

Shape Analysis

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CS6843 Program Analysis
IIT Madras
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Outline

- Limitations of pointer analysis
- Identify lists
- Identify trees, DAGs, cyclic graphs
- Identifying rotations
- List reversal and other transformations

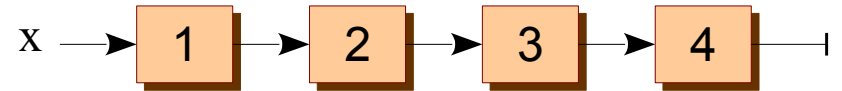
Limitations of Pointer Analysis

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

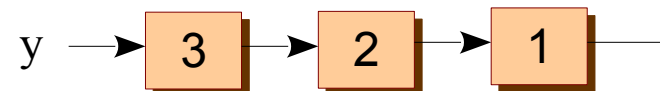
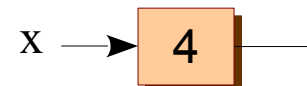
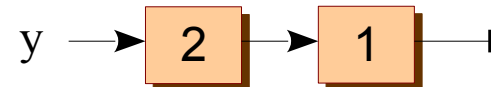
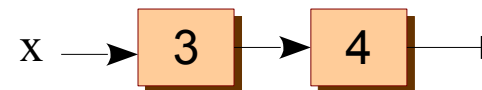
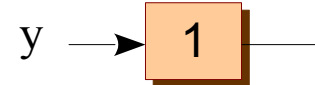
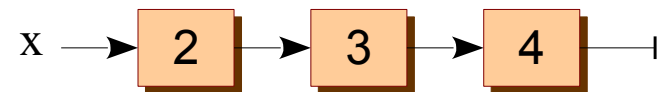
We want to check if x points to a singly linked list at the end of *listReverse*.

That is,

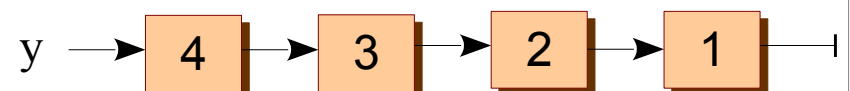
$x \rightarrow \{4\}, 4.\text{next} \rightarrow \{3\}, \dots, 1.\text{next} \rightarrow \{\text{null}\}$



y — null



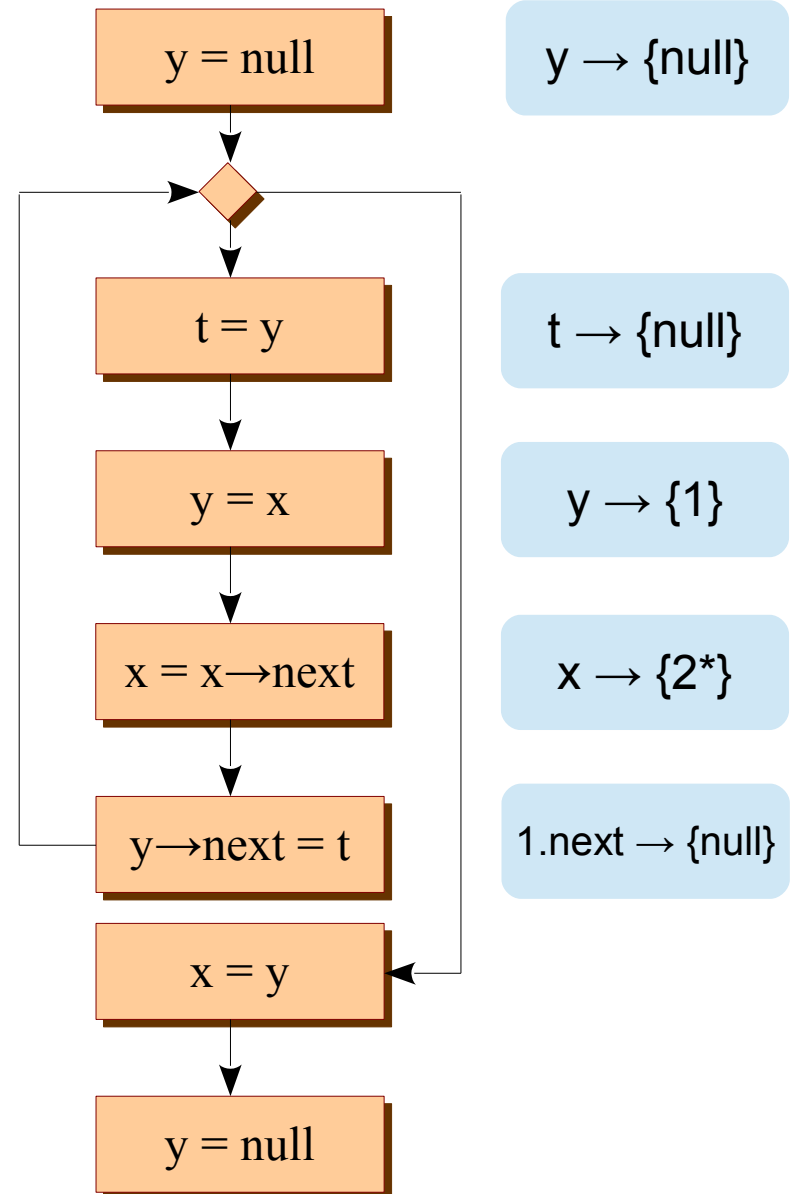
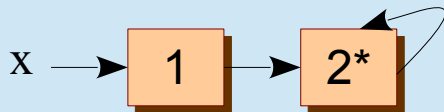
x — null



Limitations of Pointer Analysis

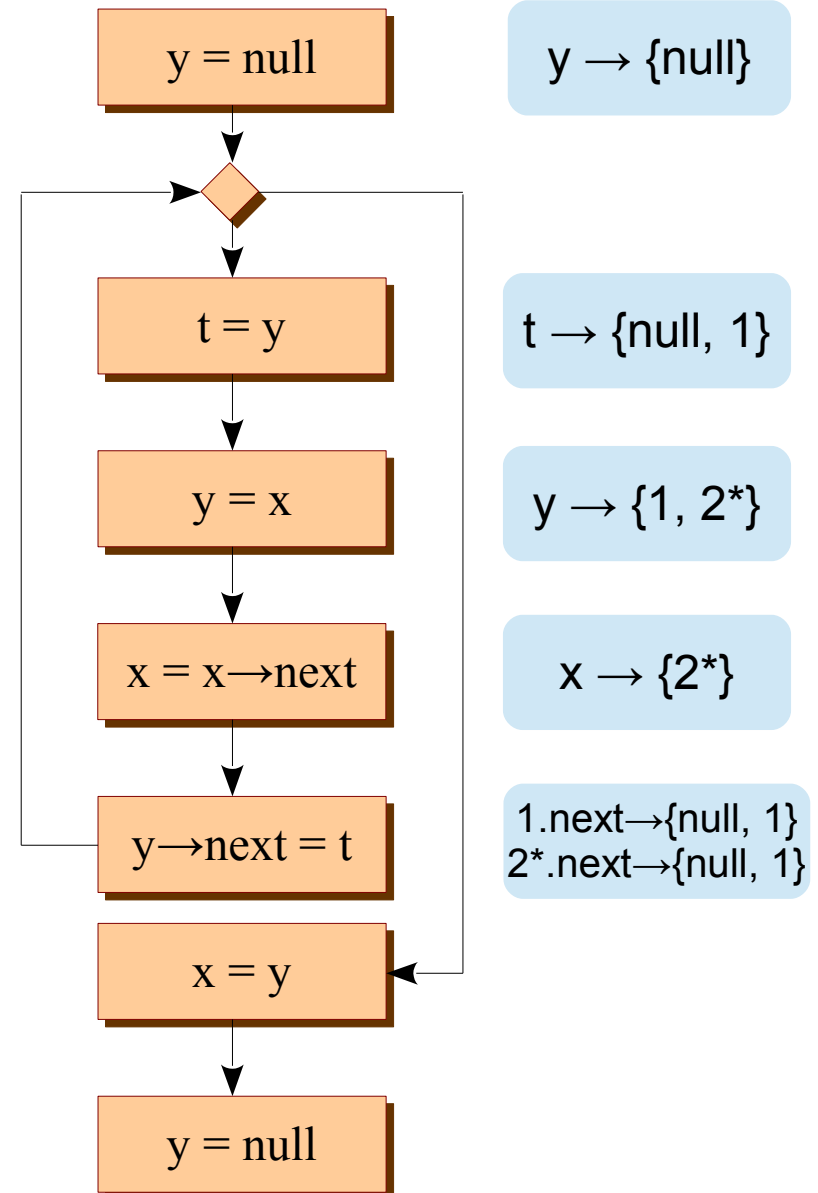
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    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
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  y = null;  
}
```

We model the list as a two node structure.



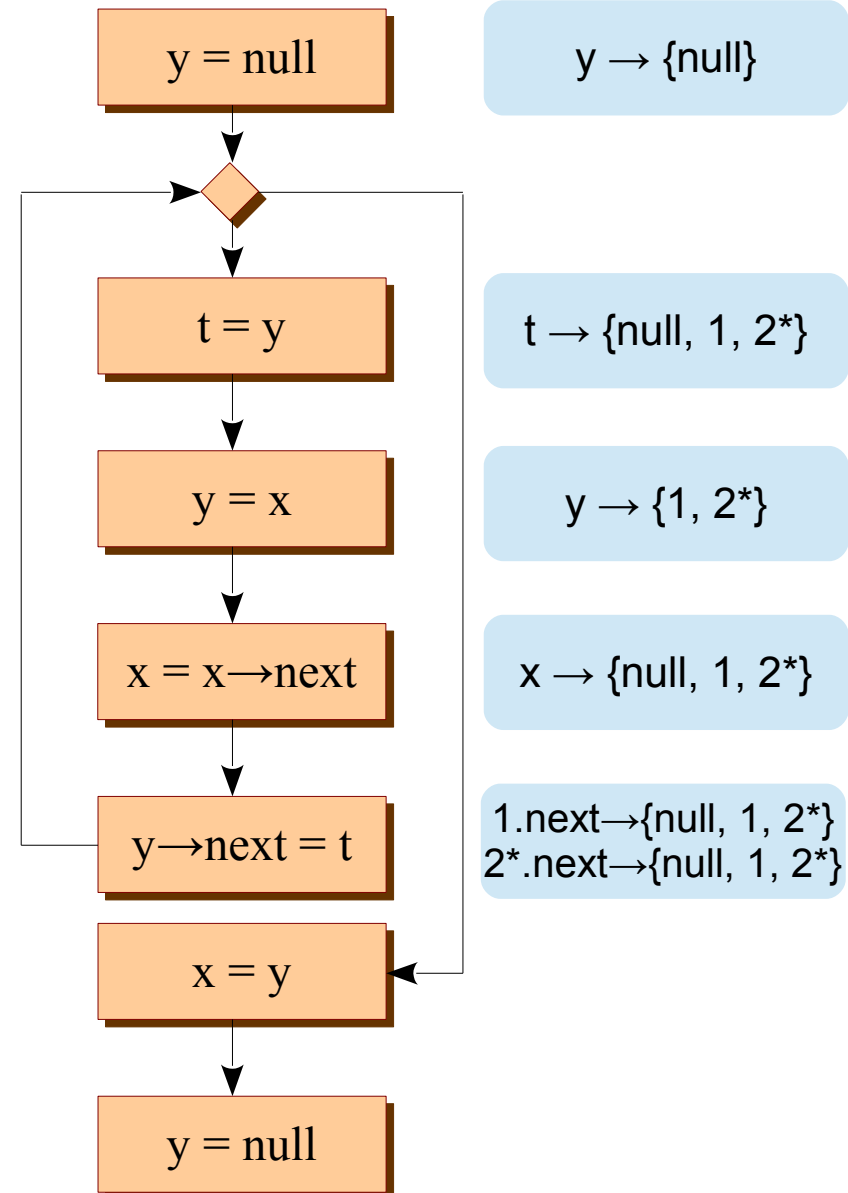
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  }  
  x = y;  
  t = null;  
  y = null;  
}
```



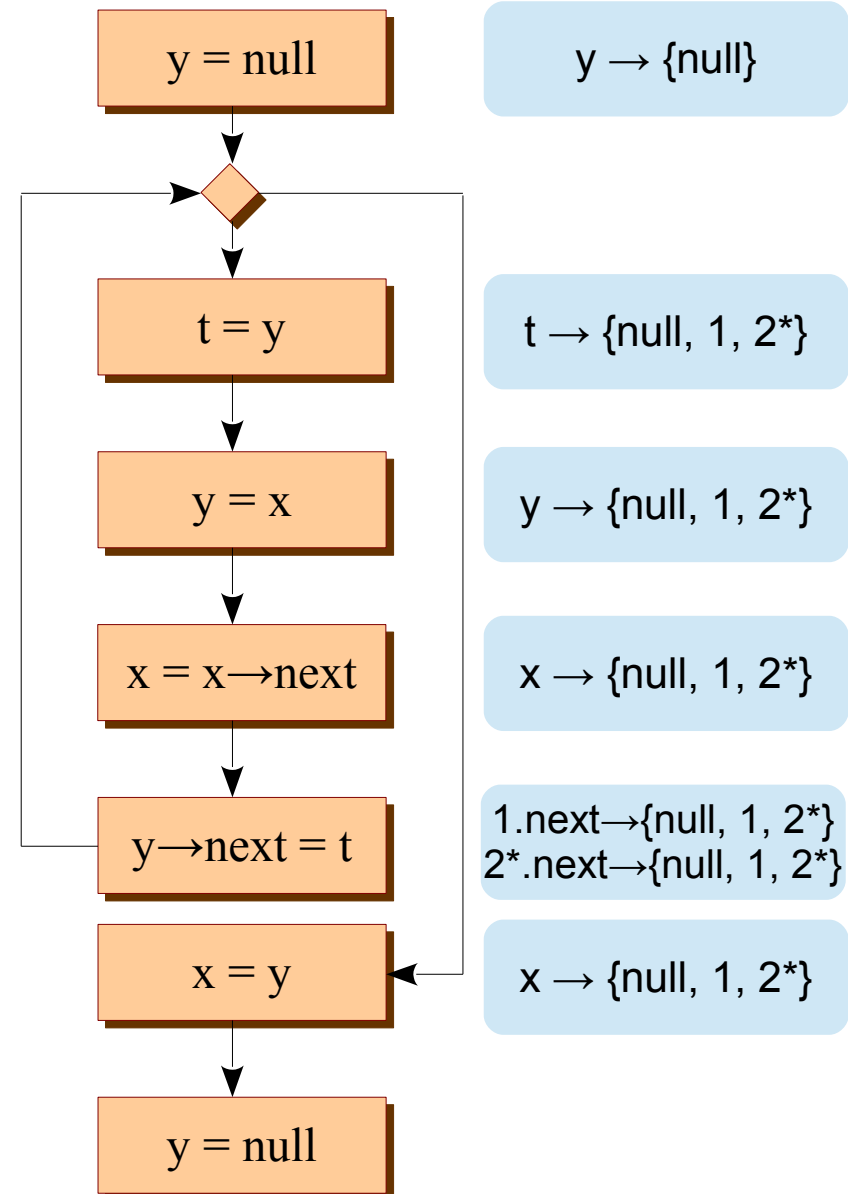
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}
```



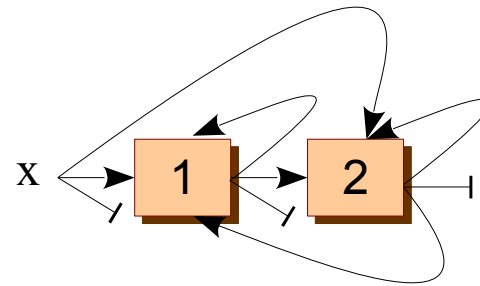
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Limitations of Pointer Analysis

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    y = x;  
    x = x→next;  
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  }  
  x = y;  
  t = null;  
  y = null;  
}
```



$y \rightarrow \{\text{null}\}$

$t \rightarrow \{\text{null}, 1, 2^*\}$

$y \rightarrow \{\text{null}, 1, 2^*\}$

$x \rightarrow \{\text{null}, 1, 2^*\}$

$1.\text{next} \rightarrow \{\text{null}, 1, 2^*\}$
 $2^*.\text{next} \rightarrow \{\text{null}, 1, 2^*\}$

$x \rightarrow \{\text{null}, 1, 2^*\}$

Shape Analysis

- Identify structural / topological properties of a data structure under manipulation.
- Usually categorized as slist, tree, DAG or cycle.
- Precision reduces along slist $\rightarrow$ tree $\rightarrow$ DAG $\rightarrow$ cycle.

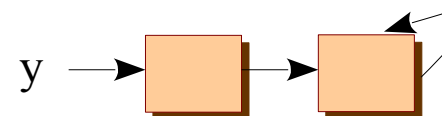
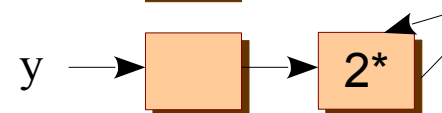
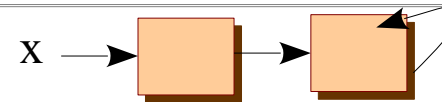
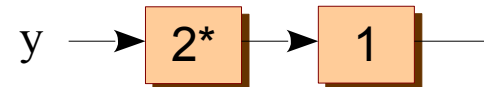
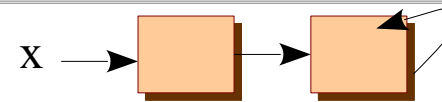
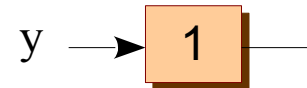
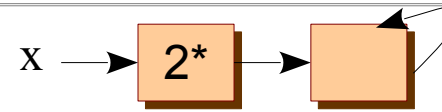
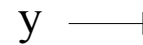
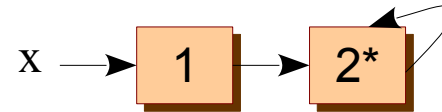
Shape Analysis

```

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  }
  x = y;
  t = null;
  y = null;
}
    
```

Maintain additional information
with 2* that it is acyclic.
Use the fact that node removal
maintains acyclicity.



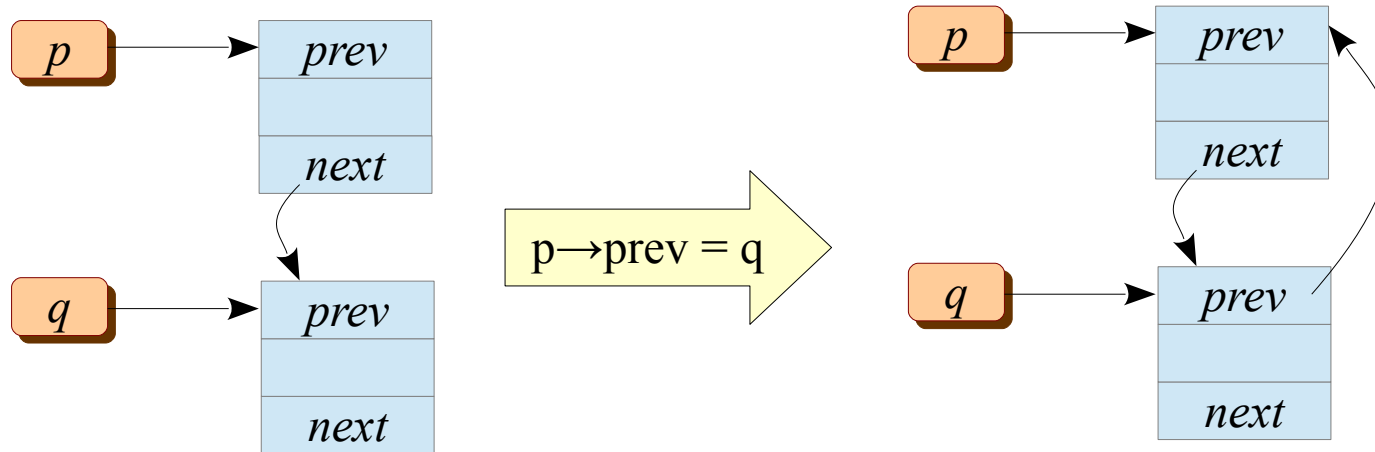
Tree, DAG, Cycle?

- Maintains three data structures:
 - Interference matrix: encodes common reachability
 - Direction matrix: encodes direct reachability
 - Shape
- Performs iterative data-flow analysis to update D, I and shape information

	p	q	r	s	t	u
p	1	1	0	0	0	0
q		1	0	0	0	0
r			1	1	1	0
s				1	1	0
t					1	1
u						1

	p	q	r	s	t	u
p	1	1	0	0	0	0
q	0	1	0	0	0	0
r	0	0	1	1	0	0
s	0	0	0	1	0	0
t	0	0	0	1	1	1
u	0	0	0	0	0	1

Shape Estimation



$D[p][q] = 1, D[q][p] = 0$
 $p.shape = \text{Tree}$
 $q.shape = \text{Tree}$

$D[p][q] = 1, D[q][p] = \mathbf{1}$
 $p.shape = \text{Cycle}$
 $q.shape = \text{Cycle}$

Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

$p \rightarrow f = \text{null}$

Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

$p \rightarrow f = \text{null}$

$D_kill = \{D[p][s] \mid D[p][s] == 1\} \cup \{D[s][p] \mid D[s][p] == 1\}$

$I_kill = \{I[p][s] \mid I[p][s] == 1\}$

$D_gen = \{D[p][p]\}$

$I_gen = \{I[p][p]\}$

$p.\text{shape} = \text{Tree}$

Inference Rules

$p = \text{malloc}(\dots)$ $p = q$ $p = q \rightarrow f$ $p = \&(q \rightarrow f)$ $p = q \text{ op } k$ $p = \text{null}$ $p \rightarrow f = q$ $p \rightarrow f = \text{null}$	D_kill and I_kill sets same as for allocation statement. $D_gen = \{D[s][p] \mid D[s][q] \text{ and } s \neq p\} \cup$ $\{D[p][s] \mid D[q][s] \text{ and } s \neq p\} \cup$ $\{D[p][p] \mid D[q][q]\}$ $I_gen = \{I[p][s] \mid I[q][s] \text{ and } s \neq p\} \cup$ $\{I[p][p] \mid I[q][q]\}$ $p.\text{shape} = q.\text{shape}$
--	--

Inference Rules

$p = \text{malloc}(\dots)$ $p = q$ $p = q \rightarrow f$ $p = \&(q \rightarrow f)$ $p = q \text{ op } k$ $p = \text{null}$ $p \rightarrow f = q$ $p \rightarrow f = \text{null}$	D_kill and I_kill sets same as for allocation statement. $D_gen = \{D[s][p] \mid I[s][q] \text{ and } s \neq p\} \cup$ $\{D[p][s] \mid D[q][s] \text{ and } s \neq p \text{ and } s \neq q\} \cup$ $\{D[p][q] \mid q.\text{shape} == \text{Cycle}\} \cup$ $\{D[p][p] \mid D[q][q]\}$ $I_gen = \{I[p][s] \mid I[q][s] \text{ and } s \neq p\} \cup$ $\{I[p][p] \mid I[q][q]\}$ $p.\text{shape} = q.\text{shape}$
--	--

Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

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$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

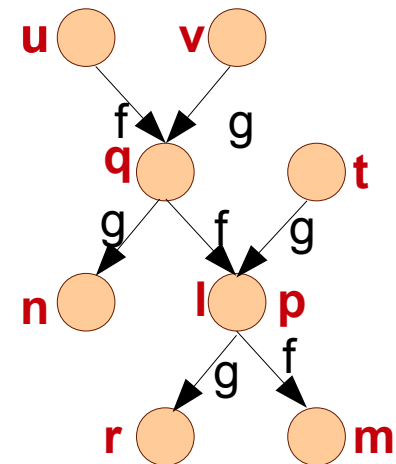
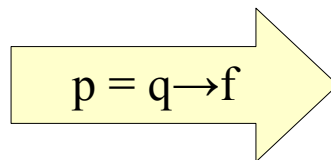
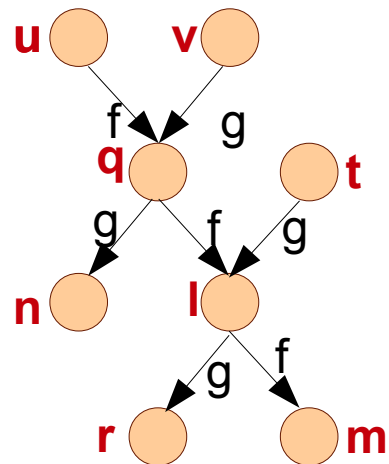
$p \rightarrow f = \text{null}$

D_kill and I_kill sets same as for allocation statement.

$$D_gen = \{D[s][p] \mid I[s][q] \text{ and } s \neq p\} \cup \\ \{D[p][s] \mid D[q][s] \text{ and } s \neq p \text{ and } s \neq q\} \cup \\ \{D[p][q] \mid q.\text{shape} == \text{Cycle}\} \cup \\ \{D[p][p] \mid D[q][q]\}$$

$$I_gen = \{I[p][s] \mid I[q][s] \text{ and } s \neq p\} \cup \\ \{I[p][p] \mid I[q][q]\}$$

$p.\text{shape} = q.\text{shape}$



Inference Rules

`p = malloc(...)`

`p = q`

`p = q → f`

`p = &(q → f)`

`p = q op k`

`p = null`

`p->f = q`

`p->f = null`

Processing is the same as for `p = q` statement.
This means the analysis loses field-sensitivity.

A recent work from IITK (Dasgupta, Karkare, Reddy) addresses this issue.

Inference Rules

$p = \text{malloc}(\dots)$

$p = q$

$p = q \rightarrow f$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$p = \text{null}$

$p \rightarrow f = q$

$p \rightarrow f = \text{null}$

D_kill and I_kill sets same as for allocation statement.

$D_gen = \{ \}$

$I_gen = \{ \}$

$p.\text{shape} = \text{Tree}$

Inference Rules

$p = \text{malloc}(\dots)$

$D_kill = \{ \}, I_kill = \{ \}$

$p = q$

$D_gen = \{D[r][s] \mid D[r][p] \text{ and } D[q][s]\}$

$p = q \rightarrow f$

$I_gen = \{I[r][s] \mid D[r][p] \text{ and } I[q][s]\}$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$D[q][p] \text{ and } D[s][q] \Rightarrow s.\text{shape} = \text{Cycle}$

$p = \text{null}$

$D[q][p] \text{ and } D[s][p] \Rightarrow s.\text{shape} = \text{Cycle}$

$\neg D[q][p] \text{ and } D[s][p] \text{ and } I[s][q] \text{ and } q.\text{shape} == \text{Tree}$

$p \rightarrow f = q$

$\Rightarrow s.\text{shape} = \max(s.\text{shape}, \text{DAG})$

$p \rightarrow f = \text{null}$

$\neg D[q][p] \text{ and } D[s][p] \text{ and } q.\text{shape} \neq \text{Tree}$

$\Rightarrow s.\text{shape} = \max(s.\text{shape}, q.\text{shape})$

Inference Rules

$p = \text{malloc}(\dots)$

$D_kill = \{ \}, I_kill = \{ \}$

$p = q$

$D_gen = \{ D[r][s] \mid D[r][p] \text{ and } D[q][s] \}$

$p = q \rightarrow f$

$I_gen = \{ I[r][s] \mid D[r][p] \text{ and } I[q][s] \}$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

$D[q][p] \text{ and } D[s][q] \Rightarrow s.\text{shape} = \text{Cycle}$

$p = \text{null}$

$D[q][p] \text{ and } D[s][p] \Rightarrow s.\text{shape} = \text{Cycle}$

$\neg D[q][p] \text{ and } D[s][p] \text{ and } I[s][q] \text{ and } q.\text{shape} == \text{Tree}$

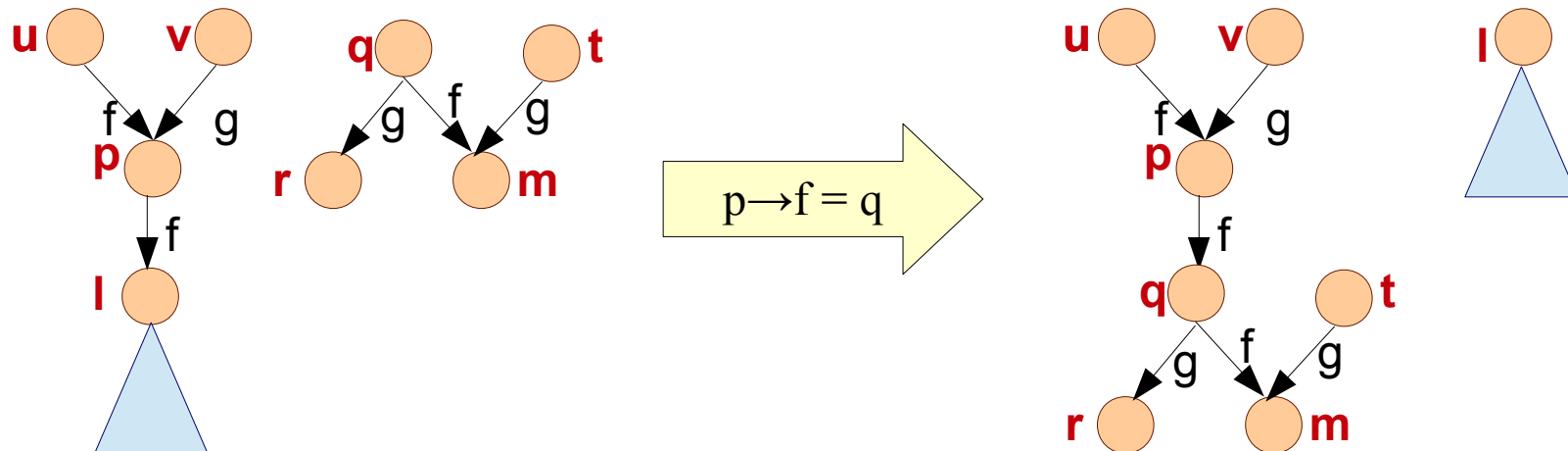
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$p \rightarrow f = \text{null}$

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$\Rightarrow s.\text{shape} = \max(s.\text{shape}, q.\text{shape})$



Inference Rules

$p = \text{malloc}(\dots)$

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$I_gen = \{ I[r][s] \mid D[r][p] \text{ and } I[q][s] \}$

$D[q][p] \text{ and } D[s][q] \Rightarrow s.\text{shape} = \text{Cycle}$

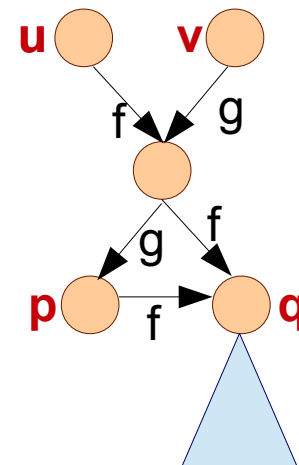
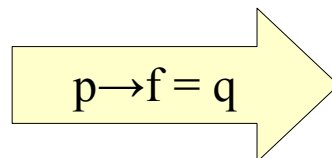
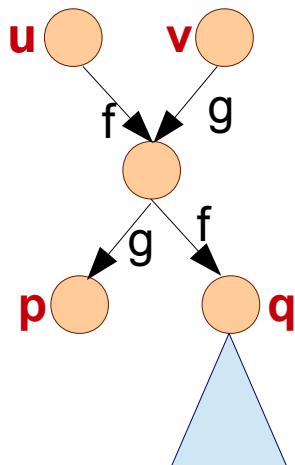
$D[q][p] \text{ and } D[s][p] \Rightarrow s.\text{shape} = \text{Cycle}$

$\neg D[q][p] \text{ and } D[s][p] \text{ and } I[s][q] \text{ and } q.\text{shape} == \text{Tree}$

$\Rightarrow s.\text{shape} = \max(s.\text{shape}, \text{DAG})$

$\neg D[q][p] \text{ and } D[s][p] \text{ and } q.\text{shape} \neq \text{Tree}$

$\Rightarrow s.\text{shape} = \max(s.\text{shape}, q.\text{shape})$



Inference Rules

$p = \text{malloc}(\dots)$	$D_kill = \{ \}, I_kill = \{ \}$
$p = q$	$D_gen = \{D[r][s] \mid D[r][p] \text{ and } D[q][s]\}$
$p = q \rightarrow f$	$I_gen = \{I[r][s] \mid D[r][p] \text{ and } I[q][s]\}$
$p = \&(q \rightarrow f)$	
$p = q \text{ op } k$	$D[q][p] \text{ and } D[s][q] \Rightarrow s.\text{shape} = \text{Cycle}$
$p = \text{null}$	$D[q][p] \text{ and } D[s][p] \Rightarrow s.\text{shape} = \text{Cycle}$
$p \rightarrow f = q$	$\neg D[q][p] \text{ and } D[s][p] \text{ and } I[s][q] \text{ and } q.\text{shape} == \text{Tree}$ $\Rightarrow s.\text{shape} = \max(s.\text{shape}, \text{DAG})$
$p \rightarrow f = \text{null}$	$\neg D[q][p] \text{ and } D[s][p] \text{ and } q.\text{shape} != \text{Tree}$ $\Rightarrow s.\text{shape} = \max(s.\text{shape}, q.\text{shape})$

	Tree	DAG	Cycle
Tree	Tree	DAG	Cycle
DAG	DAG	DAG	Cycle
Cycle	Cycle	Cycle	Cycle

$\max(\text{shape1}, \text{shape2})$

Inference Rules

$p = \text{malloc}(\dots)$

$D_kill = \{ \}, I_kill = \{ \}$

$p = q$

$D_gen = \{ \}$

$p = q \rightarrow f$

$I_gen = \{ \}$

$p = \&(q \rightarrow f)$

$p = q \text{ op } k$

No changes to the shape of p .

$p = \text{null}$

$p \rightarrow f = q$

$p \rightarrow f = \text{null}$

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	x	y	t
x		0	0
y			0
t			

Direction

	x	y	t
x	1	0	0
y	0	0	0
t	0	0	0

Shape

x	tree
y	tree
t	tree

Example

```
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}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		0	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	0	0	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

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  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		0	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	0	0	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

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  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	1	0
<i>y</i>	1	1	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

We need to assume a finite representation for the data structure.

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Since we do not model fields, we can't say that x is unreachable from y.

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	0
<i>y</i>			0
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	0
<i>t</i>	0	0	0

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
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    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	1	0
<i>y</i>	1	1	0
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
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    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	0
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	tree
<i>t</i>	tree

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
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    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
<i>t</i>	cycle

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
<i>t</i>	cycle

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
<i>t</i>	cycle

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
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    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	1	0
<i>y</i>	1	1	0
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
<i>t</i>	cycle

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
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    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	0	0
<i>y</i>	1	1	0
<i>t</i>	1	1	1

Shape

<i>x</i>	tree
<i>y</i>	cycle
<i>t</i>	cycle

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	x	y	t
x		1	1
y			1
t			

Direction

	x	y	t
x	1	0	0
y	1	1	1
t	1	1	1

Shape

x	tree
y	cycle
t	cycle

Example

```
listReverse(List x) {  
  assert("x is an acyclic singly linked list");  
  
  for (y = null; x;) {  
    t = y;  
    y = x;  
    x = x→next;  
    y→next = t;  
  }  
  x = y;  
  t = null;  
  y = null;  
}
```

Interference

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>		1	1
<i>y</i>			1
<i>t</i>			

Direction

	<i>x</i>	<i>y</i>	<i>t</i>
<i>x</i>	1	1	1
<i>y</i>	1	1	1
<i>t</i>	1	1	1

Shape

<i>x</i>	cycle
<i>y</i>	cycle
<i>t</i>	cycle

Improvements

- SSA form
- Field-sensitivity
- Heap modeling

Summary

- Shape analysis helps several transforms.
- Existing techniques often trade off precision for efficiency.
- We are still far away from a precise and scalable analysis.

- Check “Identifying Dynamic Data Structures by Learning Evolving Patterns in Memory” from TACAS 2013.
- <http://dl.acm.org/citation.cfm?doid=2483760.2483760>
- <http://dl.acm.org/citation.cfm?id=1760303&CFID=39111111>
- <http://dl.acm.org/citation.cfm?id=271517&picked=for>
- <http://dl.acm.org/citation.cfm?id=1040331&CFID=39111111>
- <http://dl.acm.org/citation.cfm?id=1480917&CFID=39111111>
- <http://dl.acm.org/citation.cfm?id=1855759&CFID=39111111>
- <http://dl.acm.org/citation.cfm?id=1081721&CFID=39111111>