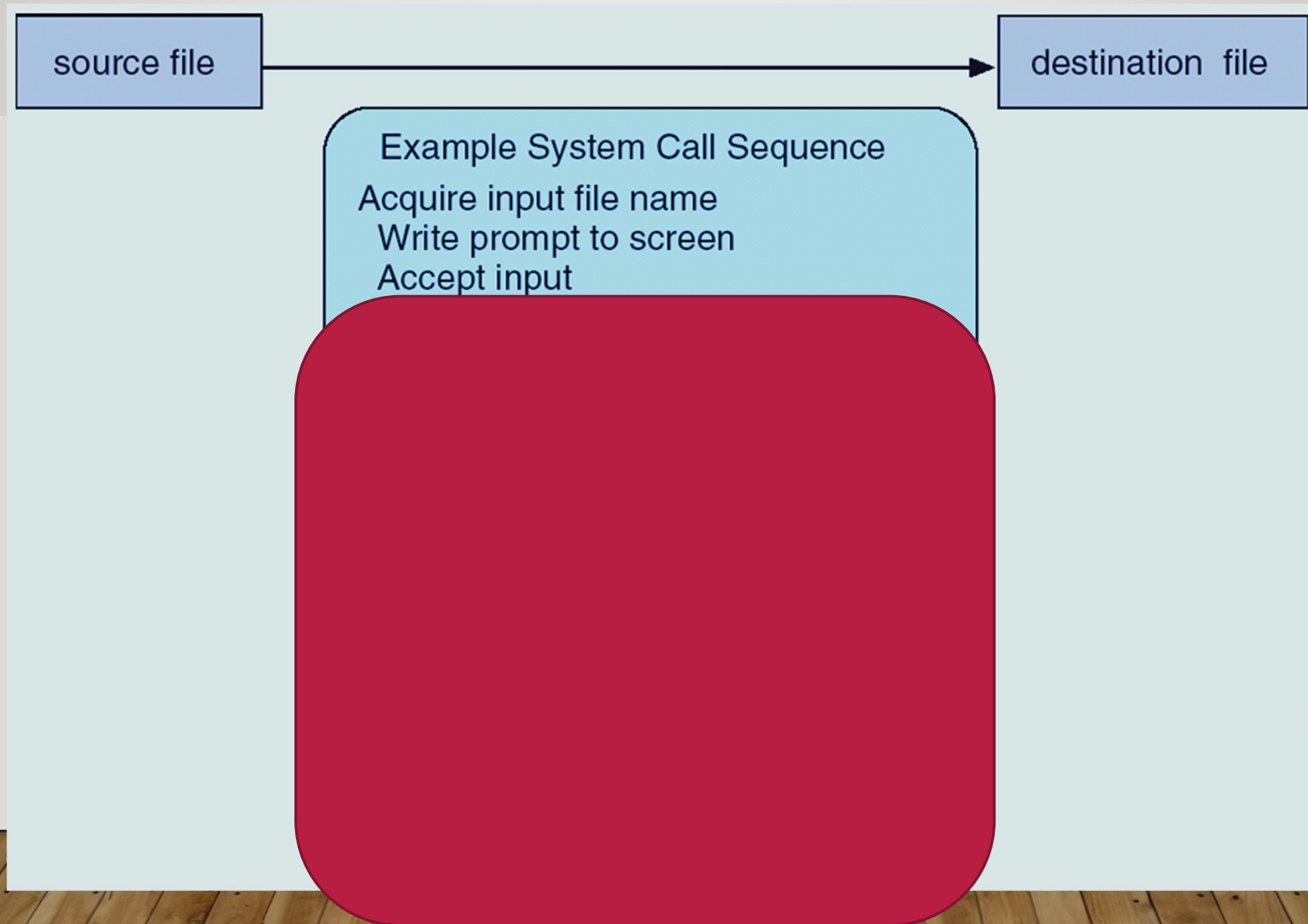
# SYSTEM CALLS

- Programming interface to the services provided by the OS
- Typically written in a high-level language (C or C++)
- Mostly accessed by programs via a high-level **Application Programming Interface (API)** rather than direct system call use
- Three most common APIs are Win32 API for Windows, POSIX API for POSIX-based systems (including virtually all versions of UNIX, Linux, and Mac OS X), and Java API for the Java virtual machine (JVM)

\*Note that the system-call names used throughout this text are generic

# EXAMPLE OF SYSTEM CALLS

- System call sequence to copy the contents of one file to another file





# EXAMPLE OF STANDARD API

## *EXAMPLE OF STANDARD API*

As an example of a standard API, consider the `read()` function that is available in UNIX and Linux systems. The API for this function is obtained from the man page by invoking the command

```
man read
```

on the command line. A description of this API appears below:

```
#include <unistd.h>

ssize_t read(int fd, void *buf, size_t count)
```

return value	function name	parameters
-----------------	------------------	------------

A program that uses the `read()` function must include the `unistd.h` header file, as this file defines the `ssize_t` and `size_t` data types (among other things). The parameters passed to `read()` are as follows:

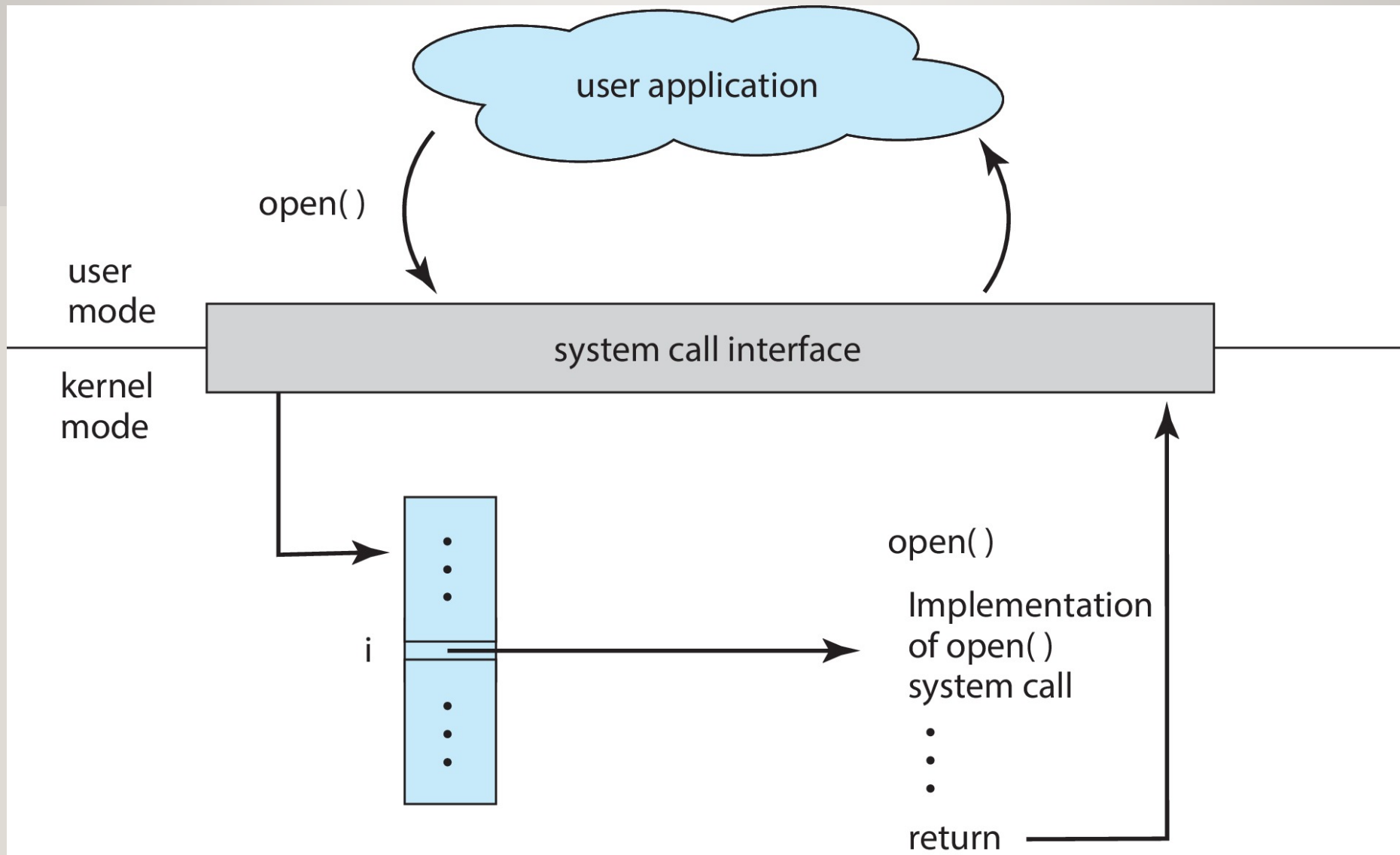
- `int fd`—the file descriptor to be read
- `void *buf`—a buffer into which the data will be read
- `size_t count`—the maximum number of bytes to be read into the buffer

On a successful read, the number of bytes read is returned. A return value of 0 indicates end of file. If an error occurs, `read()` returns `-1`.

# SYSTEM CALL IMPLEMENTATION

- Typically, a number is associated with each system call
  - **System-call interface** maintains a table indexed according to these numbers
- The system call interface invokes the intended system call in OS kernel and returns status of the system call and any return values
- The caller need know nothing about how the system call is implemented
  - Just needs to obey API and understand what OS will do as a result call
  - Most details of OS interface hidden (eg encapsulation in object class) from programmer by API
    - Managed by run-time support library (set of functions built into libraries included with compiler)

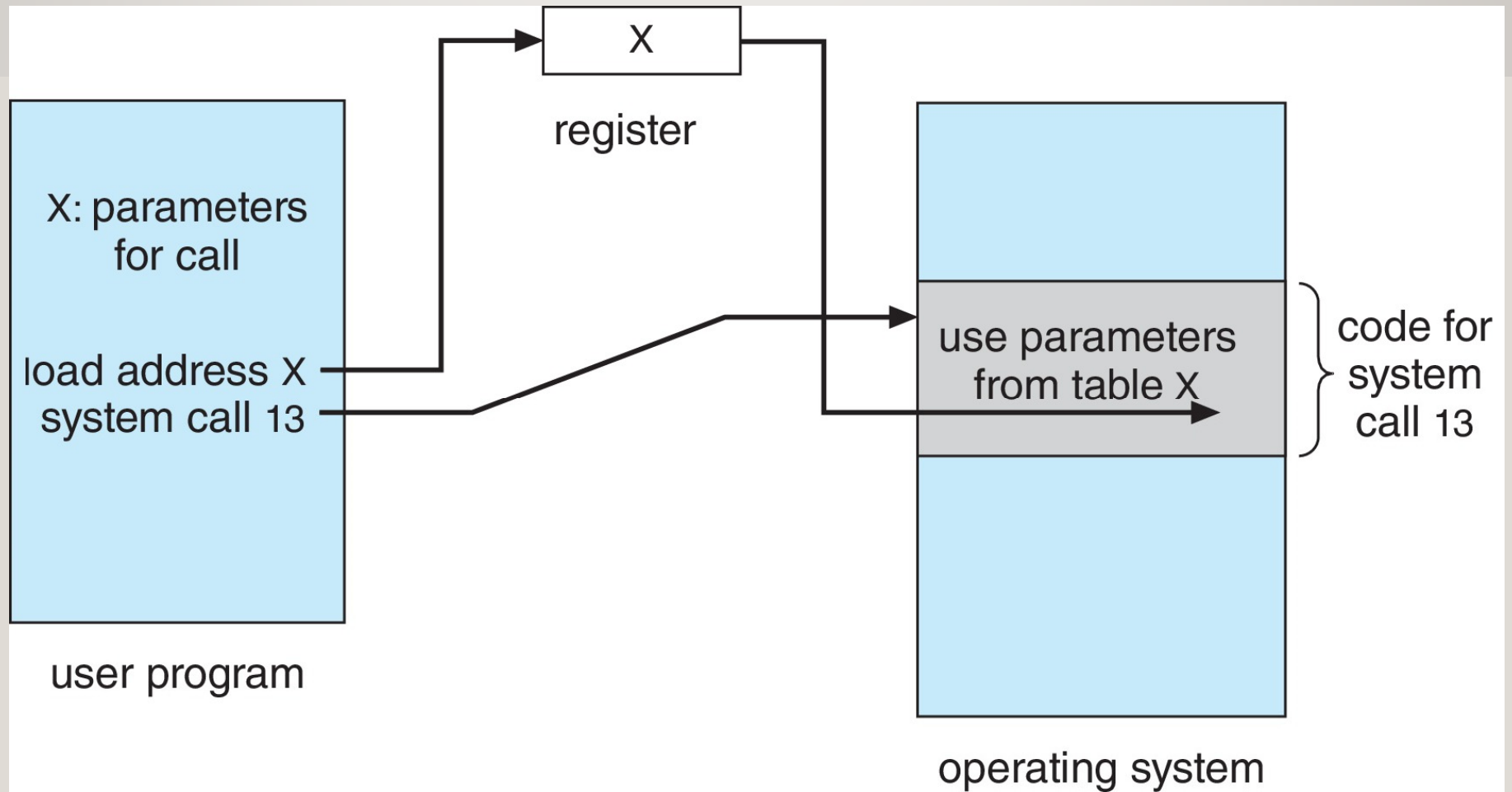
# API - SYSTEM CALL - OS RELATIONSHIP



# SYSTEM CALL PARAMETER PASSING

- Often, more information is required than simply identity of desired system call
  - Exact type and amount of information vary according to OS and call
- Three general methods used to pass parameters to the OS
  - Simplest: pass the parameters in registers
    - In some cases, may be more parameters than registers
  - Parameters stored in a block, or table, in memory, and address of block passed as a parameter in a register
    - This approach taken by Linux and Solaris
  - Parameters placed, or **pushed**, onto the **stack** by the program and **popped** off the stack by the operating system
  - Block and stack methods do not limit the number or length of parameters being passed

# PARAMETER PASSING VIA TABLE



# TYPES OF SYSTEM CALLS

- **Process control**

- create process, terminate process
- end, abort
- load, execute
- get process attributes, set process attributes
- wait for time
- wait event, signal event
- allocate and free memory
- Dump memory if error
- **Debugger** for determining **bugs**, **single step** execution
- **Locks** for managing access to shared data between processes

- **File management**

- create file, delete file
- open, close file
- read, write, reposition
- get and set file attributes

- **Device management**

- request device, release device
- read, write, reposition
- get device attributes, set device attributes
- logically attach or detach devices

# TYPES OF SYSTEM CALLS (CONT.)

- **Information maintenance**

- get time or date, set time or date
- get system data, set system data
- get and set process, file, or device attributes

- **Protection**

- Control access to resources
- Get and set permissions
- Allow and deny user access

- **Communications**

- create, delete communication connection
- send, receive messages if **message passing model** to **host name** or **process name**
  - From **client** to **server**
- **Shared-memory model**  
create and gain access to memory regions
- transfer status information
- attach and detach remote devices

# EXAMPLES OF WINDOWS AND UNIX SYSTEM CALLS

## EXAMPLES OF WINDOWS AND UNIX SYSTEM CALLS

The following illustrates various equivalent system calls for Windows and UNIX operating systems.

	Windows	Unix
<b>Process control</b>	CreateProcess() ExitProcess() WaitForSingleObject()	fork() exit() wait()
<b>File management</b>	CreateFile() ReadFile() WriteFile() CloseHandle()	open() read() write() close()
<b>Device management</b>	SetConsoleMode() ReadConsole() WriteConsole()	ioctl() read() write()
<b>Information maintenance</b>	GetCurrentProcessID() SetTimer() Sleep()	getpid() alarm() sleep()
<b>Communications</b>	CreatePipe() CreateFileMapping() MapViewOfFile()	pipe() shm_open() mmap()
<b>Protection</b>	SetFileSecurity() InitializeSecurityDescriptor() SetSecurityDescriptorGroup()	chmod() umask() chown()

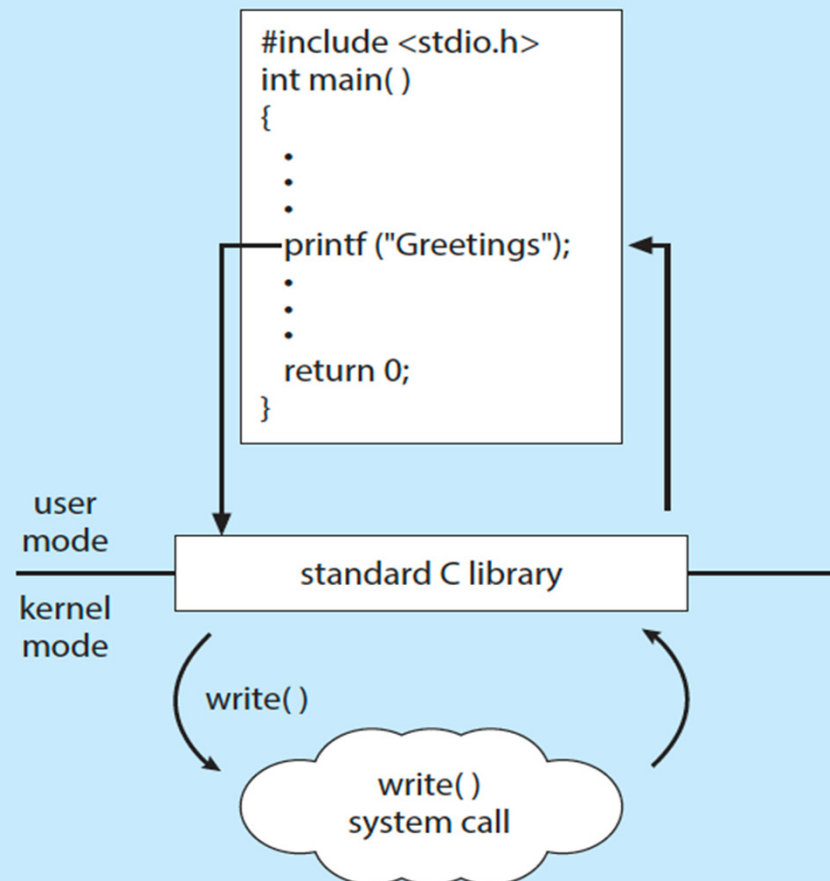


# STANDARD C LIBRARY EXAMPLE

- C program invoking `printf()` library call, which calls `write()` system call

## *THE STANDARD C LIBRARY*

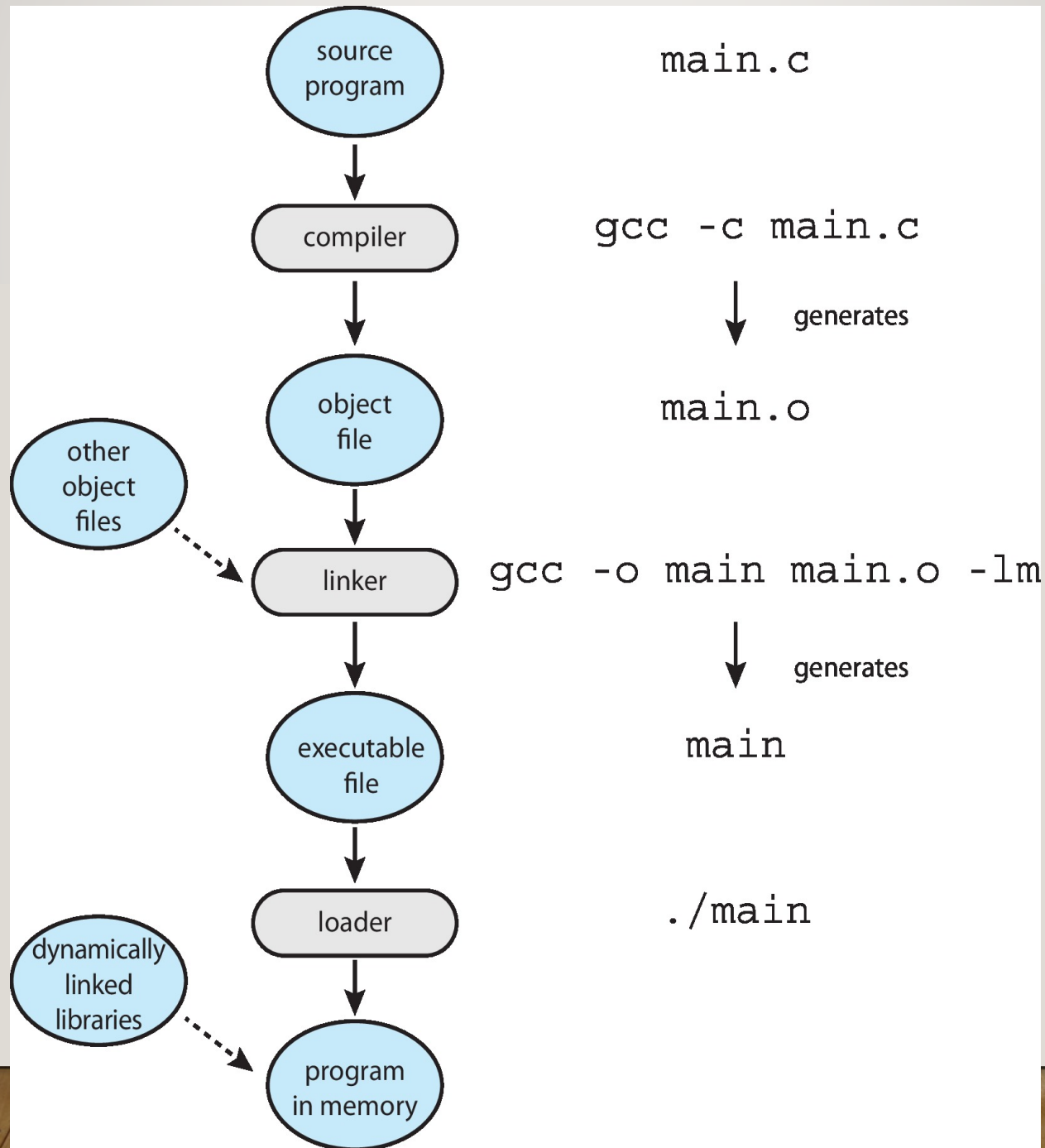
The standard C library provides a portion of the system-call interface for many versions of UNIX and Linux. As an example, let's assume a C program invokes the `printf()` statement. The C library intercepts this call and invokes the necessary system call (or calls) in the operating system—in this instance, the `write()` system call. The C library takes the value returned by `write()` and passes it back to the user program:



# LINKERS AND LOADERS

- Source code compiled into object files designed to be loaded into any physical memory location – **relocatable object file**
- **Linker** combines these into single binary **executable** file
  - Also brings in libraries
- Program resides on secondary storage as binary executable
- Must be brought into memory by **loader** to be executed
  - **Relocation** assigns final addresses to program parts and adjusts code and data in program to match those addresses
- Modern general purpose systems don't link libraries into executables
  - Rather, **dynamically linked libraries** (in Windows, **DLLs**) are loaded as needed, shared by all that use the same version of that same library (loaded once)
- Object, executable files have standard formats, so operating system knows how to load and start them

# THE ROLE OF THE LINKER AND LOADER



# WHY APPLICATIONS ARE OPERATING SYSTEM SPECIFIC

- Apps compiled on one system usually not executable on other operating systems
- Each operating system provides its own unique system calls
  - Own file formats, etc.
- Apps can be multi-operating system
  - Written in interpreted language like Python, Ruby, and interpreter available on multiple operating systems
  - App written in language that includes a VM containing the running app (like Java)
  - Use standard language (like C), compile separately on each operating system to run on each
- **Application Binary Interface (ABI)** is architecture equivalent of API, defines how different components of binary code can interface for a given operating system on a given architecture, CPU, etc.

# DESIGN AND IMPLEMENTATION

- Design and Implementation of OS is not “solvable”, but some approaches have proven successful
- Internal structure of different Operating Systems can vary widely
- Start the design by defining goals and specifications
- Affected by choice of hardware, type of system
- **User** goals and **System** goals
  - User goals – operating system should be convenient to use, easy to learn, reliable, safe, and fast
  - System goals – operating system should be easy to design, implement, and maintain, as well as flexible, reliable, error-free, and efficient
- Specifying and designing an OS is highly creative task of **software engineering**

# IMPLEMENTATION

- Much variation
  - Early OSes in assembly language
  - Then system programming languages like Algol, PL/I
  - Now C, C++
- Actually usually a mix of languages
  - Lowest levels in assembly
  - Main body in C
  - Systems programs in C, C++, scripting languages like PERL, Python, shell scripts
- More high-level language easier to **port** to other hardware
  - But slower
- **Emulation** can allow an OS to run on non-native hardware

