

# Code Generation

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IIT Madras  
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# Frontend

Character stream

**Lexical Analyzer**

Token stream

**Syntax Analyzer**

Syntax tree

**Semantic Analyzer**

Syntax tree

**Intermediate  
Code Generator**

Intermediate representation

**Machine-Independent  
Code Optimizer**

Intermediate representation

**Code Generator**

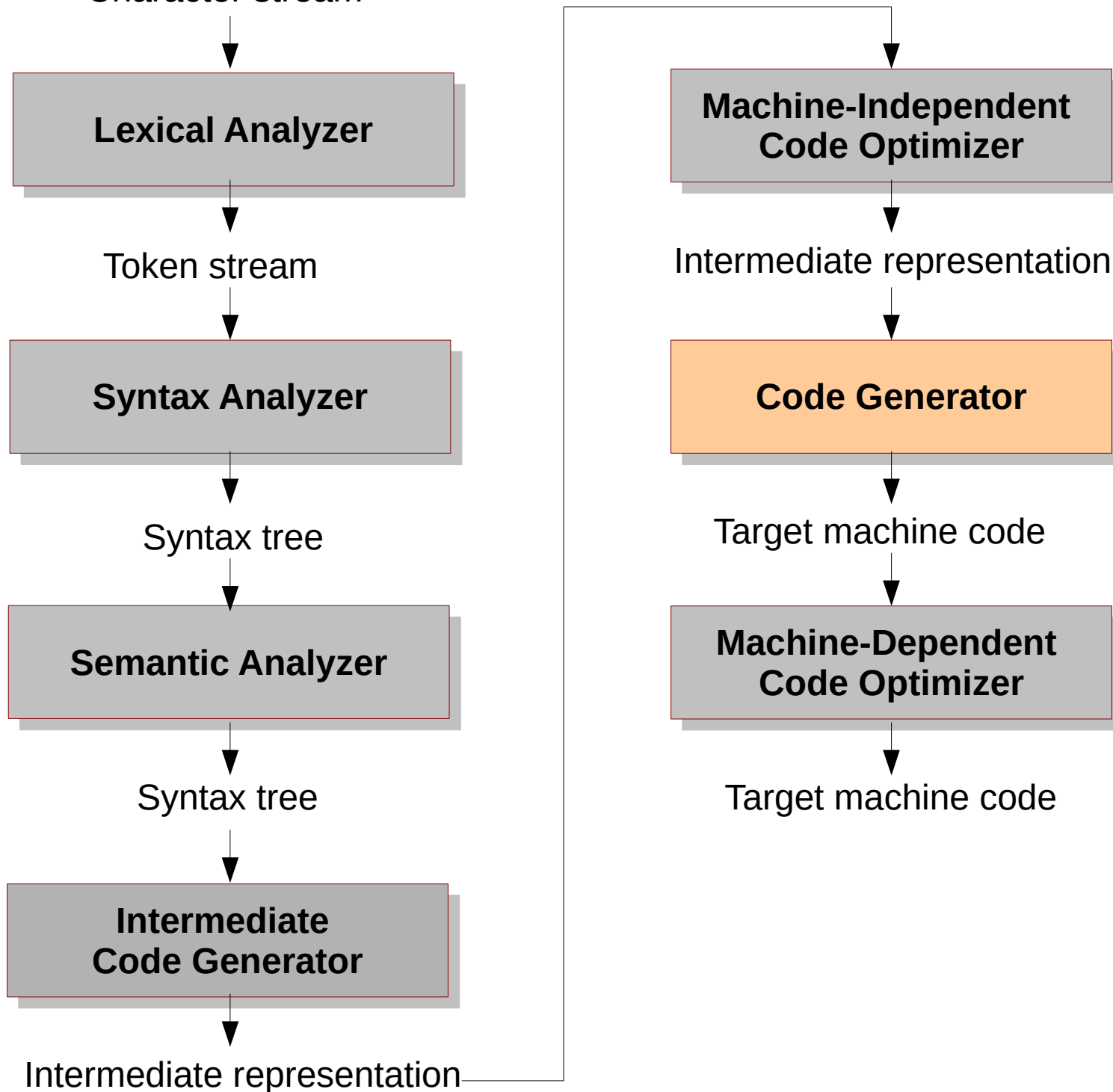
Target machine code

**Machine-Dependent  
Code Optimizer**

Target machine code

# Backend

**Symbol  
Table**



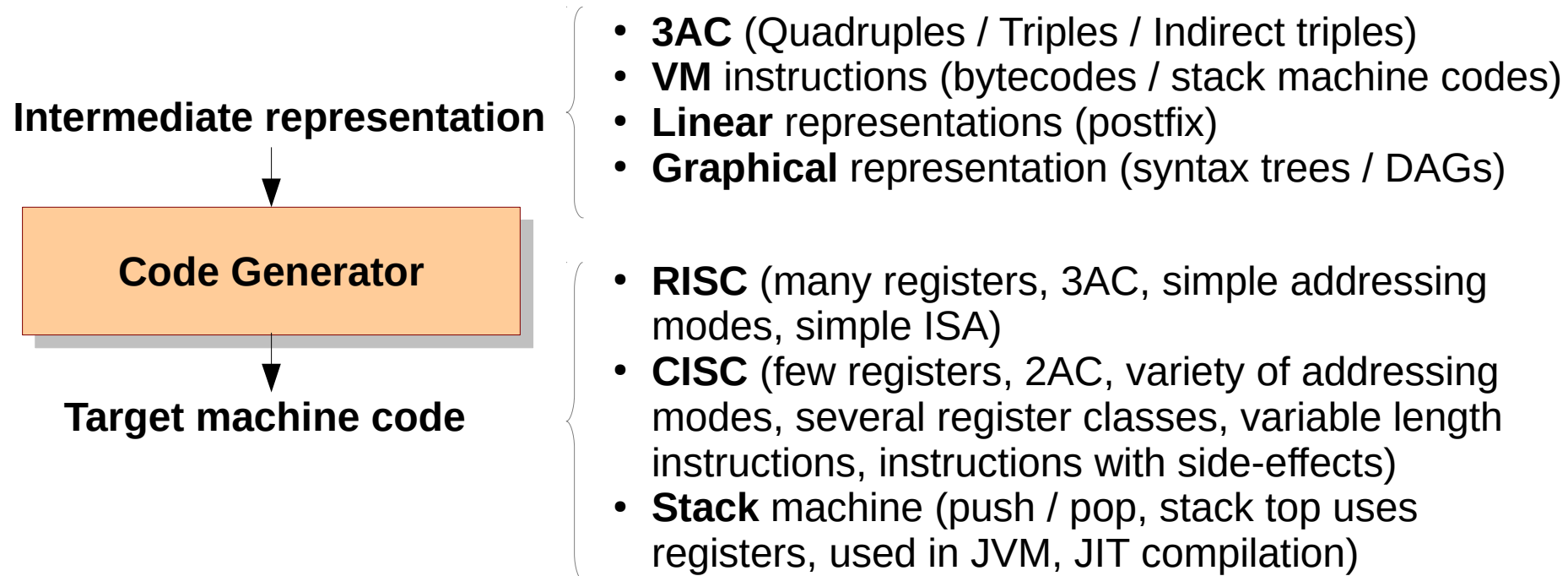
# Role of Code Generator

- From IR to target program.
- Must preserve the semantics of the source program.
  - Meaning *intended* by the programmer in the original source program should carry forward in each compilation stage until code-generation.
- Target code should be of high quality
  - execution time or space or energy or ...
- Code generator itself should run efficiently.
  - 1 instruction selection,
  - 2 register allocation and
  - 3 instruction ordering.

# Code Generator in Reality

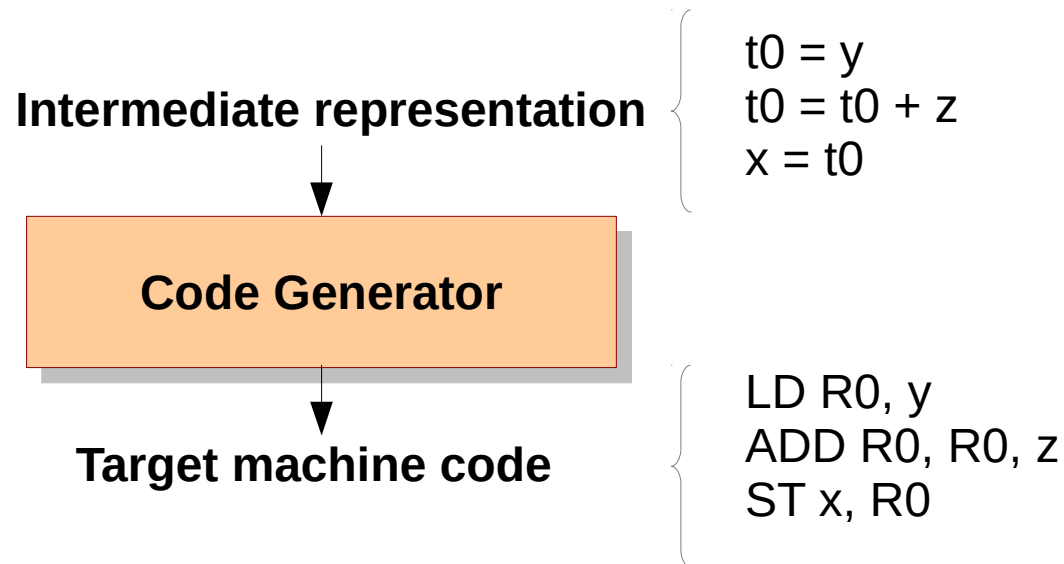
- The problem of generating an optimal target program is undecidable.
- Several subproblems are NP-Hard (such as register allocation).
- Need to depend upon
  - Approximation algorithms
  - Heuristics
  - Conservative estimates

# Input + Output



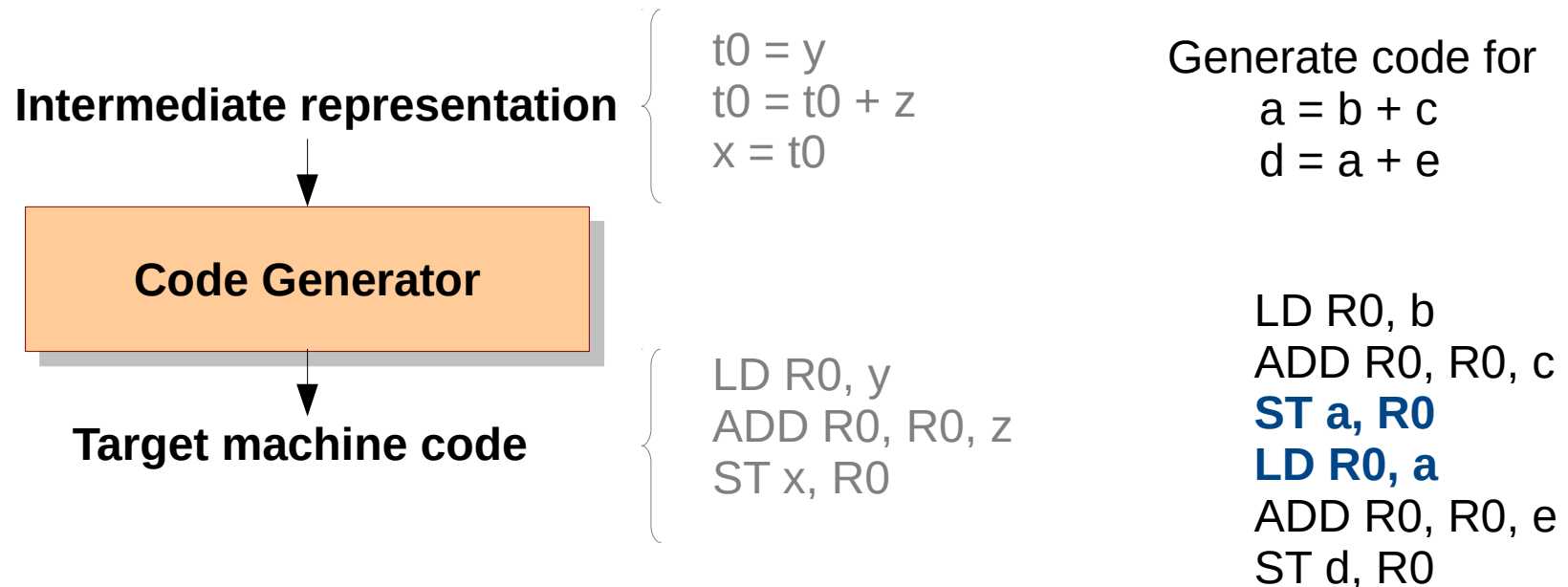
**It helps to assume an assembler.** Imagine if in A3 you had to generate machine code and manipulate bits rather than generating x86 assembly.

# IR and Target Code



**What is the issue with this kind of code generation?**

# IR and Target Code



# 1 Instruction Selection

- Complexity of instruction selection depends upon

- Level of the IR

Low-level IR can help generate more efficient code.

e.g., *intsize* versus 4.

- Nature of the ISA

Uniformity and completeness of ISA affects the code.

e.g., floats required to be loaded in special registers.

- Desired quality of the generated code

Context and amount of information to process affects the code quality.

e.g., *INC a* versus *LD R0, a; ADD R0, R0, #1; ST a, R0*



## 2

# Register Allocation

- Register allocation involves
  - *Allocation*: which variables to be put into registers
  - *Assignment*: which register to use for a variable
- Finding an optimal assignment of registers to variables is NP-Complete.
- Architectural conventions complicate matters.
  - Combination of registers used for double-precision arithmetic.
  - Result is stored in accumulator.
  - Registers are reserved for special instructions.
  - ...

Thought exercise: How to use graph coloring for register allocation?

## 3

# Instruction Ordering

- Instruction order affects execution efficiency.
- Picking the best order is NP-complete.
- Optimizer / Code generator needs to look at multiple instructions at a time.
- **Classwork:** Create an example IR whose generated code results in the same meaning but different efficiency for different orders.

```
1: R0 = a
2: R1 = b
3: R2 = c
4: R3 = R0 + R1
5: R4 = R2 + R3
6: d = R4
```

```
1: R0 = a
2: R1 = b
4: R2 = R0 + R1
3: R0 = c
5: R3 = R2 + R0
6: d = R3
```

# A Typical Target Machine Model

Instruction Type	Example
Load	LD R1, x
Store	ST R1, x
Computation	SUB R1, R2, R3
Unconditional Jump	BR main
Conditional Jump	BLTZ R1, main

Addressing Mode	Example
Direct	LD R1, [100000]
Named / Variable	LD R1, x
Variable Indexed	LD R1, a(R2)
Immediate Indexed	LD R1, 100(R2)
Indirect	LD R1, *x
Immediate	MOV R1, #100
...	...

# Example Code Generation

using our Target Machine Model

Source

```
...  
x = y - z
```

IR

```
...  
t1 = y  
t2 = z  
t1 = t1 - t2  
x = t1
```

Target  
code

```
...  
LD R1, y  
LD R2, z  
SUB R1, R1, R2  
ST x, R1
```

Optimized  
target  
code

```
...  
; y already in R1  
LD R2, z  
SUB R1, R1, R2  
ST x, R1
```

```
...  
LD R1, y  
LD R2, z  
; x is not used
```

Optimization and Code  
Generation are often run  
together multiple-times.

# Homework

- Exercises 8.2.3 from ALSU book.

# Basic Blocks and CFG

- A basic block is a maximal sequence of consecutive 3AC instructions such that
  - **Single-entry:** Control-flow enters the basic-block through only the first instruction in the block.
  - **Single-exit:** Control leaves the block only after the last instruction.
- Thus, if control reaches a basic block, all instructions in it are executed in sequence.
  - No branching from in-between or no jumps to in-between instructions.
- Basic-blocks together form a **control-flow graph**<sup>14</sup>.

```

for (ii = 1; ii < 10; ++ii) {
    for (jj = 1; jj < 10; ++jj) {
        a[ii][jj] = 0;
    }
    for (ii = 1; ii < 10; ++ii)
        a[ii][ii] = 1;
}

```

Source

```

i = 1
L2:
j = 1
L1:
t1 = 10 * i
t2 = t1 + j
t3 = 4 * t2
t4 = t3 - 44
a[t4] = 0
j = j + 1
if j < 10 goto L1
i = i + 1
if i < 10 goto L2
i = 1
L3:
t5 = i - 1
t6 = 44 * t5
a[t6] = 1
i = i + 1
if i < 10 goto L3

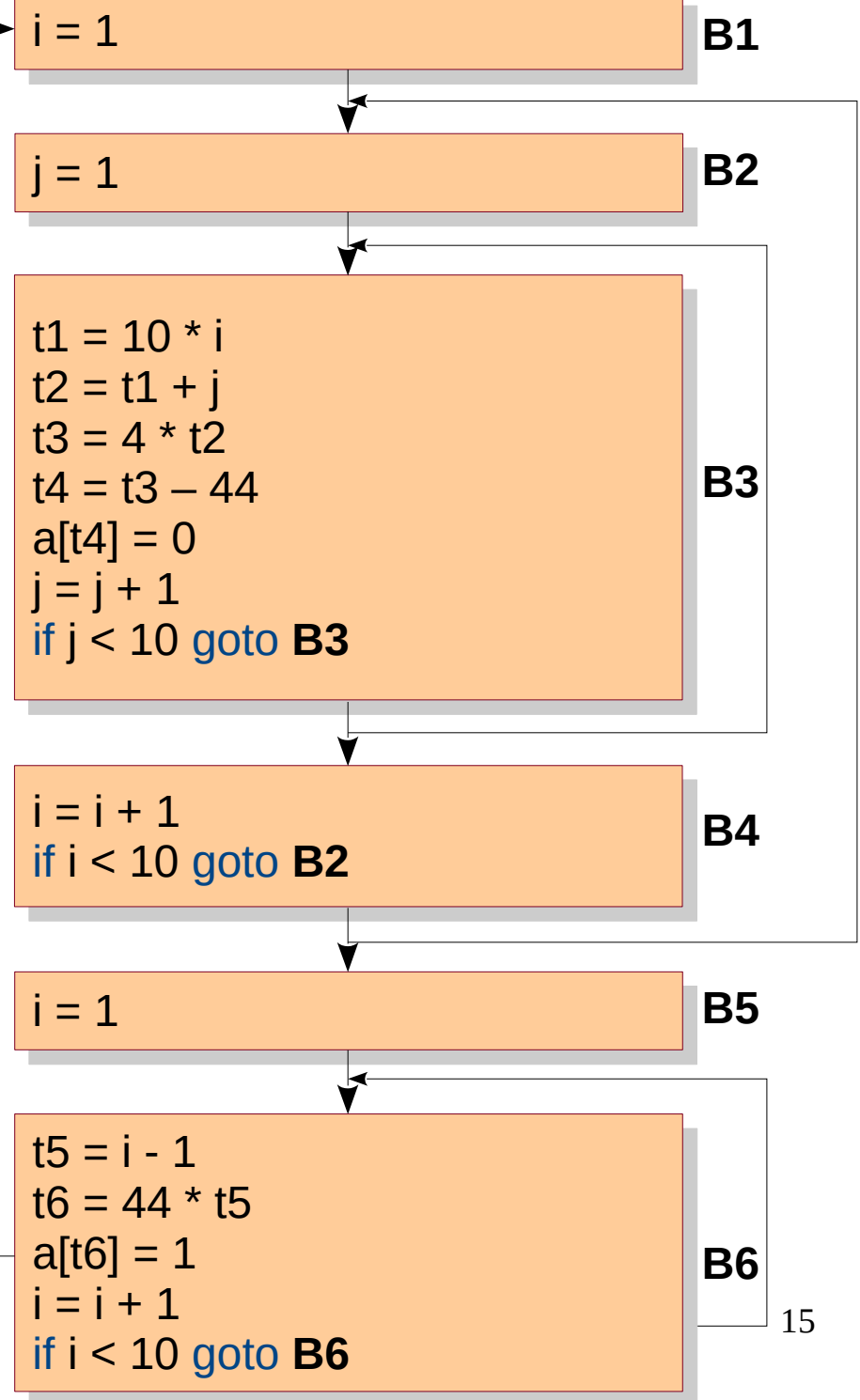
```

Intermediate representation

ENTRY →

Control-flow graph (CFG)

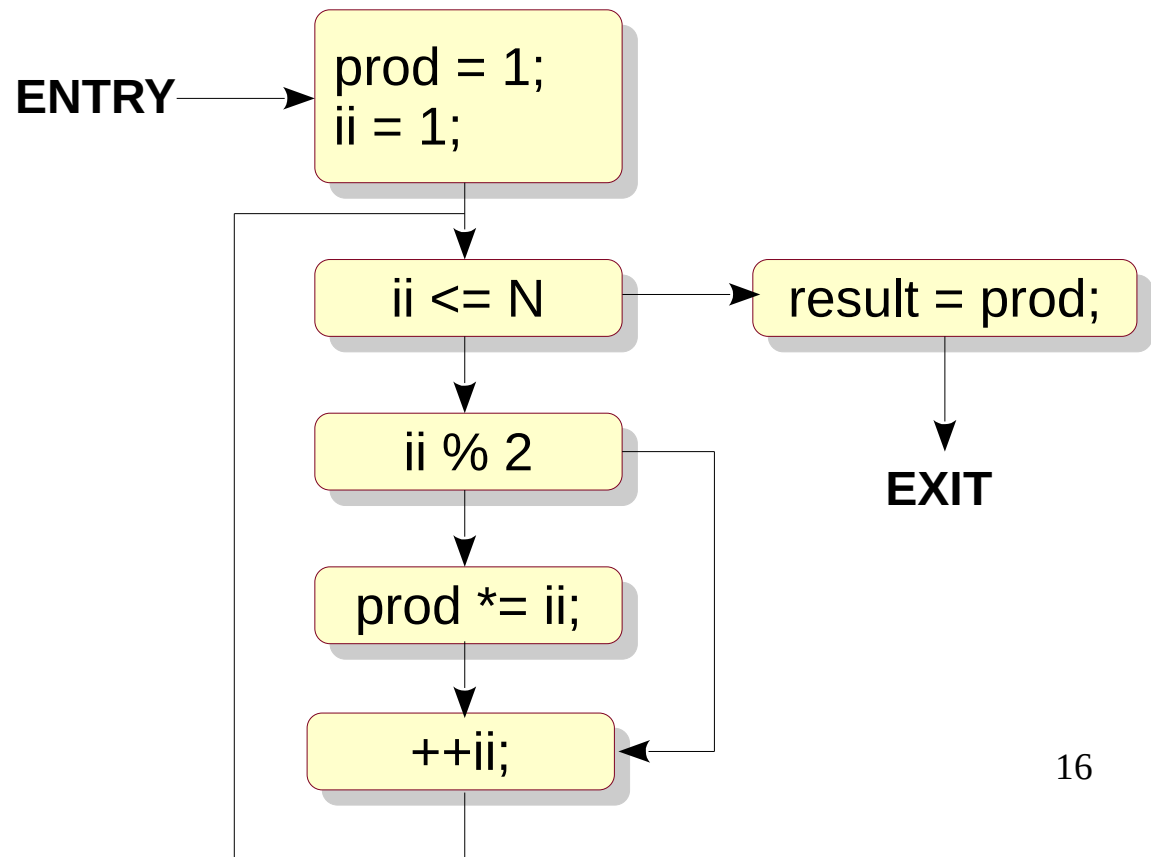
EXIT ←



# Classwork

- Build the CFG for the following program.
  - Ideally, we should first convert it to IR, but we will work with the source code for simplicity.

```
prod = 1;  
  
for (ii = 1; ii <= N; ++ii) {  
    if (ii % 2)  
        prod *= ii;  
}  
result = prod;
```





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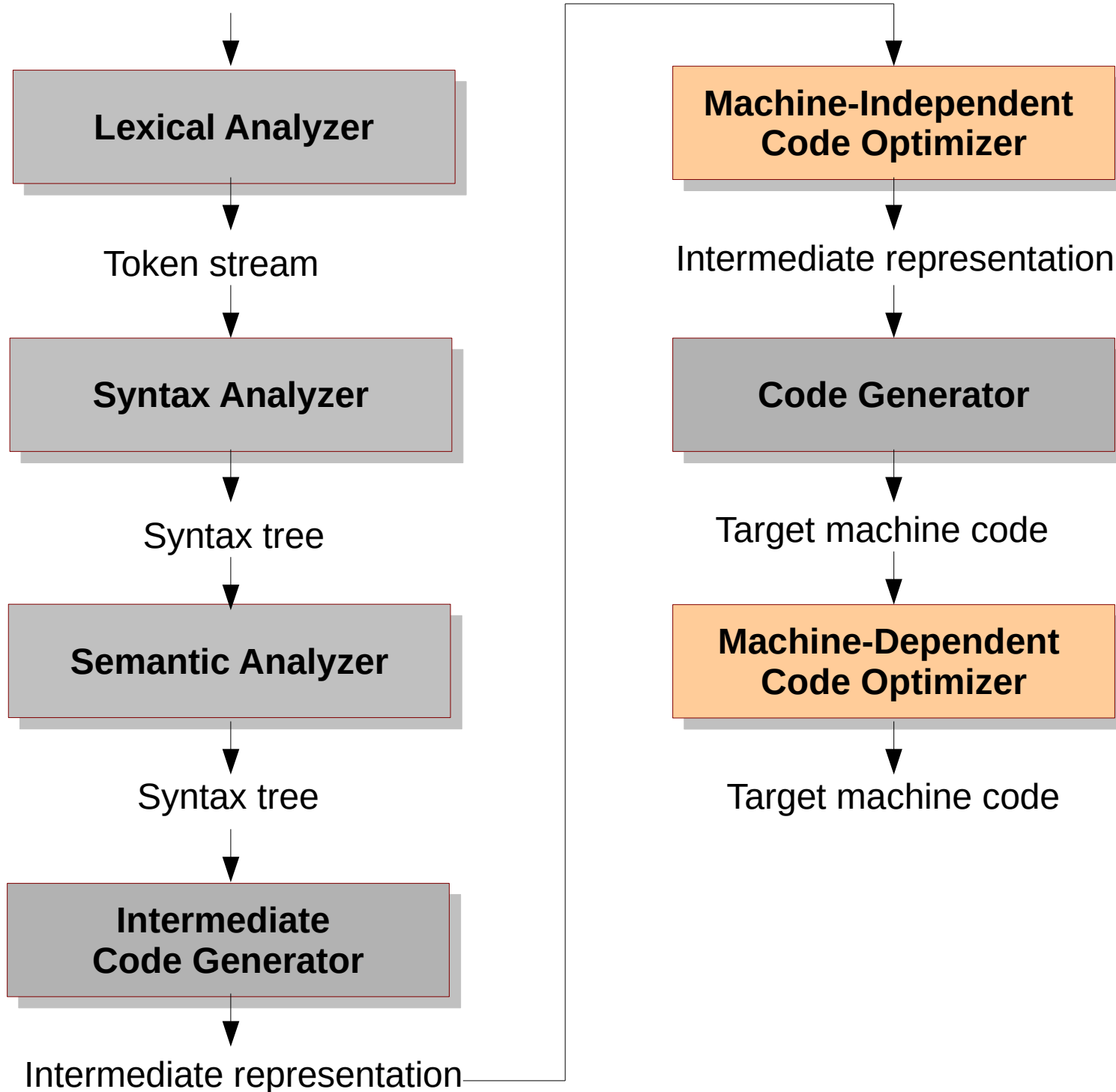
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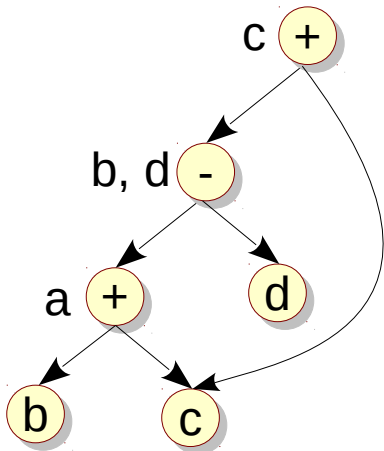
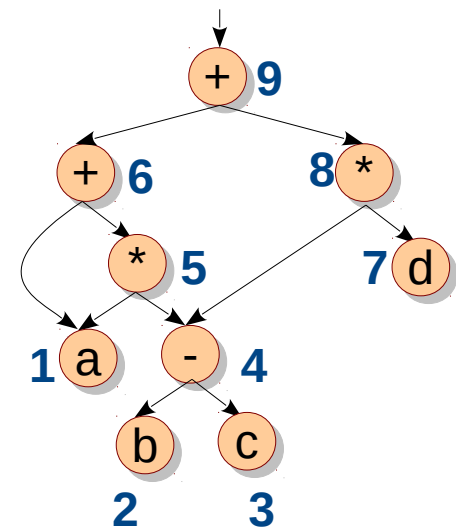
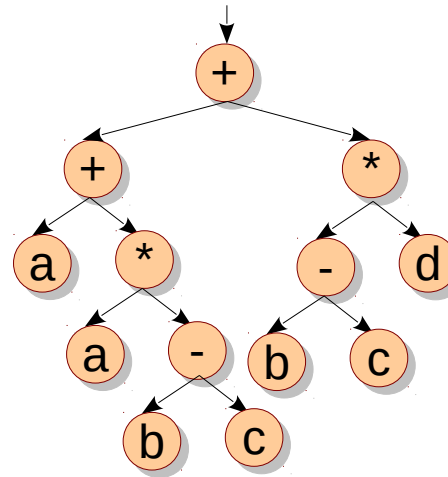
# Optimizations using CFG

- **Local:** within a basic-block
  - Local common sub-expressions
  - Dead-code elimination
  - Use of algebraic identities
- **Global:** across blocks
  - Common sub-expression elimination
  - Strength reduction
  - Data-flow analysis

# Local Common Sub-expressions Elimination

$$a + a * (b - c) + (b - c) * d$$

$a = b + c$   
 $b = a - d$   
 $c = b + c$   
 $d = a - d$



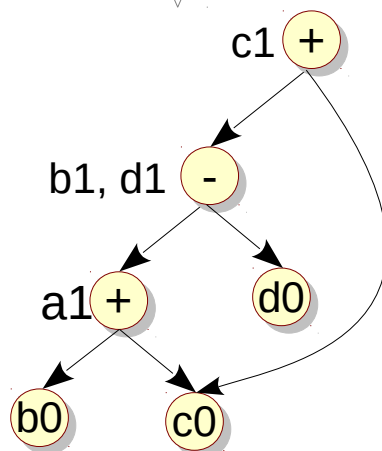
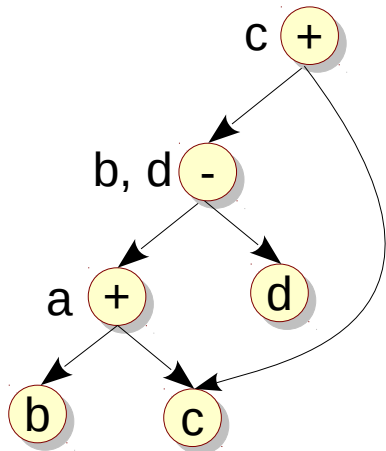
- Does not distinguish properly between different variable instances.
- It is unclear why certain variable should be used or a new one should be formed.
- We need use-def information.

# Local Common Sub-expressions Elimination

```
a = b + c
b = a - d
c = b + c
d = a - d
```

```
a1 = b0 + c0
b1 = a1 - d0
c1 = b1 + c0
d1 = a1 - d0
```

- Variables have **initial** DEFs.
- Each **DEF** creates a new instance of the variable (recall SSA).
- Each **USE** refers to the latest DEF.



# Local Common Sub-expressions Elimination

$a = b + c$   
 $b = a - d$   
 $c = b + c$   
 $d = a - d$

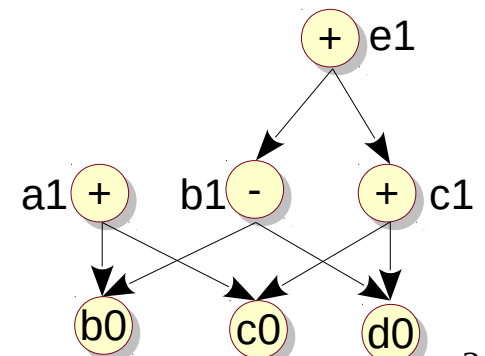
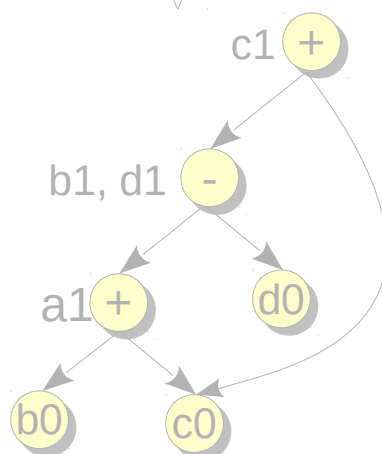
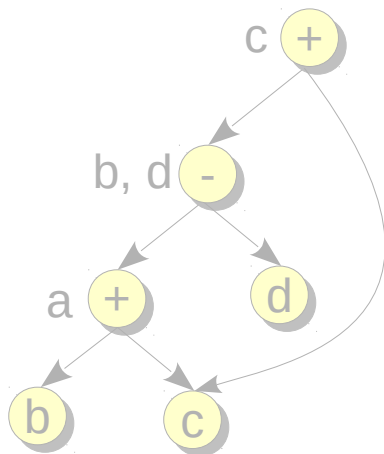
$a1 = b0 + c0$   
 $b1 = a1 - d0$   
 $c1 = b1 + c0$   
 $d1 = a1 - d0$

$a = b + c$   
 $b = b - d$   
 $c = c + d$   
 $e = b + c$

$a1 = b0 + c0$   
 $b1 = b0 - d0$   
 $c1 = c0 + d0$   
 $e1 = b1 + c1$

No common expressions

**Classwork:** Find the Basic Block DAG (expression DAG) for the above Basic Block.

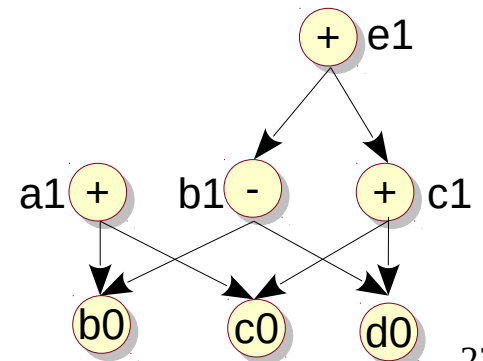


# Dead-code Elimination

- Remove root from the DAG that have no live variables attached.
  - There could be multiple roots in the DAG.
  - We may be able to apply this repeatedly.

Assuming a and b are live (used later)  
while c and e are not, then

- We can remove e1.
- Once e1 is removed, c1 can also be removed.



# Algebraic Identities

- Algebraic properties

- $x + 0 = 0 + x = x$

$$x - 0 = x$$

- $x * 1 = 1 * x = x$

$$x / 1 = x$$

- Strength reduction

- $x^2 = x * x$

- $2 * x = x + x$

- $x / 2 = x * 0.5$

- Constant folding

- $2 * 3.14 = 6.28$

# Algebraic Identities

- Commutativity and Associativity
  - DAG construction can help us here.
  - Apart from checking *left op right*, we could also check *right op left* for commutativity.  
e.g.,  $(a + b) + (b + a)$ .  
e.g.,  $a = b + c; e = c + d + b;$
- Some algebraic laws are not obvious.
  - e.g., Can you optimize *if  $(x > y)$   $a = b + x + c - y$* ?  
However, we need to worry about underflows.



# Array References

- Array references cannot be treated like usual variables.

```
x = a1  
a2 = y  
z = a1
```

x, z  
a1

a2  
y

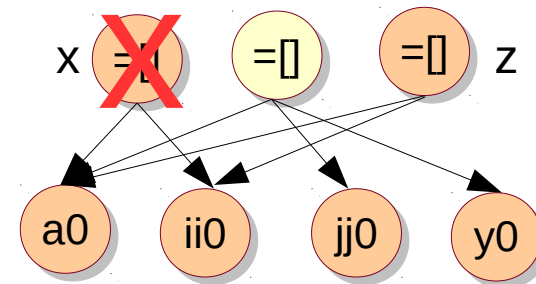
```
x = a[ii]  
a[jj] = y  
z = a[ii]
```

x, z  
a[ii]

a[jj]  
y

wrong

We represent  $a[ii]$  as a node with **two or three children** depending upon whether it is rvalue or lvalue.



correct

How do you decide the order in which assignments are executed?

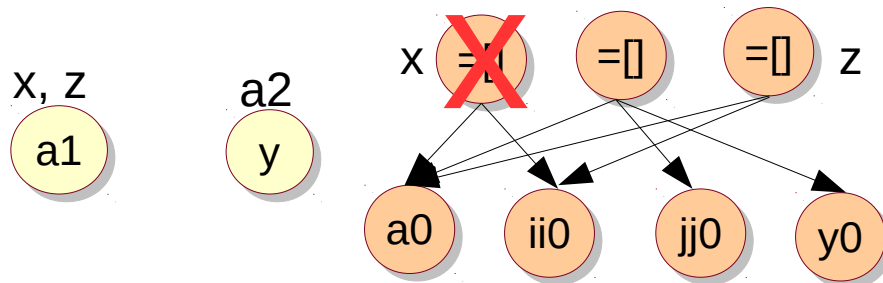
# Array References

- Array references cannot be treated like usual variables.

```
x = a1  
a2 = y  
z = a1
```

```
x = a[ii]  
a[jj] = y  
z = a[ii]
```

```
x = a[ii]  
b[jj] = y  
z = a[ii]
```



Depending upon how much time a compiler can afford,

- it would either analyze if  $a[ii]$  and  $b[jj]$  are referring to the same memory location **OR**
- conservatively assume that they *MAY* be referring to the same location.

# Aliasing

- The issue with array references is called **aliasing**.
- Two expressions may refer to the same memory location at the execution time.
  - `a[ii]` and `a[jj]`
  - `*p` and `*q`
  - union variables
  - Pass by reference variables
- Local processing may fail to identify aliasing
  - Precise alias analysis is computationally difficult.

# Classwork: Aliasing

- Find all the aliases in this C++ program.

```
#include <iostream>
int g = 1;

void fun(int &p, int *q, int r, int a, int *s) {
    int &x = g;
    int *y = &p;
    std::cout << p << *q << r << a << *s << x << *y << g << std::endl;
}
int main() {
    int a = g;
    int &b = g;
    int *c = &g;

    fun(b, &g, g, a, c);
    return 0;
}
```

e.g., <&b, &g>

# Peephole Optimization

- Consider a sliding window of instructions and optimize it.
- Repeated passes are often helpful.
  - Redundant load/store elimination
  - Dead-code elimination
  - Control-flow optimization
  - Algebraic simplifications
  - Use of machine idioms
  - ...

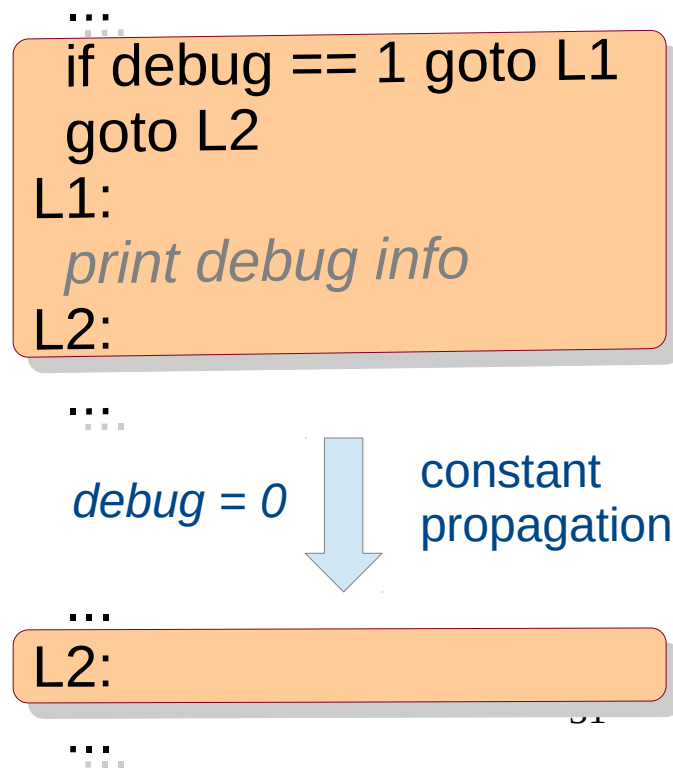
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  - ...

...  
LD R0, a  
ST a, R0  
...

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  - ...

**Remove L1: goto L2 if no jumps to it.  
Can be generalized to conditional jump to L1.**

```
...  
goto L1  
...  
goto L3  
L1: goto L2
```



```
...  
goto L2  
...  
goto L3  
L1: goto L2
```

...



# Peephole Optimization

- Consider a sliding window of instructions and optimize it.
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...  
 $x = x + 0$   
 $z = y * 32$   
...

# Peephole Optimization

- Consider a sliding window of instructions and optimize it.
- Repeated passes are often helpful.
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  - ...

...

```
ld r0, x
add r0, r0, 1
st x, r0
push x
push %esp
goto fun
```



...

```
inc x
push x
call fun
```

...

# Register Allocation

- Memory hierarchy: Network, File system, Main memory, L3 cache, L2, L1, Registers.
  - Capacity reduces, access time reduces.
- Critical to allocate and assign registers for efficiency.
  - Register versus Memory could be ~10x performance difference.
- C allows register variables.
  - `register int a;` // not always a good idea.
  - `register int a asm("r12");` // tries a specific register.
  - `gcc -ffixed-r12 ...` // reserve r12.

# Register Allocation

**Classwork:** Allocate registers for the following code.

```
while (b) {  
    a = b + c  
    d = d - b  
    e = a + f  
    if (e) {  
        b = d + f  
        e = a - c  
        if (b) goto fun  
    } else {  
        f = a - d  
    }  
    b = d + c  
}  
print b, c, d, e, f
```

- First-Come-First-Served way (linear scan) is often **not** the best policy for register allocation (used in JIT).
- We need to perform some analysis to find out the benefit of allocating registers to variables.
- We may have to assign cost / benefit to various operations within a loop.
- What if we say that K registers would be allocated to the top K variables that have the maximum number of uses?
- By paying a small spilling cost, we may be able to increase the benefit of K registers to more than K variables.  
**benefit(x, B) = F(use(x, B), live(x, B))**  
Variable x, Basic block B  
**use** returns the number of uses.  
**live** returns 0 or 1 based on if x is live after leaving B.

# Liveness

**benefit(x, B) =**  
**F(use(x, B), live(x, B))**

**use** returns number of uses.

**live** returns 0 or 1 based on if x is live after leaving B.

**use**(a, B1) = 1, **live**(a, B1) = 1

**use**(a, B2) = 1, **live**(a, B2) = 0

**use**(b, B3) = 1, **live**(b, B3) = 1

**use**(c, B2) = 0, **live**(c, B2) = 1

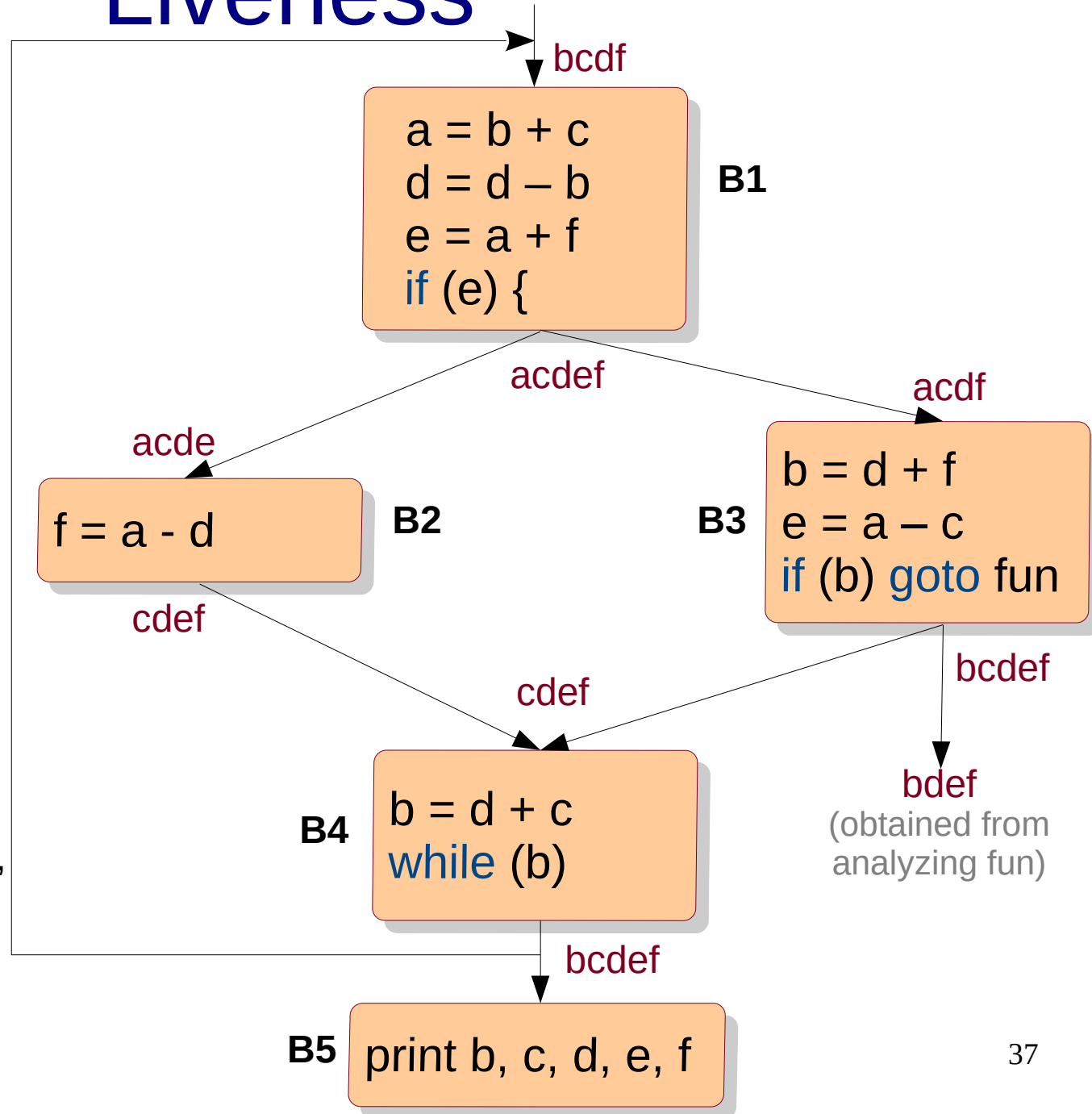
**use**(a, B4) = 0, **live**(a, B4) = 0

...

**Overall benefit S(x) =**  
**sum(benefit(x, B))** for all B

Say, S(a) = 4, S(b) = 5, S(c) = 3,  
 S(d) = 6, S(e) = 4, S(f) = 4.

- Assign R0, R1, R2 to a, b and d globally (global allocation).
- Use remaining register R3 inside blocks (local allocation).



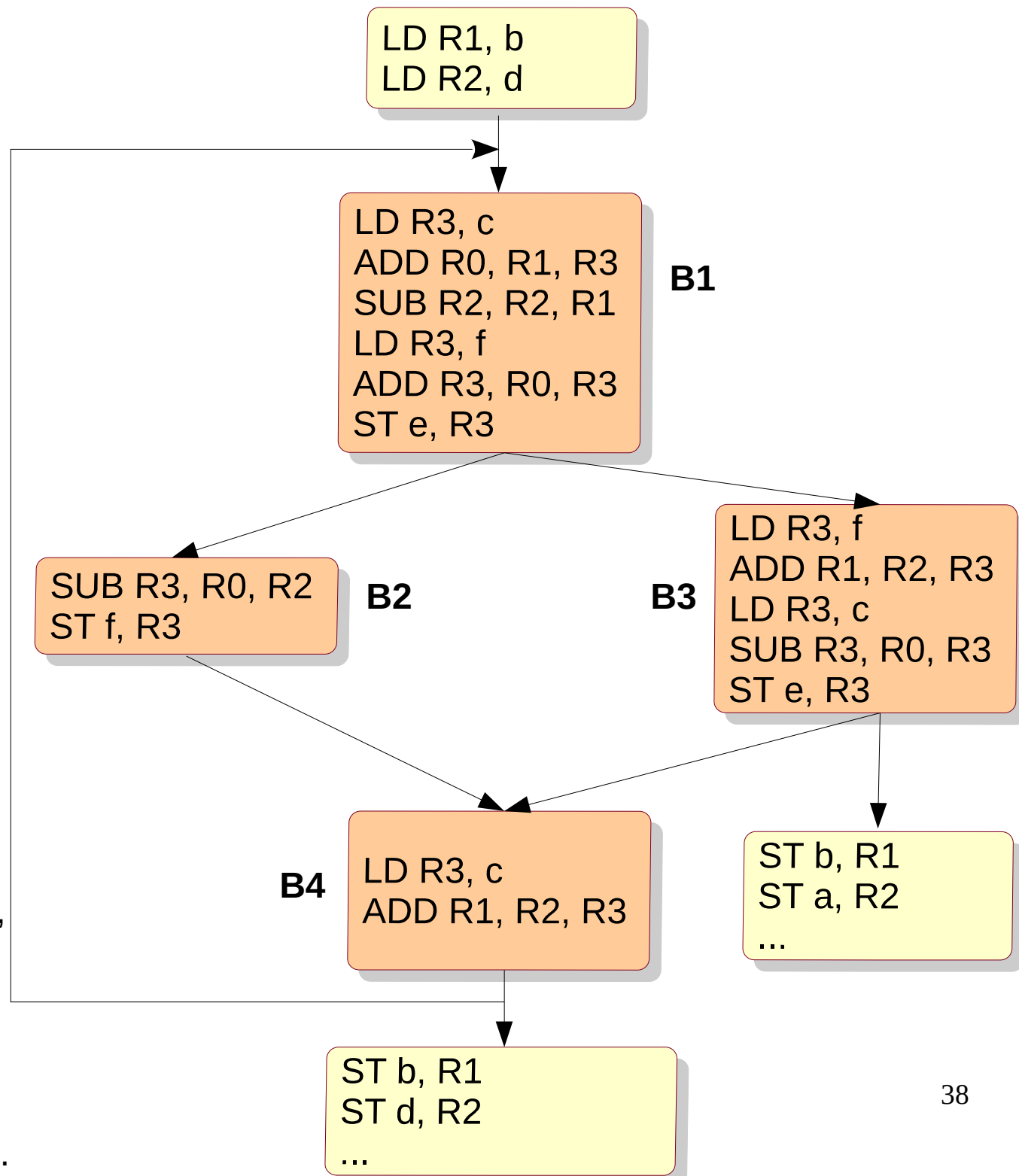
# Allocation

- R1 and R2 remain assigned to b and d throughout.
- R3 is loaded repeatedly inside the loop as an auxiliary register.
- a is not live at the start, hence it is not loaded initially.
- At the end of the loop, the register values are stored back.

**Overall benefit  $S(x) = \text{sum}(\text{benefit}(x, B))$  for all B**

Say,  $S(a) = 4$ ,  $S(b) = 5$ ,  $S(c) = 3$ ,  
 $S(d) = 6$ ,  $S(e) = 4$ ,  $S(f) = 4$ .

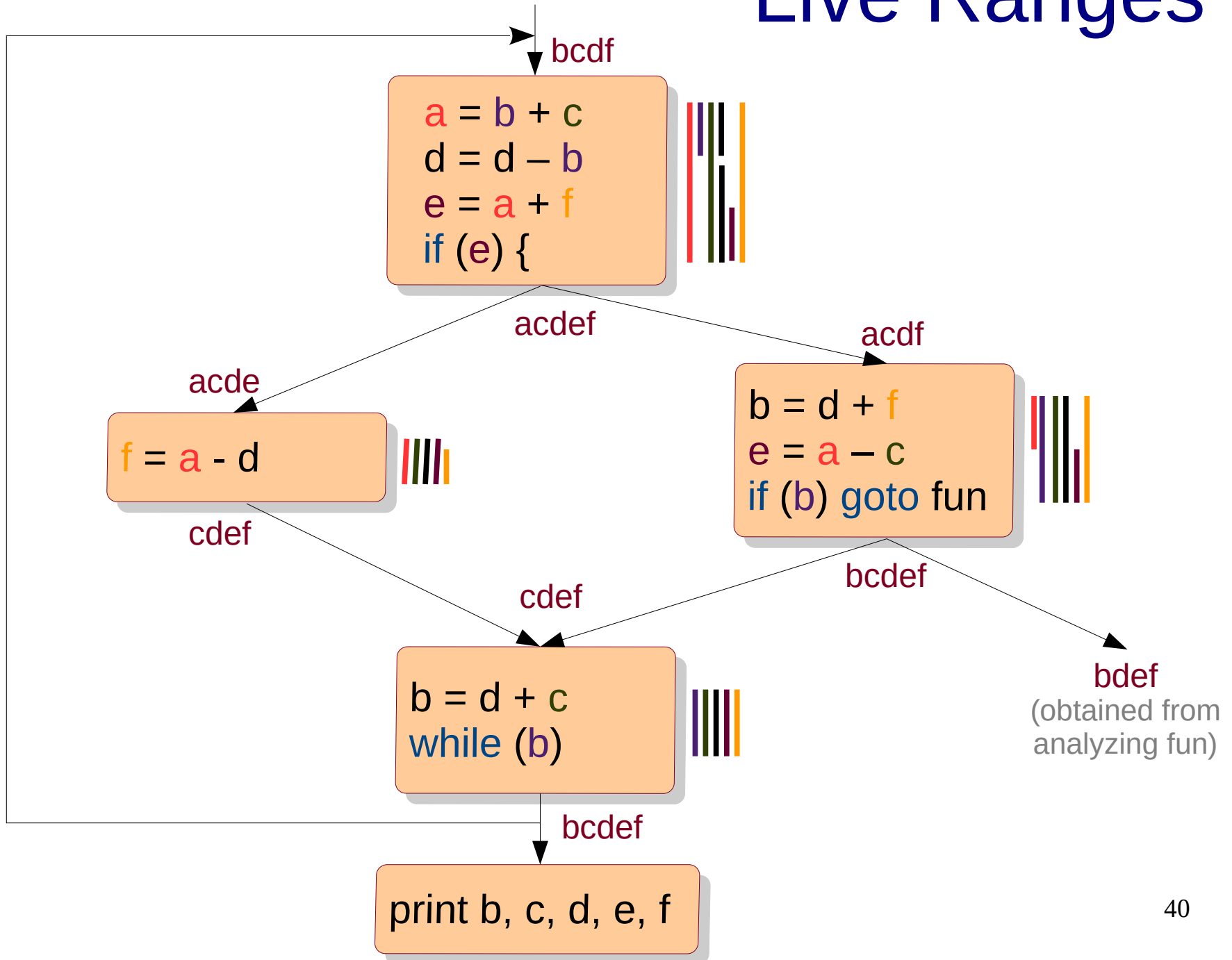
- Assign R0, R1, R2 to a, b and d globally (global allocation).
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# Register Allocation as Graph Coloring

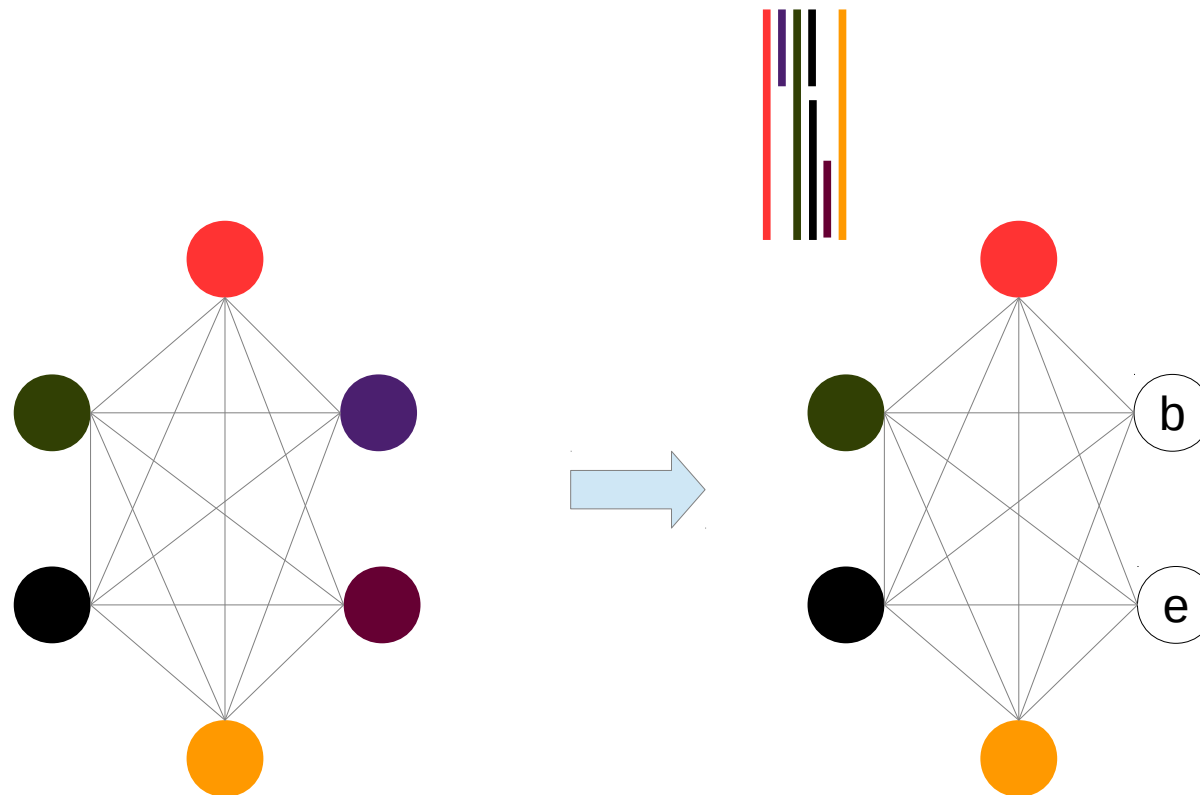
- Vertices? Edges?
- **Vertices**: Variables (or their instances)
- **Edges**: Co-Live information
  - If  $x$  and  $y$  are live at the same program point, add an (undirected) edge between  $x$  and  $y$ .
- **Vertex coloring** colors neighbors differently.
  - Thus, vertex coloring colors  $x$  and  $y$  differently, if they are live at the same program point.
  - This means,  $x$  and  $y$  should not use the same register.
  - **Corollary**: if  $x$  and  $z$  have the same color, they can reuse the register (at different program points).

# Live Ranges





# Interference Graph



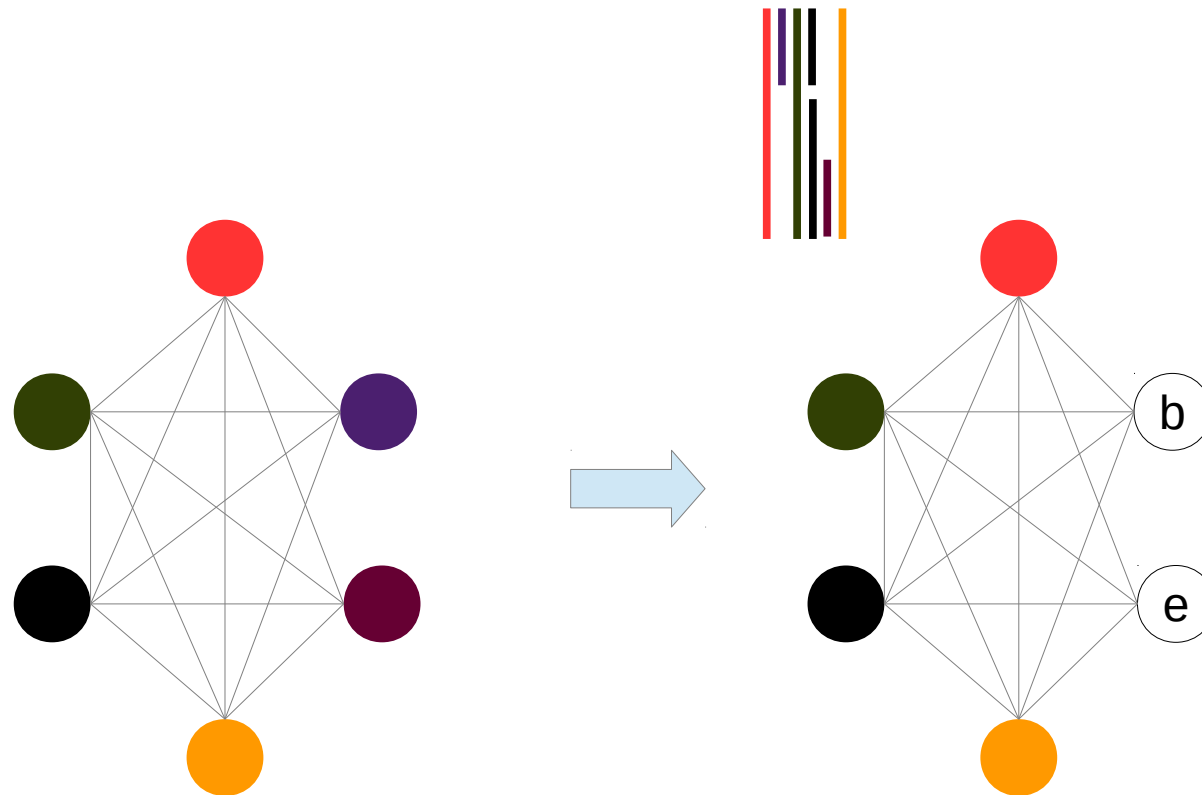
This means, in basic block B1, **b** and **e** could use the same register.

**Classwork:** Try it for .

**What is the issue with what we did?**

```
a = b + c
d = d - b
e = a + f
if (e) {
```

# Interference Graph



- Coloring gave us the maximum number of registers required for the program.
- However, in practice, the number of registers is fixed.
- Therefore, we need to generate spill code for storing a variable into memory (ST x, R) and then reload the register with the next variable (LD R, y)
- Thus, if b and e use the same register, that means b is not globally assigned to R1 (4 slides ago).

# Spilling

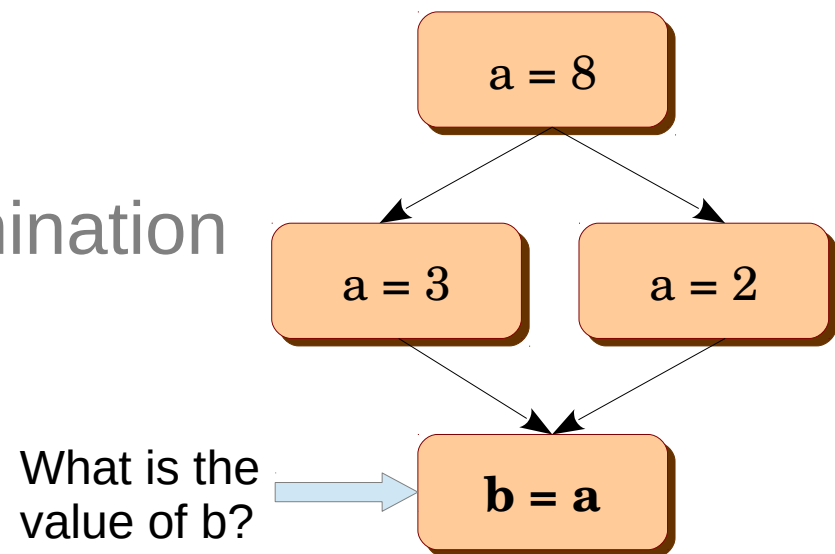
- Spilling stores a value from register to memory.
  - This frees-up the register.
- Local Register Allocation (within basic block)
  - If the maximum number of variables live at any program point is  $K$  and number of registers is  $R$ ,
    - If  $K \leq R$ , no spilling is required.
    - If  $K > R$ , then spill-code is generated (store instruction)
    - Prioritize max-use variables.
    - Register assignment is straightforward.
    - Alternatives exist. e.g., spill the variable whose next use is the farthest from the current point.

# Spilling

- Spilling stores a value from register to memory.
  - This frees-up the register.
- Global Register Allocation (across basic blocks)
  - Use graph coloring via interference graph
  - If more than R colors are required,
    - Split live ranges (insert spill-code).
    - Perform cost-benefit analysis to find spill victims (e.g., two spills in an if statement are preferred over a single spill in a loop).
  - May use other algorithms:
    - $\Delta + 1$  coloring where  $\Delta$  is the maxdegree.
    - Chaitin's algorithm and its enhancements (uses stack)

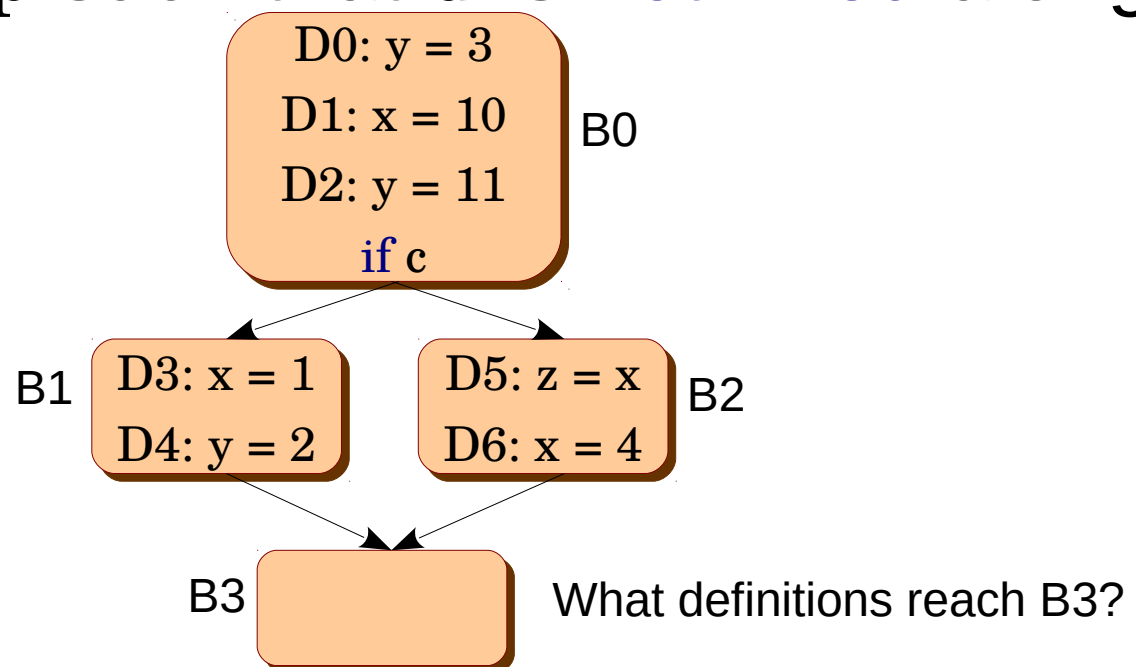
# Data Flow Analysis

- Flow-sensitive: Considers the control-flow in a function
- Operates on a flow-graph with nodes as basic-blocks and edges as the control-flow
- Examples
  - Constant propagation
  - Common subexpression elimination
  - Dead code elimination



# Reaching Definitions

- Every assignment is a definition.
- A **definition**  $d$  **reaches** a program point  $p$  if there exists a path from the point immediately following  $d$  to  $p$  such that  $d$  is **not killed** along the path.



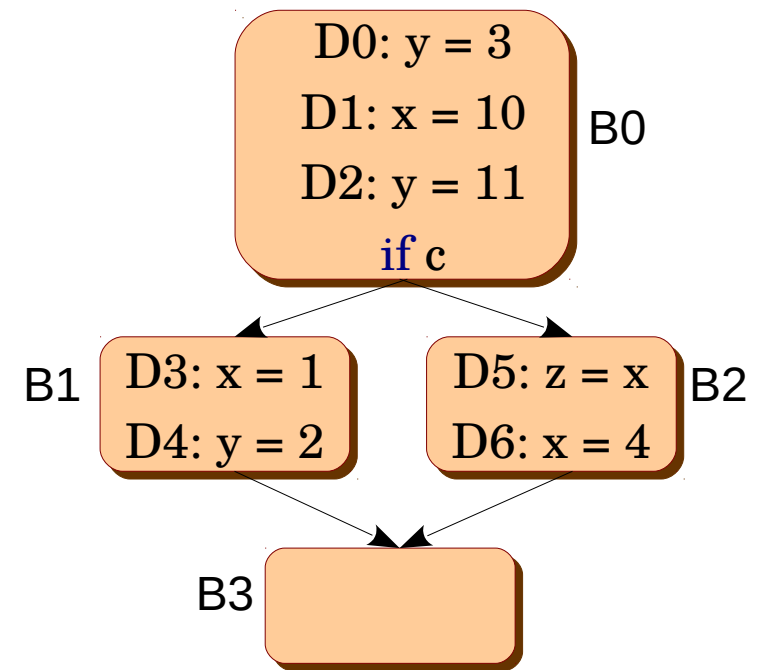
# DFA Equations

- $\text{in}(B)$  = set of data flow facts entering block  $B$
- $\text{out}(B) = \dots$
- $\text{gen}(B)$  = set of data flow facts generated in  $B$
- $\text{kill}(B)$  = set of data flow facts from the other blocks killed in  $B$

# DFA for Reaching Definitions

- $\text{in}(B) = \bigcup \text{out}(P)$  where  $P$  is a predecessor of  $B$
- $\text{out}(B) = \text{gen}(B) \cup (\text{in}(B) - \text{kill}(B))$
- Initially,  $\text{out}(B) = \{ \}$

$\text{gen}(B_0) = \{D_1, D_2\}$      $\text{kill}(B_0) = \{D_3, D_4, D_6\}$   
 $\text{gen}(B_1) = \{D_3, D_4\}$      $\text{kill}(B_1) = \{D_0, D_1, D_2, D_6\}$   
 $\text{gen}(B_2) = \{D_5, D_6\}$      $\text{kill}(B_2) = \{D_1, D_3\}$   
 $\text{gen}(B_3) = \{ \}$      $\text{kill}(B_3) = \{ \}$



	in1	out1	in2	out2	in3	out3
B0	{ }	{D1, D2}	{ }	{D1, D2}	{ }	{D1, D2}
B1	{ }	{D3, D4}	{D1, D2}	{D3, D4}	{D1, D2}	{D3, D4}
B2	{ }	{D5, D6}	{D1, D2}	{D2, D5, D6}	{D1, D2}	{D2, D5, D6}
B3	{ }	{ }	{D3, D4, D5, D6}	{D3, D4, D5, D6}	{D2, D3, D4, D5, D6}	{D2, D3, D4, D5, D6}



# Algorithm for Reaching Definitions

**for** each basic block B

compute  $\text{gen}(B)$  and  $\text{kill}(B)$

$\text{out}(B) = \{\}$

**do** {

**for** each basic block B

$\text{in}(B) = \bigcup \text{out}(P)$  where  $P \in \text{pred}(B)$

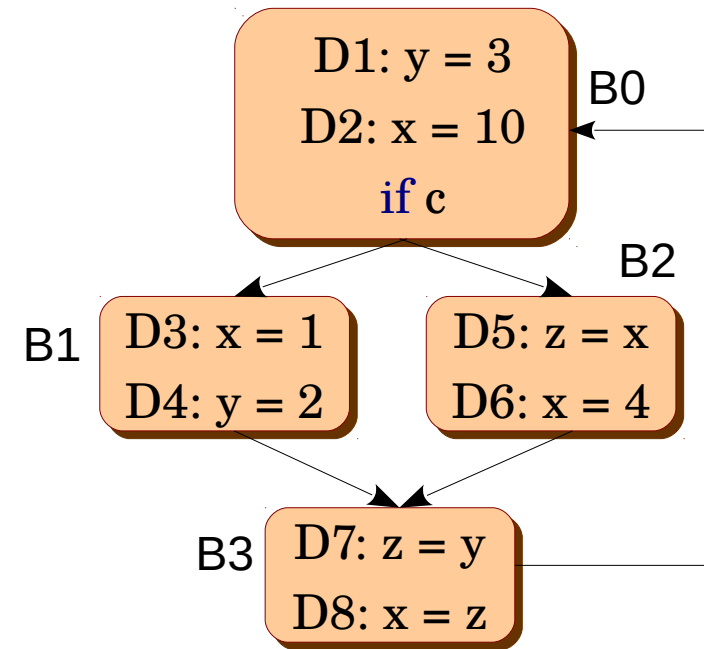
$\text{out}(B) = \text{gen}(B) \cup (\text{in}(B) - \text{kill}(B))$

} **while**  $\text{in}(B)$  changes for any basic block B

# Classwork

- $\text{in}(B) = \bigcup \text{out}(P)$  where  $P$  is a predecessor of  $B$
- $\text{out}(B) = \text{gen}(B) \cup (\text{in}(B) - \text{kill}(B))$
- Initially,  $\text{out}(B) = \{ \}$

$\text{gen}(B_0) = \{D1, D2\}$      $\text{kill}(B_0) = \{D3, D4, D6, D8\}$   
 $\text{gen}(B_1) = \{D3, D4\}$      $\text{kill}(B_1) = \{D1, D2, D6, D8\}$   
 $\text{gen}(B_2) = \{D5, D6\}$      $\text{kill}(B_2) = \{D2, D3, D7, D8\}$   
 $\text{gen}(B_3) = \{D7, D8\}$      $\text{kill}(B_3) = \{D2, D3, D5, D6\}$



	in1	out1	in2	out2	in3	out3	in4	out4
B0	{}	{D1,D2}	{D7,D8}	{D1,D2, D7}	{D4,D7,D8}	{D1,D2,D7}	{D1,4,7}	{D1,2,7}
B1	{}	{D3,D4}	{D1,D2}	{D3,D4}	{D1,D2,D7}	{D3,D4,D7}	{D1,2,7}	{D3,4,7}
B2	{}	{D5,D6}	{D1,D2}	{D1,D5,D6}	{D1,D2,D7}	{D1,D5,D6}	{D1,2,7}	{D1,5,6}
B3	{}	{D78}	{D3456}	{D478}	{D13456}	{D1478}	{D134567}	{D1478}

# DFA for Reaching Definitions

<b>Domain</b>	Sets of definitions
<b>Transfer function</b>	$\text{in}(B) = \bigcup \text{out}(P)$ $\text{out}(B) = \text{gen}(B) \cup (\text{in}(B) - \text{kill}(B))$
<b>Direction</b>	Forward
<b>Meet / confluence operator</b>	$\cup$
<b>Initialization</b>	$\text{out}(B) = \{ \}$

# DFA for Live Variables

<b>Domain</b>	Sets of variables
<b>Transfer function</b>	$\text{in}(B) = \text{use}(B) \cup (\text{out}(B) - \text{def}(B))$ $\text{out}(B) = \bigcup \text{in}(S)$ where $S$ is a successor of $B$
<b>Direction</b>	Backward
<b>Meet / confluence operator</b>	$\cup$
<b>Initialization</b>	$\text{in}(B) = \{ \}$

A variable  $v$  is **live** at a program point  $p$  if  $v$  is used along some path in the flow graph starting at  $p$ .

Otherwise, the variable  $v$  is **dead**.

# Frontend

Character stream

**Lexical Analyzer**

Token stream

**Syntax Analyzer**

Syntax tree

**Semantic Analyzer**

Syntax tree

**Intermediate  
Code Generator**

Intermediate representation

**Machine-Independent  
Code Optimizer**

Intermediate representation

**Code Generator**

Target machine code

**Machine-Dependent  
Code Optimizer**

Target machine code



# Backend

**Symbol  
Table**